

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2008, or tax year beginning , 2008, and ending , 20

G Check all that apply: Initial return Final return Amended return Address change Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation ALFRED P. SLOAN FOUNDATION	A Employer identification number 13-1623877
	Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 630 FIFTH AVENUE 2550	B Telephone number (see page 10 of the instructions) (212) 649-1649
	City or town, state, and ZIP code NEW YORK, NY 10111	C If exemption application is pending, check here <input type="checkbox"/>
		D 1. Foreign organizations, check here <input type="checkbox"/>
		2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **1,423,865,210.**

J Accounting method: Cash Accrual
 Other (specify) HYBRID ACCRUAL
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	13,330,013.	25,718,911.		
	4 Dividends and interest from securities	3,210,337.	12,761,342.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	29,526,802.			
	b Gross sales price for all assets on line 6a <u>1,001,749,057.</u>				
	7 Capital gain net income (from Part IV, line 2)		14,962,628.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	894,914.	3,722,227.		STMT 2	
12 Total. Add lines 1 through 11	46,962,066.	57,165,108.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	3,382,665.	2,389,051.		967,654.
	14 Other employee salaries and wages	3,607,967.	976,605.		2,620,749.
	15 Pension plans, employee benefits	2,949,035.	740,917.		2,200,066.
	16a Legal fees (attach schedule) STMT 3	488,053.	296,353.	NONE	188,479.
	b Accounting fees (attach schedule) STMT 4	132,728.	73,928.	NONE	28,800.
	c Other professional fees (attach schedule) STMT 5	3,370,170.	3,333,938.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) *	2,081,694.	656,325.		147,917.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	1,242,025.	292,556.		946,290.
	21 Travel, conferences, and meetings	102,914.	79,679.		23,235.
	22 Printing and publications	119,926.	6,542.		113,384.
	23 Other expenses (attach schedule) STMT 7	2,030,714.	15,812,346.		2,053,855.
	24 Total operating and administrative expenses. Add lines 13 through 23	19,507,891.	24,658,240.	NONE	9,290,429.
	25 Contributions, gifts, grants paid	82,853,862.			72,710,708.
26 Total expenses and disbursements. Add lines 24 and 25	102,361,753.	24,658,240.	NONE	82,001,137.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-55,399,687.				
b Net investment income (if negative, enter -0-)		32,506,868.			
c Adjusted net income (if negative, enter -0-)			-0-		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		500.	750.	750.
	2	Savings and temporary cash investments		128,226,801.	21,511,122.	21,511,121.
	3	Accounts receivable ▶ <u>NONE</u>				
		Less: allowance for doubtful accounts ▶		785,183.	NONE	NONE
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) <u>STMT 8</u>		838,727,052.	877,445,756.	973,842,334.
	c	Investments - corporate bonds (attach schedule) <u>STMT 9</u>		154,556,121.	158,745,792.	166,201,335.
	11	Investments - land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans					
13	Investments - other (attach schedule) <u>STMT 10</u>		285,555,837.	304,801,109.	261,192,548.	
	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ <u>STMT 11</u>)			1,117,122.	1,117,122.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		1,407,851,494.	1,363,621,651.	1,423,865,210.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable		78,583,103.	88,335,529.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ <u>STMT 12</u>)		5,338,440.	6,895,687.	
	23	Total liabilities (add lines 17 through 22)		83,921,543.	95,231,216.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		1,323,929,951.	1,268,390,435.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)		1,323,929,951.	1,268,390,435.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		1,407,851,494.	1,363,621,651.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,323,929,951.
2	Enter amount from Part I, line 27a	2	-55,399,687.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,268,530,264.
5	Decreases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 13</u>	5	139,829.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,268,390,435.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	14,962,628.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2007	80,399,468.	1,856,951,720.	0.043296
2006	73,158,157.	1,641,875,841.	0.044558
2005	67,436,332.	1,501,133,917.	0.044924
2004	65,938,707.	1,380,658,764.	0.047759
2003	70,054,974.	1,222,862,713.	0.057288
2 Total of line 1, column (d)			2 0.237825
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047565
4 Enter the net value of noncharitable-use assets for 2008 from Part X, line 5			4 1,729,493,738.
5 Multiply line 4 by line 3			5 82,263,370.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 325,069.
7 Add lines 5 and 6			7 82,588,439.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 82,001,137.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', 'Tax under section 511', and 'Total credits and payments'. Total tax due is 2,012,780.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about influencing legislation, political campaign spending, Form 1120-POL filing, and foundation requirements. Includes 'Yes' and 'No' columns.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(3)? If "Yes," attach schedule (see page 20 of the instructions). 11 X
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address WWW.SLOAN.ORG
14 The books are in care of CHRISTOPHER SIA Telephone no. 212-649-1649
Located at 630 5TH AVENUE NEW YORK, NY ZIP + 4 10111-0242
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A and enter the amount of tax-exempt interest received or accrued during the year 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No X
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No X
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No X
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? X Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No X
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes No X
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here 1b X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2008? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2008, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2008? Yes No X
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) 2b N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No X
b If "Yes," did it have excess business holdings in 2008 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2008.) 3b N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2008? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** X
Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 14** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
If you answered "Yes" to 6a, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		2,601,777.	661,721.	NONE

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		1,415,444.	558,733.	NONE

Total number of other employees paid over \$50,000 **22**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 19		2,429,578.

Total number of others receiving over \$50,000 for professional services 13

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE SEE ATTACHED STATEMENT FOR FULL DESCRIPTION - GENERAL EXPLANATION PAGE 1	248,106.
2 BOARDS & COMMITTEES OF OTHER CHARITABLE ORGANIZATIONS- MEMBERS OF STAFF PARTICIPATE ON UNIVERSITY ADVISORY BOARDS AND COMMITTEES AND BOARDS OF NON-PROFITS.	178,053.
3 ASSISTANCE TO GOVERNMENT BODIES- MEMBERS OF STAFF APPEAR BEFORE CONGRESSIONAL COMMITTEES AND PARTICIPATE IN CONGRESSIONAL OR EXECUTIVE BRANCH COMMITTEES.	89,027.
4 ----- -----	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2 ----- -----	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,753,664,877.
b	Average of monthly cash balances	1b	2,166,329.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,755,831,206.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	1,755,831,206.
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,755,831,206.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	26,337,468.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,729,493,738.
6	Minimum investment return. Enter 5% of line 5	6	86,474,687.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	86,474,687.
2a	Tax on investment income for 2008 from Part VI, line 5	2a	650,137.
b	Income tax for 2008. (This does not include the tax from Part VI.)	2b	177,300.
c	Add lines 2a and 2b	2c	827,437.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	85,647,250.
4	Recoveries of amounts treated as qualifying distributions	4	849,825.
5	Add lines 3 and 4	5	86,497,075.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	86,497,075.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	82,001,137.
b	Program-related investments - total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	82,001,137.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	82,001,137.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2007	(c) 2007	(d) 2008
1 Distributable amount for 2008 from Part XI, line 7				86,497,075.
2 Undistributed income, if any, as of the end of 2007:				
a Enter amount for 2007 only			31,438,431.	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2008:				
a From 2003				
b From 2004				
c From 2005				
d From 2006				
e From 2007				
f Total of lines 3a through e				
4 Qualifying distributions for 2008 from Part XII, line 4: ▶ \$ <u>82,001,137.</u>				
a Applied to 2007, but not more than line 2a			31,438,431.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2008 distributable amount				50,562,706.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2008 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions				
e Undistributed income for 2007. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions				
f Undistributed income for 2008. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2009				35,934,369.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2003 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2009. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2004				
b Excess from 2005				
c Excess from 2006				
d Excess from 2007				
e Excess from 2008	NONE			

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2008, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2008	(b) 2007	(c) 2006	(d) 2005	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:
SEE STATEMENT 20

b The form in which applications should be submitted and information and materials they should include:
SEE STATEMENT 20

c Any submission deadlines:
SEE STATEMENT 20

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE STATEMENT 20

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE STATEMENT 21				72,710,708.
Total ▶ 3a				72,710,708.
b <i>Approved for future payment</i> SEE STATEMENT 21				79,399,390.
Total ▶ 3b				79,399,390.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include: 1 Program service revenue (a-f), 2 Membership dues and assessments, 3 Interest on savings and temporary cash investments, 4 Dividends and interest from securities, 5 Net rental income or (loss) from real estate (a-b), 6 Net rental income or (loss) from personal property, 7 Other investment income, 8 Gain or (loss) from sales of assets other than inventory, 9 Net income or (loss) from special events, 10 Gross profit or (loss) from sales of inventory, 11 Other revenue (a-e), 12 Subtotal, 13 Total.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes. Row 1 contains 'NOT APPLICABLE'.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of:
 - (1) Cash 1a(1) Yes No
 - (2) Other assets 1a(2) Yes No
 - b** Other transactions:
 - (1) Sales of assets to a noncharitable exempt organization 1b(1) Yes No
 - (2) Purchases of assets from a noncharitable exempt organization 1b(2) Yes No
 - (3) Rental of facilities, equipment, or other assets 1b(3) Yes No
 - (4) Reimbursement arrangements 1b(4) Yes No
 - (5) Loans or loan guarantees 1b(5) Yes No
 - (6) Performance of services or membership or fundraising solicitations 1b(6) Yes No
 - c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c Yes No
 - d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
1A(1)			SEE STATEMENT 10

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee Date	Title			
Sign Here Paid Preparer's Use Only	Preparer's signature 	Date 11/10/09	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (See Signature on page 30 of the instructions) P00504182
Firm's name (or yours if self-employed), address, and ZIP code GRANT THORNTON LLP 666 THIRD AVENUE NEW YORK, NY 10017-4011		EIN ▶ 36-6055558 Phone no. 212-542-9609		

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS)							----- 14962628. =====	

Summary on Realized Gain/Loss for 2008

<u>Account</u>	<u>Realized/Gain Loss</u> <u>Totals</u>
	65,199.49
GOLDEN GATE CAP II	134,459.74
INTERIM CASH ACCOUNT	147,425.57
MARISCO CAPITAL MGMT	-10,163,169.68
PZENA INVESTMENT	-20,273,199.38
COLCHESTER GBL INV	57,571.04
BLACKROCK CORE BOND	2,875.50
BLACKROCK INTERIM CS	146.41
JP MORGAN MULTI MRKT	1,583.67
CAP GUARD INTL	8,048.36
SILCHESTER INT'L	1,053,993.61
BAIN CAPITAL FD VI	170,602.95
JMB REALTY FUND III	-2,542,851.48
JMB REALTY FUND IV	406,312.24
CITICORP MEZZANINE	72,511.90
AG CAP RECOV PTNR LP	32,000.00
REGIMENT CAPITAL LTD	4,657,133.98
I-HATCH VENTURES, LP	501,074.00
BAIN CAPITAL FND VII	5,353,782.96
SATELLITE OVERSEAS F	-3,899,443.03
RESOURCE CAPITAL III	1,868,848.21
SUMMIT VENTURES VI	13,163.36
DISTRIBUTIONS PORTFOLIO	-9.60
AG CAP REC PRTNRS II	41,160.00
BERKSHIRE CAPITAL VI	230,591.67
IGNITION VENTURE	13,727,995.50
AG CAPITAL RECOVERY	3,775.00
LONE KAURI	909,000.00
DODGE AND COX	-1,677,870.20
BRCP REIT, LLC 1	37,274.00
TIFF SECOND PRTNRS I	51,789.00
CCG GOLDEN GATE II	1,846,301.29
CCG GOLDEN GATE II-A	212,189.18
AVI VALUE FUND	30,611,245.33
BAIN CAPITAL VIII	5,863,766.36
SUMMIT VENTURE VII-A	56,015.08
SAVANAH-BALTIMORE	-21,215.33
ACACIA CREDIT FD11-A	-762,877.76
CASH ACCOUNT	327,567.61
EUROPEAN AVI	-6,157,586.13
SLOAN INV GROUP DS	6,559,621.16
Total - Part I, Line 6a	<u>29,526,801.58</u>

FORM 990PF - GENERAL EXPLANATION ATTACHMENT
=====

SUMMARY OF DIRECT CHARITABLE ACTIVITIES
PART IX-A, NO. 1

TWENTIETH CENTURY INVENTORS/ENTREPRENEURS BOOK PROGRAM: MEETINGS OF THE BOOK PROGRAM COMMITTEE ARE NORMALLY HELD ANNUALLY TO REVIEW POTENTIAL AUTHORS AND TO AUTHORIZE MANUSCRIPTS FOR FURTHER DEVELOPMENT AND PUBLICATION. AS OF DECEMBER 31, 2008, FOUR AUTHORS HAVE BEEN SELECTED FOR PUBLICATION; HOWEVER, NO BOOKS HAVE BEEN PUBLISHED AND RELEASED TO THE GENERAL PUBLIC AT THIS TIME. IT IS EXPECTED THAT THE BOOKS WILL BE WELL RECEIVED, BUT IT IS IMPOSSIBLE TO ESTIMATE THE NUMBER OF BENEFICIARIES SERVED.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GRANT REFUNDS:		
FELLOWSHIP PROGRAMS	26,890.	
OTHER THAN FELLOWSHIP PROGRAMS	683,229.	
RECV'D WITH GRANT TRANSFERS	139,706.	
INTEREST FROM IRS	39,252.	
STATE TAX REFUND	3,306.	
INSURANCE REFUNDS	1,258.	
ROYALTY INC: BOOKS, VIDEOS, SOFTWARE	1,273.	1,273.
OTHER INCOME FROM LP INVESTMENTS		3,720,954.
TOTALS	894,914.	3,722,227.

FORM 990PF, PART I - LEGAL FEES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
CRAVATH, SWAINE & MOORE LLP	30,516.	12,148.		18,368.
NORRIS MCLAUGHLIN	328.	328.		
PATTERSON BELKNAP	216,293.	86,106.		130,187.
SHERMAN & STERLING	78,562.	39,281.		39,281.
STATE REGISTRATION FEES	1,069.	426.		643.
SIMPSON THACHER & BARTLETT	161,285.	158,064.		
TOTALS	488,053.	296,353.	NONE	188,479.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
GRANT THORNTON LLP - TAX FEES	52,640.	18,640.		4,000.
KPMG - AUDIT FEES	44,000.	22,000.		22,000.
CONSULTANT FEES	32,588.	32,588.		
OTHER	3,500.	700.		2,800.
TOTALS	132,728.	73,928.	NONE	28,800.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
J.P. MORGAN	32.	32.
CAPITAL GUARDIAN	161.	161.
COLCHESTER GBL INV	272,072.	272,072.
DODGECOX	243,457.	243,457.
INCOME SWEEP		
ITERIM CASH	1,923.	1,923.
LONGREACH CAPITAL I	525,000.	525,000.
MARSICO	660,193.	660,193.
PZENA	425,252.	425,252.
REGIMENT		
SILCHESTER INT'L	355,075.	355,075.
WELLINGTON		
MELLON'S CUSTODY FEE	210,791.	210,791.
CAMBRIDGE ASSOCIATES	119,574.	119,574.
CP COGENT SECURITIES, LP	470,058.	470,058.
AMERICAN STOCK EXCHANGE	302.	302.
BLOOMBERG L.P.	21,359.	21,359.
BURGISS GROUP	42,237.	42,237.
DAVIS, MENDEL & REGENSTEIN, IN	20,000.	20,000.
GOLDSTEIN, ADAM	290.	290.
NEW YORK STOCK EXCHANGE	1,715.	1,715.
OTHER	679.	679.
LESS: ALLOCATED TO UBI		-36,232.
TOTALS	3,370,170.	3,333,938.
	=====	=====

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
PROVISION FOR EXCISE TAX	1,850,000.	82,876.	147,917.
SOCIAL SECURITY TAXES	231,694.	573,449.	
FOERIGN TAXES - LP INVESTMENTS			
TOTALS	2,081,694.	656,325.	147,917.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
HONORARIA	179,314.	5,000.	404,364.
PROGRAM OUTREACH	254,555.		254,555.
OTHER PROGRAM EXPENSES	593,425.	45,013.	614,192.
COMPUTER SYSTEM SUPPORT	215,726.	68,244.	146,740.
TELEPHONE & POSTAGE	122,902.	39,429.	83,045.
BOOKS AND SUBSCRIPTIONS	41,513.	5,307.	36,148.
INSURANCE	63,140.	25,269.	37,596.
UTILITIES	12,467.	1,121.	11,334.
SUPPLIES AND SERVICES	410,306.	79,750.	329,689.
FURNITURE AND EQUIPMENT	80,075.		80,075.
PLACEMENT FEES	29,875.		29,875.
TRAINING	27,416.	1,174.	26,242.
EXPENSES OF LTD PARTNERSHIPS		15,542,039.	
TOTALS	2,030,714.	15,812,346.	2,053,855.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DOMESTIC EQUITIES	344,570,614.	391,556,170.
ABSOLUTE RETURN STRATEGIES	296,673,089.	353,110,927.
NON US EQUITIES	237,801,484.	230,774,668.
LESS: PENDING TRANSACTIONS	-1,599,431.	-1,599,431.
TOTALS	877,445,756.	973,842,334.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BLACKROCK CORE BOND ACCT	NONE	NONE
BLACKROCK INTERIM CASH ACCT	NONE	NONE
DODGE & COX FUND	121,104,220.	114,915,761.
COLCHESTER	38,920,881.	52,564,883.
LESS: PENDING TRANSACTIONS	-1,279,309.	-1,279,309.
TOTALS	158,745,792.	166,201,335.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
REAL ESTATE LP'S	42,400,380.	46,653,534.
PRIVATE EQUITY	262,400,729.	214,539,014.
TOTALS	304,801,109.	261,192,548.

FORM 990PF, PART II - OTHER ASSETS

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	-----
DEFERRED TAX ASSET -EXCISE TAX	1,117,122.	1,117,122.
	-----	-----
TOTALS	1,117,122.	1,117,122.
	=====	=====

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
-----	-----
ACCRUED POST RETIREMENT BENEFIT	5,825,846.
DEFERRED COMPENSATION	1,069,841.
TOTALS	----- 6,895,687. -----

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
AMOUNTS NOT YET RECOGNIZED AS A COMPONENT OF NET PERIODIC BENEFIT COST	139,829.
TOTAL	----- 139,829. =====

(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
Recipient and/or Purpose	Tax Status	Grant Amount Approval Date	Paid in 2008	Amount to Expended by knowledge Grantee of Grantor	Date of Reports Received from Grantee	Date of Reports Received from Grantee	Date and Results of Any Grantee's Report
Building Wellness Consultancy, Inc. Alpharetta, GA 30022; <i>To provide partial support for a study of enhanced air filtration in buildings. (B2006-61)</i>	For Profit Organization	\$45,000.00 9/25/06 (paid in 2007)	\$0.00	\$68,000.00	No	Financial Final - 8/19/08 Substantive Final - 8/19/08	Reports from Grantee as noted in column (vii)
Congressional Quarterly, Inc. 1255 22nd Street, N.W. Washington, DC 20037 <i>To enable Governing Magazine to continue a multi-media publishing program on performance measurement and reporting in government (2008-3-2)</i>	Expenditure Responsibility	\$277,275.00 3/6/2008	\$134,338.00	\$134,338.00	No	Financial Interim - 7/21/09 Substantive Interim - 7/21/09	Reports from Grantee as noted in column (vii)
Congressional Quarterly, Inc. 1255 22nd Street, N.W. Washington, DC 20037 <i>To enable Governing Magazine to continue a multi-media publishing program on performance measurement and reporting in government (2006-12-14)</i>	Expenditure Responsibility	\$258,600.00 12/12/06	\$0.00	\$258,600.00	No	Financial Final - 8/12/08 Financial Interim - 1/15/08 Substantive Final - 8/12/09 Substantive Final - 1/15/08	Reports from Grantee as noted in column (vii)
Crisis Management International, Inc. 8 Piedmont Center, NE Atlanta, GA 30305 <i>To support the early stages of the development of a voluntary Private Sector Preparedness Association and Certification Program. (2007-5-58)</i>	For Profit Corporation	\$45,000.00 11/11/07	\$0.00	N/A	No	Financial Final - 10/1/09	Reports from Grantee as noted in column (vii)
Daniel Charles 4617 Windham Place NW, Washington, DC 20016; <i>To research & broadcast half a dozen segments on the technology of mapmaking for NPR. (B2006-6)</i>	Other	\$20,000.00 1/17/06	\$0.00	No	No	Financial Final - 1/15/08 Substantive Final - 1/15/08	Reports from Grantee as noted in column (vii)

(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
Recipient and/or Purpose	Tax Status	Grant Amount Approval Date	Paid in 2008	Amount Expended by Grantee	Has grantee diverted any funds to	Date of Reports Received from Grantee	Date and Results of Any Grantee's Report
Eclipse TV Production 100 Huguenot Ave, Apt 6A Englewood, NJ 07631 <i>To prepare video footage of the Census of Marine Life for current and archival uses</i>	For Profit Organization	\$110,000.00 7/14/2008	\$50,000.00	\$52,555.43	No	Financial Interim - 2/3/09 Substantive Interim - 7/6/09	Reports from Grantee as noted in column (vii)
FilmForm Kohn GMGH ; Schillerstr.93, D-50968, Cologne, Germany: <i>To support co-funding for an american version of a documentary about the life and work of Nobelist Eric Kandel.</i>	Foreign Non Profit	\$450,000.00 10/15/07 (paid in 2007)	\$0.00	N/A	No	Financial Final - 5/29/08 Substantive Final - 3/2/09	Reports from Grantee as noted in column (vii)
Galatee Films 41, avenue Paris, France 75017 France <i>To complete production of the seminal documentary film Oceans, to cement its public affiliation with the Census of Marine Life, and to enhance its legacy for the scientific community</i> (2008-10-5)	Expenditure Responsibility	\$2,700,000.00 10/7/2008	\$2,000,000.00		No	N/A	
Galatee Films Paris, France 75017 France <i>To integrate the Census of Marine Life into a major motion picture about the state of the oceans.</i> (2005-10-20)	Filmmaker	\$2,000,000.00 11/15/05 (paid in 2007)	\$0.00	\$1,000,000.00	No	Financial Final - 6/10/09 Substantive Final - 6/17/09	Reports from Grantee as noted in column (vii)

(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
Recipient and/or Purpose	Tax Status	Grant Amount Approval Date	Paid in 2008	Amount Expended by Grantee	Has grantee diverted any funds to	Date of Reports Received from Grantee	Date and Results of Any Grantee's Report
The Internet Archive P.O. Box 29244 San Francisco, CA 94129-0244 <i>To digitize select high-profile collections from five world-class institutions and strengthen the open access approach to recorded knowledge (2006-12-11)</i>	Expenditure Responsibility	\$1,000,000.00 12/12/2006	\$500,000.00	\$500,000.00	No	Financial Final - 2/24/09 Financial Interim - 2/7/08 Substantive Final - 2/24/09 Substantive Interim - 2/7/08	Reports from Grantee as noted in column (vii)
The Internet Archive ; P.O. Box 29244 San Francisco, CA 94129-0244; <i>To help organize and facilitate the creation of an open, online digital library. (2006-3-32)</i>	Expenditure Responsibility	\$100,000.00 3/28/06	\$0.00	\$182,609.00	No	Financial Final - 3/19/08 Financial Interim - 3/29/07 Substantive Final - 3/19/08 Substantive Interim - 6/19/07	Reports from Grantee as noted in column (vii)
New England States Government Finance Officers Association 145 Taunton Ave, East Providence; <i>To study how best to implement a comparative citizen-based performance measurement and reporting project in New England. (2006-5-8 GAS) (From GAS Appropriation)</i>	501 (c) (6)	\$35,000.00	\$0.00	\$0.00	No	Financial Final - 3/19/08 Substantive Final - 3/19/08	Reports from Grantee as noted in column (vii)
On Demand Books, LLC 240 Centre Street New York, NY 10013 <i>To develop five On-Demand Book machines that can print, trim and bind from a digital file a library-quality paperback in minutes.(2005-5-11)</i>	Development Stage Co	\$766,000.00 3/8/05 (paid in 2007)	\$0.00	\$766,000.00	No	Financial Final - 4/21/08 Substantive Final - 6/19/09	Reports from Grantee as noted in column (vii)

(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
Recipient and/or Purpose	Tax Status	Grant Amount Approval Date	Paid in 2008	Amount to Expended by knowledge Grantee of Grantor	Date of Reports Received from Grantee	Date and Results of Any Grantee's Report	
Open Knowledge Commons Inc. c/o Boston Public Library 700 Boylston Street Boston, MA 02116	Expenditure Responsibility	\$400,000.00 3/16/2006	\$235,000.00	\$258,350.00	No Financial Interim - 5/15/08 Substantive Interim - 5/15/08	Reports from Grantee as noted in column (vii)	
<i>To establish a chief coordinating body to help organize and facilitate the creation of an open, online digital library (2006-3-32T)</i>							
Richard Dawkins Foundation for Reason and Science P.O. Box 13604 Savannah, GA 31416 <i>Support for Science & Arts Lecture and Performance Series.</i>	Operating Foundation	\$45,000.00 1/30/2008	\$45,000.00	\$45,000.00	No Financial Final - 3/30/09 Substantive Final - 3/30/09	Reports from Grantee as noted in column (vii)	
RJ Berrier Ltd. 711 Montgomery Avenue, 2nd Floor Narberth, PA 19072 <i>To support preliminary work on a strategic communications plan regarding workplace flexibility</i>	For Profit Organization	\$28,750.00 7/7/2008	\$28,750.00	\$28,750.00	No Financial Final - 8/06/09 Substantive Final - 8/06/09	Reports from Grantee as noted in column (vii)	
Work Family Directions Inc. Watertown, MA 02472. <i>To design a survey and process for assessing the salience of workplace flexibility to the U.S. workforce. (2006-49)</i>	Expenditure Responsibility	\$45,000.00 8/10/06 (paid in 2007)	\$0.00	\$11,025.00	No Financial Final - 2/1/08 Substantive Final - 2/1/08	Reports from Grantee as noted in column (vii)	
Work Family Directions Inc. Watertown, MA 02472. <i>To analyze previously proprietary business data on the business and employee outcomes of flexible work. (2005-3-33)</i>	Expenditure Responsibility	\$105,000.00 6/9/05 (paid in 2006)	\$0.00	\$105,000.00	No Financial Final - 5/6/08 Substantive Final - 5/6/08	Reports from Grantee as noted in column (vii)	

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL L. JOSKOW C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	MEMBER/TRUSTEE/PRESIDENT 40.	645,813.	94,729.	NONE
WILLIAM B. PETERSEN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP/CHIEF INVESTMENT OFFICER 40.	981,245.	302,030.	NONE
CHRISTOPHER T. SIA C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	TREASURER/CHIEF TECH OFFICER 40.	187,250.	82,999.	NONE
MICHAEL S. TEITELBAUM AS OF 10/11/05 C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT 40.	252,619.	90,930.	NONE
FRED A SCHNUR C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	VP & SECRETARY 40.	314,600.	91,033.	NONE
STEPHEN L. BROWN 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER/TRUSTEE/CHAIRMAN 2.	28,000.	NONE	NONE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
WILLIAM E. HOGLUND 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	23,500.	NONE	NONE
SANDRA O. MOOSE 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	23,000.	NONE	NONE
RICHARD E. SALOMON RETIRED 6/08 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	15,500.	NONE	NONE
HAROLD T. SHAPIRO 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER/TRUSTEE/CHAIRMAN EMERIT 2.	22,250.	NONE	NONE
FREEMAN A HRABOWSKI III 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	TRUSTEE 2.	23,000.	NONE	NONE

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ROBERT M. SOLOW 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	23,000.	NONE	NONE
MARTA TIENDA 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	23,000.	NONE	NONE
FEDERICK HENDERSON 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	16,000.	NONE	NONE
SHEILA E. WIDNALL 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	MEMBER AND TRUSTEE 2.	14,000.	NONE	NONE
RODNEY BERENS 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	NON-TRUSTEE COMMITTEE MEMBER 2.	9,000.	NONE	NONE
GRAND TOTALS		2,601,777.	661,721.	NONE

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOSEPH S BOHRER C/O ALFRED P. SLOAN FDN NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.	447,600.	199,683.	NONE
DORON WEBER C/O ALFRED P. SLOAN FDN NEW YORK, NY 10111	PROGRAM DIRECTOR 40.	237,344.	90,930.	NONE
A FRANK MAYADAS C/O ALFRED P. SLOAN FDN NEW YORK, NY 10111	PROGRAM DIRECTOR 40.	263,194.	88,251.	NONE
KATHLEEN E CHRISTENSEN C/O ALFRED P. SLOAN FDN NEW YORK, NY 10111	PROGRAM DIRECTOR 40.	243,806.	90,106.	NONE
GAIL M PESYNA C/O ALFRED P. SLOAN FDN NEW YORK, NY 10111	PROGRAM DIRECTOR 40.	223,500.	89,763.	NONE
TOTAL COMPENSATION		1,415,444.	558,733.	NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
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NAME AND ADDRESS -----	TYPE OF SERVICE -----	COMPENSATION -----
MARISCO CAPITAL MANAGEMENT LLC DENVER, CO 80202	INVESTMENT MGMT FEES	660,193.
SILCHESTER INTERNATIONAL INVESTORS NEW YORK, NY 10017	INVESTMENT MGMT FEES	355,075.
PZENA INVESTMENT MANAGEMENT LLC NEW YORK, NY 10036	INVESTMENT MGMT FEES	425,252.
LONGREACH CAPITAL DALLAS, TX 75201	INVESTMENT MGMT FEES	525,000.
COGENT SECURITIES, LP DALLAS, TX 75201	INVESTMENT MGMT FEES	464,058.
COLCHESTER GLOBAL INVESTORS, INC. NEW YORK, NY 10022	INVESTMENT MGMT FEES	
	TOTAL COMPENSATION	----- 2,429,578. =====

2008
FORM 990-PF
STATEMENT 20
PART XV.2

For Information regarding areas of interest, grants, fellowships, and projects, see Foundation website: www.sloan.org

1. Grants

- (a) (addressed) Mr. Paul L. Joskow, President
Alfred P. Sloan Foundation
630 Fifth Avenue, Suite 2550
New York, New York 10111
Telephone: (212) 649-1649
- (b) (form) No standard application form is required. Proposals should include information about the applicant, the work the applicant proposes to do, the cost and duration of the work, and the tax status of the organization that would administer the grant. They should not exceed 20 double-spaced pages. Proposals by e-mail are accepted.
- (c) (submission deadline) None
- (d) (restrictions or limitations) The Foundation's main interests are in science and technology; standard of living and economic performance; education and careers in science and technology and selected national issues. The Foundation's activities do not extend to the humanities, religion, the creative or performing arts, or to medical research or health care. Grants are not made for endowments or for buildings or equipment, and are only occasionally made for general support or for activities outside the United States. Applications can be made at any time for support of activities related to the range of interests indicated above. Grants of \$125,000 or less are made throughout the year by the President of the Foundation. Grants over \$125,000 are made by the Trustees who meet four times a year for that purpose.

2. Fellowships

- A. The Alfred P. Sloan Research Fellowships seek to stimulate fundamental research by early-career scientists and scholars of outstanding promise. These two-year fellowships are awarded yearly to 118 researchers in recognition of distinguished performance and a unique potential to make substantial contributions to their field.
- (a) (addressed) Alfred P. Sloan Research Fellowships
Ms. Erica Stella
Alfred P. Sloan Foundation
630 Fifth Avenue, Suite 2550
New York, New York 10111-0242
Telephone: (212) 649-1649
- (b) (form) Candidates are nominated by department heads or other senior scholars. Direct applications are not accepted. Nomination forms are available on request addressed to (a) above or online at www.sloan.org/fellowships. In addition to this form, the nominator should submit a letter describing the candidate's qualifications and must see that the Foundation receives three supporting letters directly from other scholars, preferably not at the same institution. A CV, a list of the candidate's publications, a one-page statement as well as one copy of each of no more than two representative publications should accompany the nomination form and letter.

(c) (submission deadline) Nominations are due by September 15 for those awards which begin the following September.

(d) (restrictions or limitations) Candidates for Sloan Research Fellowships may be no more than six years from completion of their Ph.D. as of the year of nomination, unless special circumstances such as military service, a change of field or child rearing are involved or unless they have held a faculty appointment for less than two years. They are required to hold the Ph.D. (or equivalent) in chemistry, physics, mathematics, economics, computer science, neuroscience, or computational and evolutionary molecular biology or in a related interdisciplinary field. They must be members of the regular faculty of a college or university in the United States or Canada.

B. The Sloan Industry Studies Fellowships enable academic scholars to study at a Sloan Industry Center which are located at leading universities in the U.S., each devoted to a particular industry.

- (a) (addressed))
- (b) (form)) See attached
- (c) (submission deadline)) announcement of
- (d) (restrictions or limitations)) fellowship.

Part I, Line 25a & d - Part XV, line 3a, & b

Grants and Contributions Authorized, Paid
or Approved for Future Payments During 2008
No recipient has any relationship with a foundation manager or substantial contributor

Class of Activity	Authorized During Year (Book Basis)	Paid During Year	Approved For Future Payment
I. GENERAL PROGRAM:			
1. Research Fellowships - see Statement 21A Net Research Fellowships to be granted in ensuing year	6,039,706	6,039,706	-
2. Trustee Grants - see Statement 21B	81,345,178	64,572,063	70,265,668
3. Officer Grants - see Statement 21C Net officer grants to be granted in ensuing year	2,457,621 500,000	2,098,939	533,722
4. Other Grant Appropriations for Grants & Related Expenses	(7,488,642)	-	-
TOTAL PROGRAM	<u>82,853,863</u> part I, line 25 col A	<u>72,710,708</u> part I, line 25 col D part XV, line 3a	<u>70,799,390</u>
II. OTHER FUTURE COMMITMENTS:			
APPROPRIATION FOR GRANTS IN ENSUING YEAR:			
A. Officer Grants	2,700,000
B. Research Fellowships	5,900,000
TOTAL OTHER FUTURE COMMITMENTS			<u>8,600,000</u>
TOTAL			<u>79,399,390</u> part XV, line 3b

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Albert Einstein College of Medicine of Yeshiva University Jack and Pearl Resnick Campus 1300 Morris Park Avenue Bronx, NY 10461 <i>Alfred P. Sloan Research Fellowship for Dr. Odelia Schwartz in Neuroscience (BR-4794).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Arizona State University Tempe, AZ 85287-0705 <i>Alfred P. Sloan Research Fellowship for Dr. Hao Yan in Chemistry (BR-4795)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Arizona Board of Regents, The University of Arizona PO Box 3308 Tucson, AZ 85722-3308 <i>Alfred P. Sloan Research Fellowship for Dr. Toufic Mubadda Suidan in Mathematics (BR-4796)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Boston College Trustees 140 Commonwealth Avenue Chestnut Hill, MA 02467 <i>Alfred P. Sloan Research Fellowship for Dr. Torsten Fiebig in Chemistry (BR-4797)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of British Columbia 305-2075 Westbrook Mall Department of Financial Services Vancouver, British Columbia V6T 1Z4 Canada <i>Alfred P. Sloan Research Fellowship for Dr. Scott M. Oser in Physics (BR-4799)</i> \$50,000.00 2008	Foreign Educational Inst.	\$50,000.00	\$50,000.00	\$0.00
Brown University Providence, RI 02912 <i>Alfred P. Sloan Research Fellowship for Dr. Anna Lysyanskaya in Computer Science (BR-4800)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
California Institute of Technology Pasadena, CA 91125 <i>Alfred P. Sloan Research Fellowship for Dr. Nathan M. Dunfield in Mathematics (BR-4331)</i> \$40,000.00 2004	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$0.00
California Institute of Technology Pasadena, CA 91125 <i>Alfred P. Sloan Research Fellowship for Dr. Christopher M. Hirata in Physics (BR-4801)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 <i>Transfer of Alfred P. Sloan Research Fellowship for Alice Shapley in Physics (BR-4642T)</i> \$45,000.00 2008	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 <i>Alfred P. Sloan Research Fellowship for Dr. Inwon C. Kim in Mathematics (BR-4814)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
The Regents of the University of California, Los Angeles 405 Hilgard Avenue Los Angeles, CA 90095 <i>Alfred P. Sloan Research Fellowship for Dr. Yaroslav Tserkovnyak in Physics (BR-4815)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. David S. Lee in Economics (BR-4568)</i> \$45,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year Paid	During Year	Approved for Future Payment
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Diana Baustista in Neuroscience (BR-4803)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Raj Chetty in Economics (BR-4804)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Stefano Della Vigna in Economics (BR-4805)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Nouredine El Karoui in Mathematics (BR-4806)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Sanjit A. Seshia in Computer Science (BR-4807)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Yun S. Song in Computational and Evolutionary Molecular Biology (BR-4808)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of California	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
At Berkeley Berkeley, CA 94720 <i>Alfred P. Sloan Research Fellowship for Dr. Feng Wang in Physics (BR-4809)</i> \$50,000.00 2008	(A) (ii)			
Regents Of The University Of California At Berkeley Berkeley, CA 94720 <i>Transfer of Alfred P. Sloan Research Fellowship for Dr. Rasmus Neilsen in Computational and Evolutionary Molecular Biology (BR-4369T)</i> \$27,783.72 2008	170 (b) (1) (A) (ii)	\$27,783.72	\$27,783.72	\$0.00
University of California, Davis Davis, CA 95616 <i>Alfred P. Sloan Research Fellowship for Dr. Xi Chen in Chemistry (BR-4810)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, Irvine Irvine, CA 92717 <i>Alfred P. Sloan Research Fellowship for Dr. Alan F. Heyduk in Chemistry (BR-4812)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, Irvine Irvine, CA 92717 <i>Alfred P. Sloan Research Fellowship for Dr. Bill Tomlinson in Computer Science (BR-4813)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, San Diego La Jolla, CA 92093-0951 <i>Alfred P. Sloan Research Fellowship for Dr. Ben Weinkove in Mathematics (BR-4844)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, San Diego La Jolla, CA 92093-0951 <i>Alfred P. Sloan Research Fellowship for Dr. Adam R. Aron in Neuroscience (BR-4816)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
University of California, San Diego La Jolla, CA 92093-0951 <i>Alfred P. Sloan Research Fellowship for Dr. Congjun Wu in Physics (BR-4817)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, San Francisco 513 Parnassus Avenue San Francisco, CA 94143 <i>Alfred P. Sloan Research Fellowship for Dr. Yuriy Kirichok in Neuroscience (BR-4818).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, San Francisco 513 Parnassus Avenue San Francisco, CA 94143 <i>Alfred P. Sloan Research Fellowship for Dr. Katherine S. Pollard in Computational ad Evolutionary Molecular Biology (BR-4811).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of California, Santa Barbara Santa Barbara, CA 93106 <i>Alfred P. Sloan Research Fellowship for Dr. Paolo Cascini in Mathematics (BR-4819)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Carnegie Mellon University 5000 Forbes Avenue College of Fine Arts, CFA 106 Pittsburgh, PA 15213-3890 <i>Alfred P. Sloan Research Fellowship for Dr. Alexei A. Efros in Computer Science (BR-4820)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Carnegie Mellon University 5000 Forbes Avenue College of Fine Arts, CFA 106 Pittsburgh, PA 15213-3890 <i>Alfred P. Sloan Research Fellowship for Dr. Eric Poe Xing in Computer Science (BR-4821)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 <i>Alfred P. Sloan Research Fellowship for Dr. Mihnea Popa in Mathematics (BR-4591)</i> \$45,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$0.00
University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 <i>Alfred P. Sloan Research Fellowship for Dr. Aaron Dinner in Chemistry (BR-4822)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 <i>Alfred P. Sloan Research Fellowship for Dr. Margaret L. Gardel in Physics (BR-4823)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of Chicago 5801 South Ellis Avenue Chicago, IL 60637 <i>Alfred P. Sloan Research Fellowship for Dr. Andrej Zlatos in Mathematics (BR-4824)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of Cincinnati Cincinnati, OH 45221 <i>Alfred P. Sloan Research Fellowship for Dr. Theresa M. Reineke in Chemistry (BR-4711).</i> \$23,077.80 2007	170 (b) (1) (A) (ii)	\$0.00		\$0.00
Regents Of The University Of Colorado Boulder, CO 80309-0019 <i>Alfred P. Sloan Research Fellowship for Dr. Nils W. Halverson in Physics (BR-4825)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Regents Of The University Of Colorado Boulder, CO 80309-0019 <i>Alfred P. Sloan Research Fellowship for</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Dr. Kyle McElroy in Physics (BR-4826)</i>				
\$50,000.00				
2008				
Columbia University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
New York, NY 10027	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Luca Carloni in Computer Science (BR-4827)</i>				
\$50,000.00				
2008				
Cornell University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Ithaca, NY 14853-1301	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Robert Kleinberg in Computer Science (BR-4828)</i>				
\$50,000.00				
2008				
Cornell University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Ithaca, NY 14853-1301	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Matthias Liepe in Physics (BR-4829)</i>				
\$50,000.00				
2008				
The Trustees of Davidson College	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Davidson, NC 28035	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Timothy P. Chartier in Mathematics (BR-4830)</i>				
\$50,000.00				
2008				
Duke University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Durham, NC 27708	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Mauro Maggioni in Mathematics (BR-4834)</i>				
\$50,000.00				
2008				
Duke University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Durham, NC 27708	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. R. Alison Adcock in Neuroscience (BR-4831)</i>				
\$50,000.00				
2008				
Duke University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Durham, NC 27708	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Vincent Conitzer in Computer Science</i>				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
(BR-4832)				
\$50,000.00				
2008				
Duke University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Durham, NC 27708	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Katherine Franz in Chemistry</i>				
(BR-4833)				
\$50,000.00				
2008				
Emory University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Atlanta, GA 30322	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Justin P. Gallivan in Chemistry</i>				
(BR-4835)				
\$50,000.00				
2008				
University of Florida	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Gainesville, FL 32611	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Ivan K. Furic in Physics (BR-4836).</i>				
\$50,000.00				
2008				
University of Florida	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Gainesville, FL 32611	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Christopher Jermaine in Computer Science (BR-4837).</i>				
\$50,000.00				
2008				
Georgia Tech Research Corporation	170 (b) (1)	\$0.00	\$0.00	\$0.00
Atlanta, GA 30332	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Marcus Weck in Chemistry (BR-4500)</i>				
\$45,000.00				
2005				
Georgia Tech Research Corporation	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Atlanta, GA 30332	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Nick Feamster in Computer Science (BR-4838)</i>				
\$50,000.00				
2008				
Georgia Tech Research Corporation	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Atlanta, GA 30332	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. I. King Jordan in Computational and Evolutionary Molecular Biology</i>				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
(BR-4839) \$50,000.00 2008 Georgia Tech Research Corporation Atlanta, GA 30332 <i>Alfred P. Sloan Research Fellowship for Dr. Adam Kalai in Computer Science</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
(BR-4840) \$50,000.00 2008 President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Alfred P. Sloan Research Fellowship for Dr. Chenghua Gu in Neuroscience</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
(BR-4841) \$50,000.00 2008 President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Alfred P. Sloan Research Fellowship for Dr. Xiaole Shirley Liu in Computational and Evolutionary Molecular Biology</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
(BR-4842) \$50,000.00 2008 President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Alfred P. Sloan Research Fellowship for Dr. Naoshige Uchida in Neuroscience</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
(BR-4843) \$50,000.00 2008 President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Alfred P. Sloan Research Fellowship for Dr. Yun Zhang in Neuroscience (BR-4845)</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
\$50,000.00 2008				
President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Alfred P. Sloan Research Fellowship for Dr. Todd Zickler in Computer Science (BR-4846)</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00 2008				
University of Illinois 2001 South Lincoln Avenue Urbana, IL 61802 <i>Alfred P. Sloan Research Fellowship for Dr. ChengXiang Zhai in Computer Science (BR-4850)</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00 2008				
Indiana University Bloomington, IN 47405 <i>Alfred P. Sloan Research Fellowship for Dr. Dongwhan Lee in Chemistry (BR-4851)</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00 2008				
Lehigh University 27 Memorial Drive West Bethlehem, PA 18015-3176 <i>Alfred P. Sloan Research Fellowship for Dr. Tianbo Liu in Chemistry (BR-4853).</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00 2008				
University of Maryland, College Park College Park, MD 20742 <i>Alfred P. Sloan Research Fellowship for Dr. Arpita Upadhyaya in Physics (BR-4861).</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00 2008				
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 <i>Alfred P. Sloan Research Fellowship for Dr. Edward S. Boyden in Neuroscience (BR-4854)</i>	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
\$50,000.00				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Mikhail Golosov in Economics (BR-4855)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Manolis Kellis in Computational and Evolutionary Molecular Biology (BR-4856)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Mohammad Movassaghi in Chemistry (BR-4857)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Aviv Regev in Computational and Evolutionary Molecular Biology (BR-4858)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Michael Strano in Chemistry (BR-4849)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Mehmet Fatih Yanik in Neuroscience</i>				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>(BR-4859)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Alfred P. Sloan Research Fellowship for Dr. Martin W. Zwiernin in Physics</i>				
<i>(BR-4860)</i>				
\$50,000.00				
2008				
Massachusetts Institute of Technology	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>Transfer of Alfred P. Sloan Research Fellowship for Dr. Scott Sheffield in Mathematics (BR-4752T)</i>				
\$45,000.00				
2008				
McMaster University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
1280 Main Street West	(A) (ii)			
Hamilton, ON				
L8S 4L8				
Canada				
<i>Alfred P. Sloan Research Fellowship for Dr. Paul W. Ayers in Chemistry</i>				
<i>(BR-4862).</i>				
\$50,000.00				
2008				
Michigan State University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
450 Administration Building	(A) (ii)			
East Lansing, MI 48824				
<i>Alfred P. Sloan Research Fellowship for Dr. Alexandra Gade in Physics (BR-4863)</i>				
\$50,000.00				
2008				
University of Michigan	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Ann Arbor, MI 48109-1274	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Patricia J. Wittkopp in Computational and Evolutionary Molecular Biology</i>				
<i>(BR-4864)</i>				
\$50,000.00				
2008				
New York University	170 (b) (1)	\$0.00		\$0.00
70 Washington Square North	(A) (ii)			
New York, NY 10012-1019				
<i>Alfred P. Sloan Research Fellowship for</i>				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Dr. Scott Sheffield in Mathematics</i>				
<i>(BR-4752).</i>				
\$0.00				
2007				
New York University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
70 Washington Square North	(A) (ii)			
New York, NY 10012-1019				
<i>Alfred P. Sloan Research Fellowship for</i>				
<i>Dr. Jeremy Dasen in Neuroscience</i>				
<i>(BR-4865).</i>				
\$50,000.00				
2008				
New York University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
70 Washington Square North	(A) (ii)			
New York, NY 10012-1019				
<i>Alfred P. Sloan Research Fellowship for</i>				
<i>Dr. Greg S. Suh in Neuroscience</i>				
<i>(BR-4866).</i>				
\$50,000.00				
2008				
University of North Carolina at Chapel Hill	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Chapel Hill, NC 27514	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for</i>				
<i>Dr. Dmytro Arinkin in Mathematics</i>				
<i>(BR-4867).</i>				
\$50,000.00				
2008				
University of North Carolina at Chapel Hill	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Chapel Hill, NC 27514	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for</i>				
<i>Dr. Zefang Wang in Computational and</i>				
<i>Evolutionary Molecular Biology</i>				
<i>(BR-4868).</i>				
\$50,000.00				
2008				
Northwestern University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
633 Clark Street	(A) (ii)			
Evanston, IL 60208				
<i>Alfred P. Sloan Research Fellowship for</i>				
<i>Dr. Jianhua Cang in Neuroscience</i>				
<i>(BR-4869)</i>				
\$50,000.00				
2008				
Northwestern University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
633 Clark Street	(A) (ii)			
Evanston, IL 60208				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Alfred P. Sloan Research Fellowship for Dr. Kevin J. Costello in Mathematics (BR-4870)</i> \$50,000.00 2008 Northwestern University 633 Clark Street Evanston, IL 60208	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Wojciech Olszewski in Economics (BR-4871)</i> \$50,000.00 2008 Ohio State University Research Foundation 1960 Kenny Road Columbus, OH 43210	170 (b) (1) (A) (vi)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Jean-Francois Lafont in Mathematics (BR-4872)</i> \$50,000.00 2008 Ohio State University Research Foundation 1960 Kenny Road Columbus, OH 43210	170 (b) (1) (A) (vi)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Dongping Zhong in Chemistry (BR-4873)</i> \$50,000.00 2008 Ohio University Athens, OH 45701	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Douglas Clowe in Physics (BR-4874).</i> \$50,000.00 2008 Pennsylvania State University 0101 Old Botany University Park, PA 16802-3693	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Kirsten Eisentrager in Mathematics (BR-4876).</i> \$50,000.00 2008 Trustees Of The University Of Pennsylvania P221 Franklin Building	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
3451 Walnut Street Philadelphia, PA 19104-6205 <i>Alfred P. Sloan Research Fellowship for Dr. Tobias Baumgart in Chemistry (BR-4877).</i> \$50,000.00 2008				
Trustees Of The University Of Pennsylvania P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 <i>Alfred P. Sloan Research Fellowship for Dr. Joachim Krieger in Mathematics (BR-4878).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Alice Shapley in Physics (BR-4642).</i> \$0.00 2006	170 (b) (1) (A) (ii)	\$0.00		\$0.00
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Yueh-Lin Loo in Chemistry (BR-4879).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Ulrich Muller in Economics (BR-4880).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Jason R. Petta in Physics (BR-4881).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Roman R. Rafikov in Physics (BR-4882).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
2008				
Princeton University Princeton, NJ 08544 <i>Alfred P. Sloan Research Fellowship for Dr. Joshua W. Shaevitz in Physics (BR-4883).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
Rensselaer Polytechnic Institute 110 8th Street Troy, NY 12180-3590 <i>Alfred P. Sloan Research Fellowship for Dr. Fengyan Li in Mathematics (BR-4884).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
Rice University 6100 Main Street Houston, TX 77251 <i>Alfred P. Sloan Research Fellowship for Dr. Eugene R. Zubarev in Chemistry (BR-4885).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
Rutgers University Piscataway, NJ 08854-8010 <i>Alfred P. Sloan Research Fellowship for Dr. Kristjan Haule in Physics (BR-4887).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
The Salk Institute for Biological Studies P.O. Box 85800 San Diego, CA 92186-5800 <i>Alfred P. Sloan Research Fellowship for Dr. Tatyanna O. Sharpee in Neuroscience (BR-4888).</i> \$50,000.00	170 (b) (1) (A) (vi)	\$50,000.00	\$50,000.00	\$0.00
2008				
Scripps Research Institute 10550 North Torrey Pines Road LaJolla, CA 92037 <i>Alfred P. Sloan Research Fellowship for Dr. Jin-Quan Yu in Chemistry (BR-4798).</i> \$50,000.00	170 (b) (1) (A) (iii)	\$50,000.00	\$50,000.00	\$0.00
2008				
La Fondation de l'Universite de Sherbrooke Sherbrooke, Quebec J1K 2R1 Canada	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Alfred P. Sloan Research Fellowship for Dr. Alexandre Blais in Physics (BR-4889).</i>				
\$50,000.00				
2008				
University of South Carolina	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Columbia, SC 29208	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Qian Wang in Chemistry (BR-4890).</i>				
\$50,000.00				
2008				
University of Southern California	170 (b) (1)	\$0.00	\$0.00	\$0.00
University Park	(A) (ii)			
Los Angeles, CA 90089				
<i>Alfred P. Sloan Fellowship to Dr. Jeffrey D. Wall in Computational and Evolutionary Molecular Biology (BR-4543).</i>				
\$45,000.00				
2005				
Stanford University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Stanford, CA 94305	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Gill Bejerano in Computational and Evolutionary Molecular Biology (BR-4891).</i>				
\$50,000.00				
2008				
Stanford University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Stanford, CA 94305	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Nicholas Bloom in Economics (BR-4892).</i>				
\$50,000.00				
2008				
Stanford University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Stanford, CA 94305	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Scott Klemmer in Computer Science (BR-4893).</i>				
\$50,000.00				
2008				
Stanford University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Stanford, CA 94305	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Hua Tang in Computational and Evolutionary Molecular Biology (BR-4894).</i>				
\$50,000.00				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
2008				
Stanford University Stanford, CA 94305 <i>Transfer of Alfred P. Sloan Research Fellowship for Christina D. Smolke in Chemistry (BR-4802T)</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
Stony Brook Foundation State University of N.Y. at Stony Brook Stony Brook, NY 11794-2250 <i>Alfred P. Sloan Research Fellowship for Dr. Marcus A. Khuri in Mathematics (BR-4895).</i> \$50,000.00	170 (b) (1) (A) (iv)	\$50,000.00	\$50,000.00	\$0.00
2008				
Stony Brook Foundation State University of N.Y. at Stony Brook Stony Brook, NY 11794-2250 <i>Alfred P. Sloan Research Fellowship for Dr. Derek Teany in Physics (BR-4896).</i> \$50,000.00	170 (b) (1) (A) (iv)	\$50,000.00	\$50,000.00	\$0.00
2008				
University of Texas at Austin Austin, TX 78712 <i>Alfred P. Sloan Research Fellowship for Dr. Christopher W. Bielawski in Chemistry (BR-4899).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
University of Texas at Austin Austin, TX 78712 <i>Alfred P. Sloan Research Fellowship for Dr. Johann A. Hofmann in Neuroscience (BR-4900).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
University of Texas at Austin Austin, TX 78712 <i>Alfred P. Sloan Research Fellowship for Dr. Xiaoqin Li in Physics (BR-4901).</i> \$50,000.00	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				
University of Texas at Austin Austin, TX 78712 <i>Alfred P. Sloan Research Fellowship for Dr. Lara K. Mahal in Chemistry (BR-4902)</i> \$11,349.44	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
2008				

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
University of Texas at Austin Austin, TX 78712 <i>Alfred P. Sloan Research Fellowship for Dr. Natasa Pavlovic in Mathematics (BR-4903).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Texas A&M University College Station, TX 77843-3121 <i>Alfred P. Sloan Research Fellowship for Dr. Wolfgang Bangerth in Mathematics (BR-4897).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
Texas A&M University College Station, TX 77843-3121 <i>Alfred P. Sloan Research Fellowship for Dr. Laura F. Matusevich (BR-4898).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of Toronto Toronto Ontario, Canada M5S 1A1 <i>Alfred P. Sloan Research Fellowship for Dr. Valentin Blomer in Mathematics (BR-4904)</i> \$50,000.00 2008	Foreign Educational Inst.	\$50,000.00	\$50,000.00	\$0.00
University of Houston 4800 Calhoun Road Houston, TX 77004 <i>Alfred P. Sloan Research Fellowship for Dr. Olafs Daugulis in Chemistry (BR-4847).</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
The Board of Trustees of the University of Illinois at Chicago 601 South Morgan Street Chicago, IL 60607 <i>Alfred P. Sloan Research Fellowship for Dr. Laura DeMarco in Mathematics (BR-4848)</i> \$50,000.00 2008	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
University of Kansas Center for Research, Inc. 2385 Irving Hill Road	170 (b) (1) (A) (iv)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Lawrence, KS 66044-7552 <i>Alfred P. Sloan Research Fellowship for Dr. Yang Zhang in Computational and Evolutionary Molecular Biology (BR-4852).</i> \$50,000.00 2008				
University of Ottawa 550 Cumberland Street Ottawa, ON K1N 6N5 Canada	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Keith Fagnou in Chemistry (BR-4875).</i> \$50,000.00 2008				
University of Waterloo Waterloo, Ontario N2L 3G1 Canada	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Andris Ambainis in Computer Science (BR-4908).</i> \$50,000.00 2008				
Virginia Polytechnic Institute and State University Blacksburg, VA 24061-0123	170 (b) (1) (A) (ii)	\$21,922.20	\$21,922.20	\$0.00
<i>Transfer of Alfred P. Sloan Research Fellowship for Dr. Theresa M. Reineke in Chemistry (BR-4711T).</i> \$21,922.20 2008				
University of Virginia 914 Emmet St., N Charlottesville, VA 22903	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Phil Arras in Physics (BR-4905).</i> \$50,000.00 2008				
Washington University in St Louis One Brookings Drive St. Louis, MO 63130-4899	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00
<i>Alfred P. Sloan Research Fellowship for Dr. Haluk Ergin in Economics (BR-4906).</i> \$50,000.00 2008				
University of Washington Box 355754 Seattle, WA 98195	170 (b) (1) (A) (ii)	\$50,000.00	\$50,000.00	\$0.00

Research Fellowships

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Alfred P. Sloan Research Fellowship for Dr. Tadayoshi Kohno in Computer Science (BR-4907).</i>				
\$50,000.00				
2008				
West Virginia University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
Morgantown, WV 26506	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Maura Ann McLaughlin in Physics</i>				
\$50,000.00				
2008				
Yale University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
New Haven, CT 06520	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Thierry Emonet in Computational and Evolutionary Molecular Biology (BR-4910)</i>				
\$50,000.00				
2008				
Yale University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
New Haven, CT 06520	(A) (ii)			
<i>Alfred P. Sloan Research Fellowship for Dr. Dean Karlan in Economics (BR-4911)</i>				
\$50,000.00				
2008				
York University	170 (b) (1)	\$50,000.00	\$50,000.00	\$0.00
North York Ontario M3J 1P3	(A) (ii)			
Canada				
<i>Alfred P. Sloan Research Fellowship for Dr. Kari Hoffman in Neuroscience (BR-4912)</i>				
\$50,000.00				
2008				
Grand Totals		\$6,039,705.92	\$6,039,705.92	\$0.00

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
University of Alaska, Anchorage 3211 Providence Drive Anchorage, AK 99508 <i>To launch and fund the first three years of an American Indian Graduate Program at the University of Alaska, Anchorage and the University of Alaska, Fairbanks.</i> (2008-3-3) \$240,000.00 2008	170 (b) (1) (A) (ii)	\$240,000.00	\$80,000.00	\$160,000.00
University Of Alaska P.O. Box 757880 Fairbanks, AK 99775-7880 <i>To coordinate and implement the Arctic Census of Marine Life</i> \$980,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$340,000.00	\$0.00
University Of Alaska P.O. Box 757880 Fairbanks, AK 99775-7880 <i>To complete the near-shore field project for the first Census of Marine Life</i> \$1,000,000.00 2008	170 (b) (1) (A) (ii)	\$1,000,000.00	\$0.00	\$1,000,000.00
American Academy of Arts and Sciences Norton's Woods 136 Irving Street Cambridge, MA 02138 <i>To hold a series of regional meetings and produce a white paper on "Improving the Scientific Community's Understanding of Public Concerns about Science and Technology".</i> \$206,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$100,000.00	\$0.00
American Association For The Advancement Of Science 1200 New York Avenue, NW Washington, DC 20005 <i>To fund a technical legal assistance workshop to help universities implement diversity programs.</i> \$45,000.00 2008	509 (a) (2)	\$45,000.00	\$45,000.00	\$0.00
American Council on Education One Dupont Circle, NW Suite 800	170 (b) (1) (A) (vi)	\$697,303.00	\$329,232.00	\$368,071.00

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Washington, DC 20036-1193 <i>To cover the administrative costs of a third round of the Alfred P. Sloan Awards for Faculty Career Flexibility, to disseminate lessons learned from the winning institutions throughout higher education, and to plan for a fourth round of the awards.</i>				
\$697,303.00 2008				
American Film Institute 2021 North Western Avenue Los Angeles, CA 90027 <i>To support screenwriting and production of science and technology films by the top film students.</i>	170 (b) (1) (A) (vi)	\$0.00	\$140,000.00	\$0.00
\$420,000.00 2006				
American Film Institute 2021 North Western Avenue Los Angeles, CA 90027 <i>To hold a three-day summit meeting in Hollywood of the entire Sloan film program</i>	170 (b) (1) (A) (vi)	\$312,178.00	\$156,078.00	\$156,100.00
\$312,178.00 2008				
American Museum of Natural History Central Park West at 79th St. New York, NY 10024 <i>To accelerate the use of DNA barcodes for conservation of endangered animal species by developing reference sequences for 5,000 endangered species and by operating an international research and training network.</i>	170 (b) (1) (A) (vi)	\$0.00	\$200,000.00	\$0.00
\$411,000.00 2007				
American National Red Cross 520 West 49th Street New York, NY 10019 <i>To support the creation and launch of the New York City Emergency Preparedness Campaign.</i>	170 (b) (1) (A) (vi)	\$236,779.00	\$236,779.00	\$0.00
\$236,779.00 2008				
American Society for Engineering Education	170 (b) (1) (A) (vi)	\$44,000.00	\$44,000.00	\$0.00

2008
 FORM 990-PF
 Statement 21B - Part I, Line 25a & d- Part XV. Line 3a & b

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
1818 N Street, NW Suite 600 Washington, DC 20036 <i>To develop and prepare for pilot implementation procedures for collecting and analyzing success data for undergraduate engineering students.</i> \$44,000.00 2008				
Arizona Board of Regents, The University of Arizona PO Box 3308 Tucson, AZ 85722-3308 <i>To fund an additional three years the recruitment and retention portion of the American Indian Graduate Program at the University of Arizona</i> \$231,557.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$81,876.00	\$0.00
Arizona Board of Regents, The University of Arizona PO Box 3308 Tucson, AZ 85722-3308 <i>Support for sustainability initiative for the Professional Science Master's</i> \$85,838.00 2008	170 (b) (1) (A) (ii)	\$85,838.00	\$45,000.00	\$40,838.00
Association of Government Accountants 2208 Mount Vernon Avenue Alexandria, VA 22301-1314 <i>To support the AGA's promotion of citizen-informed performance measurement and reporting</i> \$260,000.00 2007	509 (a) (2)	\$0.00	\$0.00	\$125,000.00
Association of Public and Land-Grant Universities 1307 New York Avenue, N.W. Suite 400 Washington, DC 20005-4722 <i>Support for a project to have university presidents and chancellors better understand the strategic possibilities of ALN</i> \$372,000.00 2007	509 (a) (2)	\$0.00	\$100,000.00	\$0.00
Association of Public and Land-Grant	509 (a) (2)	\$14,500.00	\$14,500.00	\$0.00

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Universities				
1307 New York Avenue, N.W. Suite 400 Washington, DC 20005-4722 <i>To design a research project to develop and evaluate a standardized reporting module for undergraduate completion rates and time-to-degree by discipline</i> \$14,500.00 2008				
Astrophysical Research Consortium Seattle, WA 98195 <i>To initiate three new spectroscopic surveys that will contribute to our understanding of dark energy and increase our knowledge of the Milky Way and planets outside of the solar system</i> \$7,000,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$2,000,000.00	\$5,000,000.00
Babson College Babson Park, MA 02457-0310 <i>Support to continue Sloan-C surveys of online education.</i> \$312,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$80,000.00	\$180,000.00
University of Baltimore 1420 North Charles Street Baltimore, MD 21201-5779 <i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy. (2008-5-7 CFA)</i> \$200,000.00 2008	170 (b) (1) (A) (ii)	\$200,000.00	\$200,000.00	\$0.00
Benedictine University 5700 College Road Lisle, IL 60532-0900 <i>Disbursement of Innovation Award to winning applicant for the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy. (2008-5-9 CFA)</i> \$25,000.00 2008	170 (b) (1) (A) (ii)	\$25,000.00	\$25,000.00	\$0.00
Board Of Control For Southern Regional Education 592 10th Street, NW	509 (a) (2)	\$0.00	\$171,993.00	\$0.00

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Atlanta, GA 30318-5790 <i>To fund continued participation by Sloan Scholars, students in our American Indian Graduate Program, and associated faculty in the Compact for Faculty Diversity's annual Institute on Teaching and Mentoring. (2006-3-4)</i> \$455,147.00 2006				
Board Of Control For Southern Regional Education 592 10th Street, NW Atlanta, GA 30318-5790 <i>To fund the 2008 Biennial Conference of Program Directors in the Sloan Foundation's Minority Ph.D. and American Indian Graduate Programs</i> \$123,309.00 2008	509 (a) (2)	\$123,309.00	\$123,309.00	\$0.00
Boise State University 1910 University Drive Boise, ID 83725 <i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy. (2008-5-5 CFA)</i> \$200,000.00 2008	170 (b) (1) (A) (ii)	\$200,000.00	\$200,000.00	\$0.00
Boston College Trustees 140 Commonwealth Avenue Chestnut Hill, MA 02467 <i>Support renewal of the Sloan Work and Family Research Network and expand its outreach to work-family scholars, practitioners in human resources, and state legislators concerned with work-family policies.</i> \$1,487,664.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$486,684.00	\$501,302.00
Boston College Trustees 140 Commonwealth Avenue Chestnut Hill, MA 02467 <i>To support a three-year renewal of the Center on Aging and Work/Workplace Flexibility</i> \$3,561,685.00	170 (b) (1) (A) (ii)	\$3,561,685.00	\$1,681,975.00	\$1,879,710.00

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2008				
The Brookings Institution	170 (b) (1)	\$406,495.00	\$0.00	\$406,495.00
1775 Massachusetts Avenue, NW	(A) (vi)			
Washington, DC 20036				
<i>To commission papers, hold conferences, and publish a series of research articles on financial markets and institutions in the journal: Brookings Papers on Economic Activity</i>				
\$406,495.00				
2008				
California State University Foundation	170 (b) (1)	\$474,090.00	\$474,090.00	\$0.00
401 Golden Shore	(A) (iv)			
Long Beach, CA 90802				
<i>Third year continuation grant for Advancing Professional Science Master's Programs in the California State University System.</i>				
\$474,090.00				
2008				
The Regents of the University of California, Los Angeles	170 (b) (1)	\$0.00	\$101,334.00	\$0.00
405 Hilgard Avenue	(A) (ii)			
Los Angeles, CA 90095				
<i>To support screenwriting and production of science and technology films by the top film students.</i>				
\$304,000.00				
2006				
The Regents of the University of California, Los Angeles	170 (b) (1)	\$0.00	\$1,375,203.00	\$1,234,527.00
405 Hilgard Avenue	(A) (ii)			
Los Angeles, CA 90095				
<i>Support the renewal of the Center on the Everyday Lives of Families</i>				
\$3,929,167.00				
2007				
Regents Of The University Of California At Berkeley	170 (b) (1)	\$0.00	\$240,000.00	\$260,000.00
Berkeley, CA 94720	(A) (ii)			
<i>To support research on the policies adopted by various countries to sustain the growth of employment and productivity on behalf of their citizens.</i>				
\$500,000.00				
2007				
Regents Of The University Of California	170 (b) (1)	\$24,154.00	\$24,154.00	\$0.00

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At Berkeley	(A) (ii)			
Berkeley, CA 94720 <i>To support a workshop on "Globalization and Economic Insecurity." (2008-5-16 IC)</i>				
\$24,154.00 2008				
Regents Of The University Of California At Berkeley	170 (b) (1) (A) (ii)	\$657,700.00	\$328,850.00	\$328,850.00
Berkeley, CA 94720 <i>To develop fungal barcodes and use them to explore the indoor environment.</i>				
\$657,700.00 2008				
University of California, Davis	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$299,405.00
Davis, CA 95616 <i>Develop a West Coast research network and program on science and engineering workers</i>				
\$439,210.00 2007				
University of California, Hastings College of the Law	170 (b) (1) (A) (ii)	\$44,200.00	\$44,200.00	\$0.00
200 McAllister Street San Francisco, CA 94102 <i>To conduct a study of part-time legal partners and compensation patterns.</i>				
\$44,200.00 2008				
University of California, Hastings College of the Law	170 (b) (1) (A) (ii)	\$387,195.00	\$186,178.00	\$201,017.00
200 McAllister Street San Francisco, CA 94102 <i>Support a project to document and combat the stigma of flexible work arrangements, particularly part-time work</i>				
\$387,195.00 2008				
University of California, Irvine	170 (b) (1) (A) (ii)	\$0.00	\$100,000.00	\$50,000.00
Irvine, CA 92717 <i>For support to determine global distribution of wages and labor in iPod manufacture</i>				
\$150,000.00 2007				
University of California, Riverside	170 (b) (1) (A) (ii)	\$0.00	\$170,000.00	\$0.00
900 University Avenue Riverside, CA 92521				

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Support to establish a Center for Internet Retailing, mainly funded through industry sources</i>				
\$300,000.00				
2003				
University of California, San Diego	170 (b) (1)	\$0.00	\$100,000.00	\$0.00
La Jolla, CA 92093-0951	(A) (ii)			
<i>Final support for Information Storage Industry Center.</i>				
\$500,000.00				
2005				
University of California, San Diego	170 (b) (1)	\$0.00	\$400,000.00	\$100,000.00
La Jolla, CA 92093-0951	(A) (ii)			
<i>To continue management and implementation of the reefs component of the Census of Marine Life</i>				
\$900,000.00				
2007				
University of California, San Diego	170 (b) (1)	\$0.00	\$400,000.00	\$100,000.00
La Jolla, CA 92093-0951	(A) (ii)			
<i>To continue management and implementation of the seamounts component of the Census of Marine Life</i>				
\$900,000.00				
2007				
Canisius College	170 (b) (1)	\$200,000.00	\$200,000.00	\$0.00
2001 Main Street	(A) (ii)			
Buffalo, NY 14208-1098				
<i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for faculty Career Flexibility in the Academy</i>				
\$200,000.00				
2008				
Carnegie Institution Of Washington	170 (b) (1)	\$0.00	\$198,000.00	\$0.00
1530 P Street, N.W.	(A) (ii)			
Washington, DC 20005				
<i>For workshops and research on the deep carbon cycle and its possible relations with the origins of life at high temperatures and pressures. (2007-10-5)</i>				
\$398,000.00				
2007				
Carnegie Mellon University	170 (b) (1)	\$0.00	\$125,000.00	\$0.00
5000 Forbes Avenue	(A) (ii)			
College of Fine Arts, CFA 106				
Pittsburgh, PA 15213-3890				

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Renewal support for Sloan Software</i>				
<i>Industry Center</i>				
\$500,000.00				
2006				
Carnegie Mellon University	170 (b) (1)	\$0.00	\$125,000.00	\$100,000.00
5000 Forbes Avenue	(A) (ii)			
College of Fine Arts, CFA 106				
Pittsburgh, PA 15213-3890				
<i>Support for a final three years of the</i>				
<i>Carnegie Mellon Electricity Industry</i>				
<i>Center</i>				
\$375,000.00				
2007				
Carnegie Mellon University	170 (b) (1)	\$0.00	\$90,433.00	\$90,430.00
5000 Forbes Avenue	(A) (ii)			
College of Fine Arts, CFA 106				
Pittsburgh, PA 15213-3890				
<i>To stimulate top film students to write</i>				
<i>screenplays about science and technology</i>				
<i>and to help them find jobs in the film</i>				
<i>industry.</i>				
\$271,296.00				
2007				
Carnegie Mellon University	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00
5000 Forbes Avenue	(A) (ii)			
College of Fine Arts, CFA 106				
Pittsburgh, PA 15213-3890				
<i>For a Sloan Industry Studies Fellowship</i>				
<i>for Francisco Veloso</i>				
\$45,000.00				
2008				
CCAF-FCVI, Inc.	Foreign	\$0.00	\$150,000.00	\$0.00
55 Murray Street	Educational			
Ontario KIN 5M3	Inst.			
Ottawa, Ontario CANADA				
<i>To increase the relevance to potential</i>				
<i>users of Canadian Public Performance</i>				
<i>Reports and to infuse the experience of the</i>				
<i>CCAF-FCVI into the work of GASB in the</i>				
<i>United States</i>				
\$450,000.00				
2005				
Center for Marine Biodiversity Society	Foreign -	\$375,000.00	\$150,000.00	\$225,000.00
Bedford Institute of Oceanography	Research			
P.O. Box 1006				
Dartmouth NS B2Y 4A2				
Canada				

Trustee Grants

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<i>To carry out the synthesis phase of the Gulf of Maine area program of the Census of Marine Life. (2008-3-5)</i>				
\$375,000.00 2008				
University of Central Florida 4000 Central Florida Boulevard Orlando, FL 32816	170 (b) (1) (A) (ii)	\$0.00	\$200,000.00	\$200,000.00
<i>Support for the project to expand their ALN effort with special focus on local population.</i>				
\$650,000.00 2007				
University of Central Florida 4000 Central Florida Boulevard Orlando, FL 32816	170 (b) (1) (A) (ii)	\$3,250.00	\$3,250.00	\$0.00
<i>To explore upgrading the website of the Florida Benchmarking Consortium.</i>				
\$3,250.00 2008				
Children's First Fund 125 South Clark Street, 9th Floor Chicago, IL 60603	170 (b) (1) (A) (vi)	\$0.00	\$100,000.00	\$0.00
<i>To create and implement an online portal to identify and engage large numbers of people who attended public schools in Chicago, to help create accessible history of the school system.</i>				
\$250,000.00 2007				
Citizens Union Foundation 299 Broadway, Suite 700 New York, NY 10007-1976	170 (b) (1) (A) (vi)	\$0.00	\$80,000.00	\$0.00
<i>To enable The Gotham Gazette to continue advancing knowledge of and support for performance measurement and reporting in New York City</i>				
\$160,000.00 2007				
Clark University Worcester, MA 01610	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
<i>For a Sloan Work-Family Early Career Development Grant. (2008-5-20 ECD)</i>				
\$45,000.00 2008				
Regents Of The University Of Colorado Boulder, CO 80309-0019	170 (b) (1) (A) (ii)	\$1,045,106.00	\$241,256.00	\$803,850.00

Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>To provide renewed support to catalog the indoor microbial world</i>				
\$1,045,106.00				
2008				
Columbia University	170 (b) (1)	\$0.00	\$50,000.00	\$0.00
New York, NY 10027	(A) (ii)			
<i>Final grant support for the Sloan Telecommunications Industry Center.</i>				
\$500,000.00				
2006				
Columbia University	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00
New York, NY 10027	(A) (ii)			
<i>Support for a book on the influence of scientific medicine on leading thinkers and artists</i>				
\$45,000.00				
2008				
Community Indicators Consortium	170 (b) (1)	\$25,600.00	\$25,600.00	\$0.00
Incorporated	(A) (vi)			
Box 8222				
Lynn, MA 01904				
<i>To prepare the ground for a larger effort to link community indicator projects and government performance measurement</i>				
\$25,600.00				
2008				
Community Research Council	509 (a) (3)	\$0.00	\$38,000.00	\$0.00
P.O. Box 4029				
Chattanooga, TN 37405				
<i>To launch the Southeastern Practitioners' Network on Local Government Performance Measurement.</i>				
\$100,000.00				
2007				
Congressional Quarterly, Inc.	Expenditure	\$277,275.00	\$134,338.00	\$142,937.00
1255 22nd Street, N.W.	Responsibilit			
Washington, DC 20037	y			
<i>To enable Governing Magazine to continue a multi-media publishing program on performance measurement and reporting in government.</i>				
\$277,275.00				
2008				
University of Connecticut	170 (b) (1)	\$0.00	\$330,000.00	\$0.00
352 Mansfield Road	(A) (ii)			
Storrs, CT 06269-2048				
<i>To continue the implementation of the</i>				

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<i>plankton field project of the Census of Marine Life. (2006-10-9)</i>				
\$1,000,000.00				
2006				
Consortium For Ocean Leadership Inc	170 (b) (1)	\$0.00	\$0.00	\$135,000.00
1201 New York Avenue, NW, Suite 400	(A) (vi)			
Washington, DC 20005				
<i>To operate the U.S. National Committee for the Census of Marine Life</i>				
\$400,000.00				
2007				
Consortium For Ocean Leadership Inc	170 (b) (1)	\$3,900,000.00	\$1,600,000.00	\$2,300,000.00
1201 New York Avenue, NW, Suite 400	(A) (vi)			
Washington, DC 20005				
<i>To operate the International Secretariat and Steering Committee in support of the final phase of the Census of Marine Life.</i>				
\$3,900,000.00				
2008				
Cornell University	170 (b) (1)	\$0.00	\$100,000.00	\$100,000.00
Ithaca, NY 14853-1301	(A) (ii)			
<i>Econometric research to increase understanding of low retention and completion rates nationally among entering freshman who intend to major in science, technology, engineering, and mathematical fields</i>				
\$331,000.00				
2007				
Council for Excellence in Government	170 (b) (1)	\$0.00	\$270,000.00	\$0.00
1301 K Street, NW,	(A) (vi)			
Suite 450 West				
Washington, DC 20005				
<i>To institutionilize and implement the Public Readiness Index. (2007-3-4)</i>				
\$574,779.00				
2007				
Council Of Graduate Schools	170 (b) (1)	\$0.00	\$565,065.00	\$0.00
One Dupont Circle, NW	(A) (vi)			
Suite 230				
Washington, DC 20036-1173				
<i>Institutionalization and promotion of the PSM degree as a regular feature of American graduate education</i>				
\$1,815,065.00				
2005				
Council Of Graduate Schools	170 (b) (1)	\$1,422,153.00	\$0.00	\$1,422,153.00

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Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
One Dupont Circle, NW Suite 230 Washington, DC 20036-1173 <i>To support the final phase of national initiative to institutionalize and promote the PSM degree as a regular feature of American graduate education.</i> \$1,422,153.00 2008	(A) (vi)			
Council on Foundations 2121 Crystal Drive, Suite 700 Arlington, VA 22202 <i>General Support (Membership dues)</i> \$45,000.00 2008	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
CUNY Graduate Center Foundation, Inc. 33 West 42nd Street, Room 1801 New York, NY 10036 <i>Support for survey of ALN status in NYC metro region</i> \$4,500.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$4,500.00	\$0.00
Cuny Tv Foundation 365 Fifth Avenue Suite 1400 New York, NY 10016 <i>To research and produce a half hour film about the Sloan Foundation for the 75th anniversary event and to broadcast a version of this film on CUNY TV</i> \$298,253.00 2008	170 (b) (1) (A) (vi)	\$298,253.00	\$150,000.00	\$148,253.00
Dalhousie University Halifax, NOVA SCOTIA B3H 4H6 Canada <i>For the concluding phase of the Future of Marine Animal Populations project of the Census of Marine Life</i> \$1,017,000.00 2008	170 (b) (1) (A) (ii)	\$1,017,000.00	\$500,000.00	\$517,000.00
Dartmouth College Hanover, NH 03755 <i>To complete a book on the history of vaccine development. (2008-5-25 BT)</i> \$45,000.00 2008	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
Duke University	170 (b) (1)	\$0.00	\$400,000.00	\$184,000.00

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Durham, NC 27708 <i>To implement consistent and effective mapping and visualization across the Census of Marine Life.</i> \$984,000.00 2007	(A) (ii)			
Economic Strategy Institute 1401 H Street, NW Suite 750 Washington, DC 20005 <i>Support for Web-based magazine devoted to globalization-related issues.</i> \$300,000.00 2008	170 (b) (1) (A) (vi)	\$300,000.00	\$200,000.00	\$100,000.00
Educational Broadcasting Corporation Thirteen WNET 450 West 33rd Street New York, NY 10001 <i>Support for a four-part science series on PBS with Alan Alda.</i> \$1,000,000.00 2005	170 (b) (1) (A) (vi)	\$0.00	\$500,000.00	\$0.00
Educational Broadcasting Corporation Thirteen WNET 450 West 33rd Street New York, NY 10001 <i>To produce and broadcast a 4-part series on technology and land-based military conflict, Ground War</i> \$1,500,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$750,000.00
Emory University Atlanta, GA 30322 <i>To support the final renewal grant for the Center on Myth and Ritual in American Life.</i> \$1,787,353.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$983,311.00	\$804,042.00
Ensemble Studio Theatre, Inc. 549 West 52nd Street New York, NY 10019 <i>To commission and produce new plays about science and technology</i> \$1,640,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$547,000.00	\$547,000.00
Estrella Mountain Community College 3000 North Dysart Road	170 (b) (1) (A) (ii)	\$0.00	\$150,000.00	\$0.00

Trustee Grants

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Avondale, AZ 85323-1000 <i>Support for a project that will result in over 50 new blended courses for local learners</i> \$250,000.00 2007				
University of Exeter Exeter EX4 4QJ United Kingdom <i>To renew support for efforts to raise awareness in the bioscience community about issues of dual-use research</i> \$221,695.00 2008	Foreign Educational Inst.	\$221,695.00	\$131,695.00	\$90,000.00
Families and Work Institute, Inc. 267 Fifth Avenue - 2nd Floor New York, NY 10016 <i>Provide renewal support for When Work Works, a public engagement campaign, at the local and national levels, to promote increased workplace flexibility</i> \$3,116,952.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$1,610,347.00	\$0.00
Film Independent, Inc. 9911 W. Pico Boulevard, 11th Floor Los Angeles, CA 90035 <i>To enhance commercial production of a science screenplay by supporting one project a year, for two years, through the competitive Producer's Lab.</i> \$124,000.00 2007	509 (a) (2)	\$0.00	\$62,000.00	\$0.00
University of Florida Gainesville, FL 32611 <i>To accelerate marine barcoding so that by the completion of the Census of Marine Life barcodes exist for all key marine groups and allow creation of an updated tree of marine life. (2007-12-1-C2)</i> \$186,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$136,000.00	\$50,000.00
Foundation Center 79 Fifth Avenue New York, NY 10003 <i>For renewal of three year grant for operational support</i>	170 (b) (1) (A) (vi)	\$195,000.00	\$65,000.00	\$130,000.00

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\$195,000.00				
2008				
Franklin W. Olin College of Engineering	170 (b) (1)	\$0.00	\$40,000.00	\$20,000.00
Olin Way	(A) (ii)			
Needham, MA 02492-1200				
<i>Support for first annual Sloan-C International Symposium for Emerging Technology Applications in Online Education.</i>				
\$120,000.00				
2007				
Franklin W. Olin College of Engineering	170 (b) (1)	\$0.00	\$1,000,000.00	\$0.00
Olin Way	(A) (ii)			
Needham, MA 02492-1200				
<i>Support to continue and expand services provided by Sloan-C operations for ALN providers.</i>				
\$1,800,000.00				
2007				
Fred Friendly Seminars Inc	170 (b) (1)	\$1,000,000.00	\$500,000.00	\$500,000.00
Columbia University Graduate School of Journalism	(A) (vi)			
2950 Broadway Suite 101 New York, NY 10027				
<i>For one-hour PBS broadcast and extensive outreach of a Socratic Dialogue on Severe Mental Illness: Law, Science and Society</i>				
\$1,000,000.00				
2008				
Friends of Oakland Parks and Recreation	170 (b) (1)	\$0.00	\$18,200.00	\$0.00
P.O. Box 13267	(A) (vi)			
Oakland, CA 94661				
<i>To prepare the way for the introduction of ParkScan into the City of Oakland. (2007-5-64 GAS)</i>				
\$18,200.00				
2007				
Fund For The City Of New York Inc	170 (b) (1)	\$1,360,750.00	\$155,000.00	\$1,205,750.00
121 Avenue of the Americas	(A) (vi)			
6th Floor New York, NY 10013				
<i>Support for Sloan Awards for Excellence in Teaching Science and Mathematics in New York City Public High Schools.</i>				
\$1,360,750.00				
2008				

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Fund For The City Of New York Inc	170 (b) (1)	\$450,000.00	\$0.00	\$450,000.00
121 Avenue of the Americas	(A) (vi)			
6th Floor				
New York, NY 10013				
<i>To continue support for the Sloan Public Service Awards</i>				
\$450,000.00				
2008				
Galatee Films	Expenditure	\$2,700,000.00	\$2,000,000.00	\$700,000.00
41, avenue	Responsibility			
Paris, France 75017				
France				
<i>To complete production of the seminal documentary film Oceans, to cement its public affiliation with the Census of Marine Life, and to enhance its legacy for the scientific community</i>				
\$2,700,000.00				
2008				
Georgetown University	170 (b) (1)	\$0.00	\$1,508,314.00	\$0.00
37th & O Street, NW	(A) (ii)			
Washington, DC 20057				
<i>Support renewal of Workplace Flexibility</i>				
2010				
\$3,056,112.00				
2007				
Georgetown University	170 (b) (1)	\$0.00	\$0.00	\$364,600.00
37th & O Street, NW	(A) (ii)			
Washington, DC 20057				
<i>Support for program of policy-relevant research, conferences, policy briefings, and publications focused on temporary worker programs in the United States.</i>				
\$600,000.00				
2007				
Georgia Tech Research Corporation	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00
Atlanta, GA 30332	(A) (ii)			
<i>For a Sloan Industry Studies Fellowship for Dan Breznitz. (2008-5-14 IC)</i>				
\$45,000.00				
2008				
Georgia Tech Research Corporation	509 (a) (3)	\$0.00	\$100,000.00	\$0.00
505 Tenth Street, NW				
Atlanta, GA 30332-0415				
<i>Support for a final three years of the Center for Paper Business and Industry Studies.</i>				

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\$600,000.00 2006				
Golden Gate University 536 Mission Street San Francisco, CA 94105 <i>Support for conversion of 96 courses to blend ALN for local learners</i>	170 (b) (1) (A) (ii)	\$0.00	\$100,000.00	\$0.00
\$200,000.00 2007				
Greater Washington Educational Telecommunications Association Inc 370 South Four Mile Run Drive Arlington, VA 3220620013 <i>To research, produce and broadcast a regular, branded bi-weekly series on financial and economic literacy as part of The NewsHour with Jim Lehrer, with extensive web components and outreach</i>	170 (b) (1) (A) (vi)	\$987,160.00	\$500,000.00	\$487,160.00
\$987,160.00 2008				
Stanley Greenberg 423 Atlantic Avenue, Apt. 4N Brooklyn, NY 11217 <i>Research and preparation of a book of photographs with text on particle detectors.</i>	Other	\$0.00	\$22,500.00	\$0.00
\$45,000.00 2007				
Hamptons International Film Festival 236 W 30th Street, 6th Floor New York, NY 10001 <i>To commission and highlight science and technology films and to develop S&T screenplays.</i>	170 (b) (1) (A) (vi)	\$487,000.00	\$220,000.00	\$267,000.00
\$487,000.00 2008				
President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Support to develop a new architecture for the U.S. National Accounts</i>	170 (b) (1) (A) (ii)	\$0.00	\$150,000.00	\$150,000.00
\$500,000.00 2006				
President and Fellows of Harvard College 1350 Massachusetts Avenue	170 (b) (1) (A) (ii)	\$0.00	\$30,759.00	\$0.00

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Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>For Sloan Industry Studies Fellowship for Anita Tucker. This is a Transfer grant from the University of Pennsylvania. (2005-5-48 IC-T)</i> \$30,759.00 2007				
Hastings Center Inc 21 Malcolm Gordon Road Garrison, NY 10524 <i>To investigate ethical issues in synthetic biology</i> \$512,365.00 2008	170 (b) (1) (A) (vi)	\$512,365.00	\$0.00	\$512,365.00
University of Hawaii Office of Research Services Sakamaki D200, 2530 Dole Street Honolulu, HI 96822 <i>To complete the abyssal plains field project for the first Census of Marine Life</i> \$725,000.00 2008	170 (b) (1) (A) (ii)	\$725,000.00	\$0.00	\$725,000.00
University of Hawaii at Manoa 2500 Campus Road Honolulu, HI 96822 <i>To continue to coordinate and promote the abyssal field program of the Census of Marine Life</i> \$900,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$300,000.00	\$0.00
ICPO-INTERPOL 200, Quai Charles de Gaulle 69006 Lyon, France <i>To provide renewed support for the development of INTERPOL's capacity to counter bioterrorism by raising awareness, developing police training programs and strengthening law enforcement.</i> \$1,850,000.00 2008	Other	\$1,850,000.00	\$800,000.00	\$1,050,000.00
University of Illinois at Springfield One University Plaza Springfield, IL 62703-5407 <i>Support for development and</i>	170 (b) (1) (A) (ii)	\$0.00	\$100,000.00	\$100,000.00

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<i>implementation of 40 courses within three</i>				
<i>Local Area-ALN (Blended) degree</i>				
<i>programs and one certificate program</i>				
\$200,000.00				
2007				
Independent Sector	170 (b) (1)	\$15,000.00	\$15,000.00	\$0.00
1200 Eighteenth Street, NW	(A) (vi)			
Suite 200				
Washington, DC 20036				
<i>General Support (Membership dues)</i>				
<i>(2008-3-14)</i>				
\$15,000.00				
2008				
Institute for International Economics	170 (b) (1)	\$0.00	\$200,000.00	\$0.00
1750 Massachusetts Avenue, NW	(A) (vi)			
Washington, DC 20036-1903				
<i>To support research projects on U.S.</i>				
<i>competitiveness</i>				
\$400,000.00				
2007				
Institute of Internal Auditors-Research Foundation	509 (a) (2)	\$0.00	\$0.00	\$113,010.00
247 Maitland Avenue				
Altamonte Springs, FL 32701-4201				
<i>To help the Institute of Internal Auditors</i>				
<i>further strengthen and expand auditors'</i>				
<i>roles in government performance</i>				
<i>measurement and reporting.</i>				
\$330,360.00				
2006				
International Council For The Life Sciences	170 (b) (1)	\$200,000.00	\$200,000.00	\$0.00
1747 Pennsylvania Avenue, NW 7th Floor	(A) (ii)			
Washington, DC 20006				
<i>To provide partial support for Biosafety</i>				
<i>and Biosecurity International Conference</i>				
<i>(BBIC) 2009</i>				
\$200,000.00				
2008				
The Internet Archive	Expenditure Responsibility	\$0.00	\$500,000.00	\$0.00
P.O. Box 29244				
San Francisco, CA 94129-0244				
<i>To digitize select high-profile collections</i>				
<i>from five world-class institutions and</i>				
<i>strengthen the open access approach to</i>				
<i>recorded knowledge.</i>				
\$1,000,000.00				

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2006 International City County Management Association 777 North Capitol Street, NE - Suite 500 Washington, DC 20002-4201 <i>To support a program to encourage local governments to adopt Citizen Relationship Management systems, including 311 and online equivalents.</i> \$486,145.00	509 (a) (2)	\$486,145.00	\$240,280.00	\$245,865.00
2008 Istvan Hargittai Szt. Gellert ter 4 (1.em.7) Budapest 1111 Hungary <i>Research for a book on Edward Teller</i> \$13,320.00	Expenditure Responsibility	\$13,320.00	\$0.00	\$13,320.00
2008 John Hopkins University Baltimore, MD 21218-2688 <i>For research on "Occupational Labor Shortages: Concepts, Causes, Consequences and Cures."</i> \$290,439.00	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$145,000.00
2006 John Hopkins University Baltimore, MD 21218-2688 <i>For a Sloan Industry Studies Fellowship for Ravi Aron.</i> \$45,000.00	170 (b) (1) (A) (ii)	\$45,000.00	\$0.00	\$45,000.00
2008 Keystone Symposia 3075 East Thousand Oaks Boulevard Westlake Village, CA 91362 <i>To help Keystone Symposia increase the participation of underrepresented minority scientists among symposia leaders and attendees</i> \$200,207.00	170 (b) (1) (A) (vi)	\$0.00	\$132,140.00	\$68,067.00
2007 La Theatre Works 681 Venice Boulevard Venice, CA 90291 <i>To record, broadcast and promote four Sloan-commissioned science plays as part of a monthly series on public radio and as</i>	170 (b) (1) (A) (vi)	\$0.00	\$126,000.00	\$0.00

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<i>part of an international radio Theatre</i>				
<i>Festival</i>				
\$253,000.00				
2006				
La Theatre Works	170 (b) (1)	\$319,024.00	\$159,512.00	\$159,512.00
681 Venice Boulevard	(A) (vi)			
Venice, CA 90291				
<i>To record 4 new science radio plays, including 2 Sloan commissions, and to broadcast the complete 17-science-play series on public radio and in libraries and schools</i>				
\$319,024.00				
2008				
Labor Project for Working Families	170 (b) (1)	\$0.00	\$230,178.00	\$0.00
2521 Channing Way #5555	(A) (vi)			
Berkeley, CA 94720				
<i>Support for design and implement a searchable online database on union contract language regarding work and family issues, particularly workplace flexibility.</i>				
\$230,178.00				
2007				
League for Innovation in the Community College	170 (b) (1)	\$0.00	\$100,000.00	\$30,000.00
4505 East Chandler Blvd., Suite 250	(A) (vi)			
Phoenix, AZ 85048				
<i>Support to move project SAIL (Specialty Asynchronous Industry Learning), an online marketplace for buying, selling and exchanging online courses (with or without a faculty instructor) and to make it self-sufficient</i>				
\$194,000.00				
2007				
The Levy Economics Institute at Bard College	170 (b) (1)	\$332,450.00	\$55,000.00	\$277,450.00
Annandale-on-Hudson, NY 12504-5000	(A) (ii)			
<i>Partial support for a collaborative international effort to develop comparable measures of economic well-being in five industrialized countries including the United States</i>				
\$332,450.00				
2008				
Library of Congress	170 (c) (1)	\$0.00	\$1,000,000.00	\$0.00

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Washington, DC 20540 <i>To digitize 136,000 public domain books and to develop mass digitization capability for the world's largest library.</i> \$2,000,000.00 2006				
Living Archives, Inc. 262 W. 91st Street New York, NY 10024 <i>To develop, produce and broadcast three one-hour PBS documentaries about three women scientists</i> \$975,000.00 2006	170 (b) (1) (A) (vi)	\$0.00	\$487,500.00	\$0.00
Louisiana State University Baton Rouge, LA 70803 <i>To further the explorations and conduct the synthesis phase of the continental margins project of the Census of Marine Life</i> \$1,230,000.00 2008	170 (b) (1) (A) (ii)	\$1,230,000.00	\$500,000.00	\$730,000.00
Lyrasis 3000 Market Street, Suite 200 Philadelphia, PA 19104-2801 <i>To encourage a regional scanning center in the Mid-Atlantic Region for digitizing library collections under open principles</i> \$250,000.00 2008	509 (a) (2)	\$250,000.00	\$250,000.00	\$0.00
Magic Theatre Landmark Building D Fort Mason Center San Francisco, CA 94123 <i>To commission, develop and present new plays about science and technology.</i> \$264,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$132,000.00	\$132,000.00
Manhattan Theatre Club 311 West 43rd Street, 8th Floor New York, NY 10036 <i>To commission nine science and technology plays over three years and to support readings, workshops and stage productions of science and technology plays. (2003-10-18)</i> \$500,000.00 2003	509 (a) (2)	\$0.00	\$0.00	\$100,000.00

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Manhattan Theatre Club 311 West 43rd Street, 8th Floor New York, NY 10036 <i>To commission, develop and produce science and technology plays.</i> \$465,000.00 2006	509 (a) (2)	\$0.00	\$155,000.00	\$0.00
Marine Biological Laboratory Woods Hole, MA 02543 <i>To continue management and implementation of the microbial component of the Census of Marine Life</i> \$1,210,000.00 2006	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$410,000.00
Marine Biological Laboratory Woods Hole, MA 02543 <i>To develop a novel combinatorial technology for imaging and distinguishing between members of a microbial community.</i> \$1,202,460.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$600,000.00	\$0.00
Marine Biological Laboratory Woods Hole, MA 02543 <i>To develop a prototype tool for describing and comparing microbial communities, VAMPS (Visualization and Analysis of Microbial Population Structures)</i> \$300,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$150,896.00	\$0.00
Marine Biological Laboratory Woods Hole, MA 02543 <i>To test and develop the rare biosphere as a field of study</i> \$1,215,100.00 2008	170 (b) (1) (A) (vi)	\$1,215,100.00	\$586,691.00	\$628,409.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 <i>To renew support for a final three years of the Global Airline Industry Center.</i> \$650,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$125,000.00	\$0.00
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 <i>To support decision-oriented analysis of</i>	170 (b) (1) (A) (ii)	\$0.00	\$145,000.00	\$0.00

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<i>pandemic flu preparedness and response</i>				
\$295,000.00				
2007				
Massachusetts Institute of Technology	170 (b) (1)	\$400,000.00	\$0.00	\$400,000.00
77 Massachusetts Avenue	(A) (ii)			
Cambridge, MA 02139				
<i>To partially fund an MIT study on the Future of Solar Energy</i>				
\$400,000.00				
2008				
The Board of Trustees of the University of Massachusetts at Amherst	170 (b) (1)	\$34,094.00	\$34,094.00	\$0.00
140 Hicks Way	(A) (ii)			
Amherst, MA 01003				
<i>To support research on unofficial flexibility through an analysis of day to day schedule changes</i>				
\$34,094.00				
2008				
Memorial University of Newfoundland	Foreign	\$1,200,000.00	\$600,000.00	\$600,000.00
St. John's, Newfoundland A1C 5S7	Educational			
Canada	Inst.			
<i>To conduct activities integrating and summarizing findings from all component programs of the Census of Marine Life.</i>				
\$1,200,000.00				
2008				
Metropolitan Opera Association Inc	170 (b) (1)	\$1,000,000.00	\$1,000,000.00	\$0.00
30 Lincoln Center	(A) (vi)			
New York, NY 10023				
<i>For PBS broadcast and live high-definition transmission into movie theaters of the science opera Dr. Atomic, along with science symposia and ancillary outreach activities.</i>				
\$1,000,000.00				
2008				
University of Michigan	170 (b) (1)	\$0.00	\$161,887.00	\$0.00
Ann Arbor, MI 48109-1274	(A) (ii)			
<i>To support research on professional conditions and experiences of contingent faculty in higher education</i>				
\$304,182.00				
2007				
University of Minnesota Foundation	170 (b) (1)	\$0.00	\$34,430.00	\$0.00
Minneapolis, MN 55455	(A) (iv)			
<i>To support a workshop on the "U.S.</i>				

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<i>Industry and Climate Change: Impacts, Policies, and Responses."</i> (2007-5-61 IC)				
\$34,430.00				
2007				
University of Missouri, St. Louis	170 (b) (1)	\$41,166.00	\$41,166.00	\$0.00
St. Louis, MO 63121-4499	(A) (ii)			
<i>For studying foreign talent in the Science & Engineering Workforce.</i>				
\$41,166.00				
2008				
Montana Tech of the University of Montana	170 (b) (1)	\$0.00	\$18,550.00	\$46,100.00
1300 West Park Street	(A) (ii)			
Butte, MT 59701-8997				
<i>To continue and grow the American Indian Graduate Program at Montana Tech.</i>				
(2007-12-14)				
\$64,650.00				
2007				
University of Montana	170 (b) (1)	\$0.00	\$46,652.00	\$98,898.00
Missoula, MT 59812	(A) (iv)			
<i>To continue and grow the American Indian Graduate Program at the University of Montana, Missoula</i>				
\$145,550.00				
2007				
Muséum National d'Histoire Naturelle	Foreign - Research	\$0.00	\$175,000.00	\$100,000.00
57 rue Cuvier				
F-75231 Paris cedex 05				
France				
<i>To accelerate marine barcoding so that by the completion of the Census of Marine Life barcodes exist for all key marine groups and allow creation of an updated tree of marine life. (2007-12-1-C5)</i>				
\$275,000.00				
2007				
Museum of the Moving Image	170 (b) (1)	\$0.00	\$70,000.00	\$0.00
35th Avenue at 36th Street	(A) (vi)			
Astoria, NY 11106				
<i>To enhance the Sloan film program by showcasing award-winning student films and filmmakers, holding annual events and creating a science and film web hub.</i>				
\$212,000.00				
2007				

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National Academy Of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 <i>To support an international symposium to explore the opportunities and challenges posed by the emerging field of synthetic biology.</i> \$55,000.00 2008	170 (b) (1) (A) (vi)	\$55,000.00	\$55,000.00	\$0.00
National Action Council For Minorities In Engineering 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 <i>To fund the Minority Ph.D Program and the American Indian Program from July 1, 2006 through June 30, 2007</i> \$4,906,632.00 2008	170 (b) (1) (A) (vi)	\$4,906,632.00	\$4,400,000.00	\$506,632.00
National Action Council For Minorities In Engineering 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 <i>To fund the Minority Ph.D. Program and the American Indian Program from July 1, 2007 through June 30, 2008. (2008-8-2 MPHD)</i> \$4,024,421.00 2008	170 (b) (1) (A) (vi)	\$4,024,421.00	\$0.00	\$4,024,421.00
National Action Council For Minorities In Engineering 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 <i>To enable the National Action Council for Minorities in Engineering to continue administering the Sloan Minority Ph.D. Program and American Indian Graduate Program for an additional three years.</i> \$456,400.00 2008	170 (b) (1) (A) (vi)	\$456,400.00	\$152,300.00	\$304,100.00
National Action Council For Minorities In Engineering 440 Hamilton Avenue, Suite 302 White Plains, NY 10601-1813 <i>To fund new obligations in the Minority Ph.D. Program and the American Indian Graduate Program from July 1, 2008 through June 30, 2009.</i>	170 (b) (1) (A) (vi)	\$3,900,000.00	\$0.00	\$3,900,000.00

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\$3,900,000.00				
2008				
National Bureau Of Economic Research Inc	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$750,200.00
1050 Massachusetts Avenue Cambridge, MA 02138-5398 <i>To support the Science and Engineering Workforce and Policy Center.</i>				
\$1,086,200.00				
2005				
National Bureau Of Economic Research Inc	170 (b) (1) (A) (vi)	\$302,000.00	\$302,000.00	\$0.00
1050 Massachusetts Avenue Cambridge, MA 02138-5398 <i>To perform an in-depth empirical study of the strategic, economic and epidemiological factors affecting the global location of clinical trials in the biopharmaceutical industry</i>				
\$302,000.00				
2008				
National Bureau Of Economic Research Inc	170 (b) (1) (A) (vi)	\$588,800.00	\$0.00	\$588,800.00
1050 Massachusetts Avenue Cambridge, MA 02138-5398 <i>Research on Market Institutions and Financial Market Risk</i>				
\$588,800.00				
2008				
National Center for Civic Innovation, Inc.	170 (b) (1) (A) (vi)	\$0.00	\$434,960.00	\$0.00
c/o Fund for the City of New York 121 Avenue of the Americas, 6th Floor New York, NY 10013 <i>To create a group of governments and government managers that have adopted and will promote citizen-informed performance measurement and reporting. (2006-10-4)</i>				
\$869,070.00				
2006				
National Center for Civic Innovation, Inc.	170 (b) (1) (A) (vi)	\$2,942,880.00	\$943,070.00	\$1,999,810.00
c/o Fund for the City of New York 121 Avenue of the Americas, 6th Floor New York, NY 10013 <i>To sustain and continue advancing the field of citizen-informed and citizen-based performance measurement and reporting.</i>				

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\$2,942,880.00				
2008				
National Conference of State Legislatures 7700 East First Place Denver, CO 80230 <i>The PSM Degree and the STEM Workforce: The Role of State Legislatures</i>	170 (c) (1)	\$183,132.00	\$0.00	\$183,132.00
\$183,132.00				
2008				
National Geographic Society Tax Department 1145 17th Street, NW Washington, DC 20036-4688 <i>For co-funding for a drama documentary about Charles Darwin to be aired on NOVA and National Geographic Channel</i>	509 (a) (2)	\$1,500,000.00	\$750,000.00	\$750,000.00
\$1,500,000.00				
2008				
National Governors Association Center for Best Practices 444 North Capitol Street, NW - Suite 267 Washington, DC 20001 <i>To fund activities on the Professional Science Master's degrees as part of National Governors Association 2007 Chair's Initiative "Innovation America."</i>	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$90,194.00
\$340,194.00				
2006				
National Public Radio, Inc. 635 Massachusetts Avenue, NW Washington, DC 2001-3753 <i>Support for a science-and-art strand on Science Friday radio broadcasts and on the Science Friday Web site.</i>	170 (b) (1) (A) (vi)	\$400,000.00	\$200,000.00	\$200,000.00
\$400,000.00				
2008				
New America Foundation 1630 Connecticut Avenue, NW 7th Floor Washington, DC 20009 <i>Support efforts to build bi-partisan support and consensus for workplace flexibility in Washington, D.C.</i>	170 (b) (1) (A) (vi)	\$0.00	\$187,500.00	\$0.00
\$362,500.00				
2007				
New America Foundation 1630 Connecticut Avenue, NW	170 (b) (1) (A) (vi)	\$350,000.00	\$350,000.00	\$0.00

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7th Floor Washington, DC 20009 <i>To develop new ideas about U.S. competitiveness and economic policies through a series of conferences, workshops and articles.</i>				
\$350,000.00 2008				
New England States Government Finance	501 (c) (6)	\$0.00	\$50,900.00	\$99,100.00
Officers Association 145 Taunton Avenue East Providence, RI 02914 <i>To help launch the New England States Performance Measurement Pilot Project</i>				
\$150,000.00 2007				
University of New Hampshire	170 (b) (1) (A) (ii)	\$0.00	\$525,000.00	\$0.00
Durham, NH 03824 <i>To conduct the synthetic phase of the History of Marine Animal Populations program of the Census of Marine Life</i>				
\$1,050,000.00 2007				
New Mexico State University Foundation	170 (b) (1) (A) (ii)	\$0.00	\$175,000.00	\$0.00
P.O. Box 30001 Las Cruces, NM 88003-8001 <i>Support for a New Mexico-based ALN project aimed at American Indians in pueblos.</i>				
\$500,000.00 2006				
New Orleans Public Library Foundation	170 (b) (1) (A) (vi)	\$0.00	\$176,000.00	\$0.00
219 Loyola Avenue New Orleans, LA 70112 <i>Pilot project to replace Katrina-damage book inventory and serve local citizens and students by installing an Espresso Book Machine in the New Orleans Public Library system.</i>				
\$353,000.00 2006				
New School University	170 (b) (1) (A) (ii)	\$0.00	\$100,000.00	\$75,000.00
66 West 12th Street New York, NY 10011 <i>Support to add new online courses so that their Liberal Arts B.A. is 50% online</i>				
\$275,000.00				

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2007				
New York Botanical Garden Bronx, NY 10458-5126 <i>To accelerate the development of DNA barcodes for plants by operating an international research network and by leading the effort for the 100,000 tree species of the world.</i>	170 (b) (1) (A) (vi)	\$0.00	\$250,000.00	\$72,000.00
\$572,000.00				
2007				
New York University 70 Washington Square North New York, NY 10012-1019 <i>To support screenwriting and production of science and technology films by the top film students</i>	170 (b) (1) (A) (ii)	\$0.00	\$133,150.00	\$0.00
\$399,450.00				
2006				
New York University 70 Washington Square North New York, NY 10012-1019 <i>For a production grant to a film school graduate for a first feature film that deals with science and technology.</i>	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$127,000.00
\$382,000.00				
2006				
New York University 70 Washington Square North New York, NY 10012-1019 <i>To implement private sector preparedness initiatives</i>	170 (b) (1) (A) (ii)	\$150,000.00	\$0.00	\$150,000.00
\$150,000.00				
2008				
University of North Carolina at Chapel Hill Chapel Hill, NC 27514 <i>To improve business and public health preparedness by developing legislative and policy templates for "Good Samaritan" emergency liability protection.</i>	170 (b) (1) (A) (ii)	\$199,743.00	\$199,743.00	\$0.00
\$199,743.00				
2008				
Office for Oregon Health Policy Research Salem, OR <i>To study the impact of health insurance coverage on the health and the quality of healthcare for low income adults using the</i>	Not for Profit Corporation	\$1,000,000.00	\$250,000.00	\$750,000.00

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<i>Oregon Health Insurance Lottery as a natural experiment.</i>				
\$1,000,000.00				
2008				
Open Knowledge Commons Inc.	Expenditure	\$0.00	\$235,000.00	\$165,000.00
c/o Boston Public Library	Responsibility			
700 Boylston Street				
Boston, MA 02116				
<i>to establish a chief coordinating body to help organize and facilitate the creation of an open, online digital library</i>				
\$400,000.00				
2006				
Pennsylvania State University	170 (b) (1)	\$0.00	\$178,300.00	\$100,000.00
0101 Old Botany	(A) (ii)			
University Park, PA 16802-3693				
<i>Support for work with US Department of Labor to set up a multi-state online education and training pilot project</i>				
\$278,300.00				
2007				
Pennsylvania State University	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00
0101 Old Botany	(A) (ii)			
University Park, PA 16802-3693				
<i>For a Sloan Work-Family Early Career Development Grant. (2008-5-21 ECD)</i>				
\$45,000.00				
2008				
Trustees Of The University Of Pennsylvania	170 (b) (1)	\$0.00	\$0.00	\$0.00
P221 Franklin Building	(A) (ii)			
3451 Walnut Street				
Philadelphia, PA 19104-6205				
<i>For Sloan Industry Studies Fellowship for Anita Tucker.</i>				
<i>(2005-5-48 IC)</i>				
\$14,241.00				
2005				
Trustees Of The University Of Pennsylvania	170 (b) (1)	\$0.00	\$94,583.00	\$0.00
P221 Franklin Building	(A) (ii)			
3451 Walnut Street				
Philadelphia, PA 19104-6205				
<i>Support for administrative costs for a new Alfred P. Sloan Foundation Early Career Development Grant Program for Work-Family Research.</i>				

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\$189,102.00				
2007				
Trustees Of The University Of Pennsylvania	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 <i>For Sloan Industry Studeis Fellowship for David Hsu. (2008-5-15 IC)</i>				
\$45,000.00				
2008				
Trustees Of The University Of Pennsylvania	170 (b) (1) (A) (ii)	\$733,318.00	\$0.00	\$733,318.00
P221 Franklin Building 3451 Walnut Street Philadelphia, PA 19104-6205 <i>To develop theoretically and empirically sound frameworks for guiding regulatory policy in the financial sector</i>				
\$733,318.00				
2008				
New York Regional Association Of Grantmakers Inc	170 (b) (1) (A) (vi)	\$24,000.00	\$24,000.00	\$0.00
79 Fifth Avenue 4th Floor New York, NY 10003-3076 <i>General Support (Membership dues) (2008-3-16)</i>				
\$24,000.00				
2008				
Pioneer Institute Inc.	170 (b) (1) (A) (vi)	\$0.00	\$50,000.00	\$50,000.00
85 Devonshire Street, 8th Floor Boston, MA 02109 <i>To support the Benchmarking Initiative Component of the Pioneer Institute's Middle Cities initiative.</i>				
\$100,000.00				
2007				
Upmc	170 (b) (1) (A) (iii)	\$0.00	\$1,500,000.00	\$0.00
621 East Pratt Street Suite 210 Baltimore, MD 21202 <i>To renew support for the Center for Biosecurity.</i>				
\$3,000,000.00				
2006				

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Upmc 621 East Pratt Street Suite 210 Baltimore, MD 21202 <i>To educate the US government officials on Biosecurity issues</i> \$750,000.00 2008	170 (b) (1) (A) (iii)	\$750,000.00	\$0.00	\$750,000.00
University of Pittsburgh Office of the Comptroller 350 Thackeray Hall Pittsburgh, PA 15260-6830 <i>To provide administrative services that support the industry studies community. (2006-10-15)</i> \$750,000.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$250,000.00	\$0.00
University of Pittsburgh Office of the Comptroller 350 Thackeray Hall Pittsburgh, PA 15260-6830 <i>To provide a variety of needed services to the industry studies community. (2006-10-14)</i> \$508,875.00 2006	170 (b) (1) (A) (ii)	\$0.00	\$169,625.00	\$0.00
University of Pittsburgh Office of the Comptroller 350 Thackeray Hall Pittsburgh, PA 15260-6830 <i>To provide final financial support for Industry Studies Annual Conferences</i> \$300,000.00 2008	170 (b) (1) (A) (ii)	\$300,000.00	\$0.00	\$300,000.00
Playwrights Horizons 416 West 42nd Street New York, NY 10036-6809 <i>To commission new science plays with a possible production grant</i> \$178,500.00 2005	509 (a) (2)	\$0.00	\$0.00	\$100,000.00
University System Of New Hampshire 17 High Street Plymouth, NH 03264-1595 <i>Disbursement of Innovation Award to winning applicant for the Alfred P. Sloan Awards for Faculty Career Flexibility in</i>	170 (b) (1) (A) (vi)	\$25,000.00	\$25,000.00	\$0.00

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<i>the Academy. (2008-5-4 CFA)</i>				
\$25,000.00				
2008				
Population Reference Bureau, Inc.	170 (b) (1)	\$383,000.00	\$168,000.00	\$215,000.00
1875 Connecticut Avenue, NW	(A) (vi)			
Suite 520				
Washington, DC 20009-5728				
<i>Develop and make widely available new cross-sectional and longitudinal data from the American Community Survey on the location and migration of the science and engineering workforce at the level of cities, counties and metropolitan areas</i>				
\$383,000.00				
2008				
City of Portland	170 (c) (1)	\$0.00	\$18,200.00	\$0.00
1221 SW 4th Avenue, Room 140				
Portland, OR 97204-1900				
<i>To prepare the way for introduction of ParkScan into the City of Portland.</i>				
<i>(2007-5-63 GAS)</i>				
\$18,200.00				
2007				
City of Portland	170 (c) (1)	\$135,011.00	\$135,011.00	\$0.00
1221 SW 4th Avenue, Room 140				
Portland, OR 97204-1900				
<i>To implement ParkScan for use in Portland parks.</i>				
\$135,011.00				
2008				
Public Radio International	509 (a) (2)	\$0.00	\$300,000.00	\$0.00
100 North Sixth Street				
Suite 900A				
Minneapolis, MN 55403				
<i>For regular science and technology coverage on a new weekday morning show.</i>				
\$300,000.00				
2007				
Public Technology Institute	170 (b) (1)	\$179,550.00	\$103,029.00	\$76,521.00
1301 Pennsylvania Avenue	(A) (vi)			
Suite 830				
Washington,, DC 20004				
<i>To launch a Citizen-Engaged Community Designation Program to identify, recognize and promote best practices in Citizen Relationship Management systems, including 311.</i>				

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\$179,550.00				
2008				
Purdue University West Lafayette, IN 47907 <i>To launch and fund the first three years of an American Indian Graduate Program at the Purdue University. (2006-12-18)</i>	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$93,182.00
\$245,203.00				
2006				
Purdue University West Lafayette, IN 47907 <i>Support for a statewide network anchored at 13 Purdue sites across the state of Indiana to provide blended engineering education statewide tuned for professionals in manufacturing</i>	170 (b) (1) (A) (ii)	\$0.00	\$125,000.00	\$75,000.00
\$375,000.00				
2007				
Queensland Museum PO Box 3300 South Brisbane QLD 4101 Australia <i>To accelerate marine barcoding so that by the completion of the Census of Marine Life barcodes exist for all key marine groups and allow creation of an updated tree of marine life. (2007-12-1-C4)</i>	Foreign Educational Inst.	\$0.00	\$100,000.00	\$85,000.00
\$185,000.00				
2007				
Resources For The Future Inc 1616 P Street, NW Washington, DC 20036-1400 <i>To conduct the first phase of a project to design and implement more effective measurement and monitoring of the world's forests</i>	170 (b) (1) (A) (vi)	\$330,000.00	\$0.00	\$330,000.00
\$330,000.00				
2008				
University of Rhode Island Kingston, RI 02881-2011 <i>To continue leading and conducting the education and outreach activities of the Census of Marine Life</i>	170 (b) (1) (A) (vi)	\$0.00	\$450,000.00	\$450,000.00
\$1,321,000.00				
2007				
Rutgers University 83 Somerset Street	170 (b) (1) (A) (ii)	\$0.00	\$28,721.00	\$0.00

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New Brunswick, NJ 08901				
<i>For support to organize and hold the 2008 Industry Studeis Annual Conference. (2007-10-18-C3)</i>				
\$28,721.00				
2007				
Rutgers University	170 (b) (1)	\$0.00	\$200,000.00	\$100,000.00
Piscataway, NJ 08854-8010	(A) (ii)			
<i>Start-up support for university-wide Professional Science Master's Initiative</i>				
\$300,000.00				
2007				
Rutgers University	170 (b) (1)	\$291,295.00	\$291,295.00	\$0.00
Newark, NJ 07102	(A) (ii)			
<i>To sustain and support the Public Performance Measurement and Reporting Network with the National Center for Public Performance as its secretariat</i>				
\$291,295.00				
2008				
Rutgers University Foundation	170 (b) (1)	\$236,937.00	\$182,535.00	\$54,402.00
191 College Avenue	(A) (iv)			
New Brunswick, NJ 08903				
<i>To support a study of the formation and operation of organized mothers' groups and the potential alliances that can be built among them to advance workplace flexibility</i>				
\$236,937.00				
2008				
Rutgers, The State University of New Jersey	170 (b) (1)	\$950,000.00	\$400,000.00	\$550,000.00
New Brunswick, NJ 08901-1281	(A) (ii)			
<i>To support the database system (OBIS) of the Census of Marine Life for its synthesis phase and its transition to independent status</i>				
\$950,000.00				
2008				
San Jose State University	170 (b) (1)	\$200,000.00	\$200,000.00	\$0.00
One Washington Square	(A) (iv)			
San Jose, CA 95192-0113				
<i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy. (2008-5-6 CFA)</i>				

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\$200,000.00				
2008				
Santa Clara University	170 (b) (1)	\$200,000.00	\$200,000.00	\$0.00
500 El Camino Real	(A) (vi)			
Santa Clara, CA 95053				
<i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy. (2008-5-3 CFA)</i>				
\$200,000.00				
2008				
The Science Festival Foundation	170 (b) (1)	\$0.00	\$325,000.00	\$0.00
230 West 41 Street	(A) (vi)			
Suite 1600				
New York, NY 10036				
<i>To help launch the first World Science Festival</i>				
\$650,000.00				
2007				
The Science Festival Foundation	170 (b) (1)	\$650,000.00	\$0.00	\$650,000.00
230 West 41 Street	(A) (vi)			
Suite 1600				
New York, NY 10036				
<i>To support the development and production of the second World Science Festival</i>				
\$650,000.00				
2008				
Scientific Committee on Antarctic Research (SCAR)	Foreign - Research	\$0.00	\$300,000.00	\$0.00
Lensfield Road, Cambridge CB2-1ER				
ENGLAND				
<i>To continue the implementation of the Antarctic program of the Census of Marine Life. (2006-10-7)</i>				
\$900,000.00				
2006				
Scientific Committee on Oceanic Research (SCOR)	509 (a) (2)	\$267,000.00	\$110,000.00	\$157,000.00
Department of Earth and Planetary Sciences				
The John Hopkins University				
125 Olin Hall, San Martin Drive				
Baltimore, MD 21218				
<i>To help the Census of Marine Life synthesize and disseminate its</i>				

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<i>technological achievements and to share lessons of the Census with other major international ocean research programs.</i>				
\$267,000.00				
2008				
Simmons College	170 (b) (1)	\$0.00	\$125,000.00	\$100,000.00
300 Fenway	(A) (ii)			
Boston, MA 02115				
<i>Support for a Local Area (Blended)</i>				
<i>Program for the Boston area</i>				
\$225,000.00				
2007				
Simmons College	170 (b) (1)	\$200,000.00	\$200,000.00	\$0.00
300 Fenway	(A) (ii)			
Boston, MA 02115				
<i>To implement a faculty flexibility accelerator program in association with the Alfred P. Sloan Awards for Faculty Career Flexibility in the Academy.</i>				
<i>(2008-5-10 CFA)</i>				
\$200,000.00				
2008				
Sloan Consortium Inc	170 (b) (1)	\$4,000,000.00	\$0.00	\$4,000,000.00
P.O. Box 1238	(A) (vi)			
Newburyport, MA 01950				
<i>For support to transition Sloan-C into a self-sustaining society for online education</i>				
\$4,000,000.00				
2008				
Face Value LLC		\$2,500,000.00	\$0.00	\$2,500,000.00
2 East 12th Street				
Suite 3				
New York, NY 10003				
<i>Co-production of a feature film and television broadcast about screen siren and unheralded inventor Hedy Lamarr</i>				
<i>(Program Related Investment)</i>				
\$2,500,000.00				
2008				
Smithsonian Institution	170 (b) (1)	\$2,250,000.00	\$1,125,000.00	\$1,125,000.00
Washington, DC 20560	(A) (ii)			
<i>To continue to operate the Consortium for the Barcode of Life to advance rapid, inexpensive identification of species of animals, plants, and fungi using minimal DNA sequences.</i>				
\$2,250,000.00				

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2008				
University of South Carolina Research Foundation	509 (a) (3)	\$0.00	\$150,000.00	\$0.00
901 Sumter Street, Suite 510 Columbia, SC 29208 <i>To explore occupied and unoccupied school rooms for microbes using proteomics.</i> \$300,000.00				
2007				
South Shore Educational Collaborative	170 (b) (1) (A) (ii)	\$0.00	\$200,000.00	\$200,000.00
90 Industrial Park Road Hingham,, MA 02043 <i>For support to complete disciplines for which careers information is available on www.cornerstone.org Web site.</i> \$400,000.00				
2007				
University of Southampton	Foreign Educational Inst.	\$0.00	\$325,000.00	\$0.00
Empress Dock Southampton S014 3ZH - United Kingdom <i>To continue management and implementation of the component of the Census of Marine Life examining life around seafloor vents and in other deep-sea ecosystems that live off chemical energy rather than from photosynthesis</i> \$650,000.00				
2007				
University of Southern California	170 (b) (1) (A) (ii)	\$0.00	\$110,000.00	\$0.00
University Park Los Angeles, CA 90089 <i>To support screenwriting and production of science and technology films by the top film students</i> \$330,000.00				
2006				
University of Southern Maine	170 (b) (1) (A) (ii)	\$1,000,000.00	\$400,000.00	\$600,000.00
96 Falmouth Street Portland, Maine 04101-9300 <i>To carry out the synthesis phase of the Gulf of Maine Area program of the Census of Marine Life.</i> \$1,000,000.00				
2008				
Southern Utah University Foundation	170 (b) (1)	\$34,478.00	\$34,478.00	\$0.00

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351 West University Boulevard Cedar City, UT 84720-2498 <i>For job satisfaction of PhD Scientists and Engineers.</i> \$34,478.00 2008	(A) (iv)			
University of St. Thomas 2115 Summitt Avenue St. Paul, MN 55105-1096 <i>For occupational trends in job loss and dislocation among U.S. Scientists and Engineers.</i> \$41,179.00 2008	170 (b) (1) (A) (ii)	\$41,179.00	\$41,179.00	\$0.00
Stanford University Stanford, CA 94305 <i>To lead the Census of Marine Life field project discovering the distribution and abundance of apex predators in the Pacific Ocean and to spread coverage worldwide. (2007-6-16)</i> \$1,315,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$500,000.00	\$315,000.00
Stanford University Stanford, CA 94305 <i>For Biologging III Conference co-hosted by the Tagging of Pacific Pelagics Program of the CoML.</i> \$5,000.00 2008	170 (b) (1) (A) (ii)	\$5,000.00	\$5,000.00	\$0.00
State University of New York at Albany SUNY Learning Environments SUNY Plaza T-301 Albany, NY 12246 <i>Support to develop and implement education with enhanced online content for teachers in New York's Capital District.</i> \$260,000.00 2006	170 (b) (1) (A) (iv)	\$0.00	\$60,000.00	\$0.00
Research Foundation of State University of New York Oswego, NY 13126 <i>To implement professional science master's degrees on many SUNY campuses</i> \$455,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$225,000.00
Research Foundation of the State	170 (b) (1)	\$45,000.00	\$45,000.00	\$0.00

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University of New York NY <i>For a Sloan Work-Family Early Career Development Grant. (2008-5-24 ECD)</i> \$45,000.00 2008	(A) (vi)			
Sundance Institute P.O. Box 684429 Park City, UT 84068 <i>To support a program of S&T films, film panels and film fellowships at Sundance</i> \$487,000.00 2008	170 (b) (1) (A) (vi)	\$487,000.00	\$243,500.00	\$243,500.00
Syracuse University Syracuse, NY 13244 <i>For gender and racial patterns in the attainment of a Science/Engineering Baccalaureate</i> \$40,216.00 2008	170 (b) (1) (A) (ii)	\$40,216.00	\$40,216.00	\$0.00
Tribeca Film Institute Inc. 375 Greenwich Street New York, NY 10013 <i>To develop new science and technology feature films for production and to showcase S&T films and hold panels at the Tribeca Film Festival</i> \$689,000.00 2008	170 (b) (1) (A) (vi)	\$689,000.00	\$344,500.00	\$344,500.00
Twiga Foundation, Inc. Boise, ID 83702 <i>Support for research and outreach to promote workplace flexibility as a strategy to recruit, engage and retain older workers in the public sector in 50 states.</i> \$400,076.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$222,792.00	\$177,284.00
Twin Cities Public Television, Inc. KTCA-TV 172 East 4th Street St. Paul, MN 55101 <i>To produce and broadcast a 2-hour documentary about the 400-year development of the telescope.</i> \$1,000,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$500,000.00
University of Connecticut - Avery Point	170 (b) (1)	\$0.00	\$0.00	\$52,000.00

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Marine Science and Technology Center 1080 Shennecossett Road Groton, CT 06340 <i>To accelerate marine barcoding so that by the completion of the Census of Marine Life barcodes exist for all key marine groups and allow creation of an updated tree of marine life</i> \$112,000.00 2007	(A) (ii)			
University of Guelph Guelph, ON N1G 2W1 CANADA <i>To accelerate marine barcoding so that by the completion of the Census of Marine Life barcodes exist for all key marine groups and allow creation of an updated tree of marine life. (2007-12-1-C3)</i> \$239,000.00 2007	Foreign Educational Inst.	\$0.00	\$119,000.00	\$120,000.00
The Board of Trustees of the University of Illinois at Chicago 601 South Morgan Street Chicago, IL 60607 <i>To develop a microfluidic sieve to isolate and analyze individual microbes</i> \$248,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$76,517.00	\$0.00
The Board of Trustees of the University of Illinois at Chicago 601 South Morgan Street Chicago, IL 60607 <i>Support for UIC to initiate a strong effort aimed at providing mixed online/classroom (blended) full degree programs for Chicago area local students</i> \$383,000.00 2008	170 (b) (1) (A) (ii)	\$383,000.00	\$123,000.00	\$260,000.00
The Board of Trustees of the University of Illinois at Urbana-Champaign 601 East John Street Champaign, IL 61820 <i>Implementation of a centrally-administered, campus-wide, sustainable Professional Science Master's Program.</i>	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$223,336.00

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\$330,336.00				
2007				
The Board of Trustees of the University of Illinois at Urbana-Champaign	170 (b) (1) (A) (ii)	\$44,286.00	\$44,286.00	\$0.00
601 East John Street Champaign, IL 61820 <i>For underrepresented undergraduates in STEM: from matriculation to degree completion at large, research-intensive, public universities.</i>				
\$44,286.00				
2008				
University of Massachusetts Foundation, Inc.	170 (b) (1) (A) (vi)	\$0.00	\$200,000.00	\$0.00
225 Franklin Street Boston, MA 02110 <i>Support to create and augment online course and program offerings for the Massachusetts local area</i>				
\$650,000.00				
2006				
University Of Maryland Foundation Inc	170 (b) (1) (A) (vi)	\$0.00	\$250,000.00	\$0.00
3300 Metzert Road Adelphi, MD 20783 <i>To support further development of an institutional framework to prevent deliberate or inadvertent use of biological pathogens for destructive purposes</i>				
\$572,815.00				
2007				
Urban Institute	509 (a) (2)	\$0.00	\$410,000.00	\$0.00
2100 M Street, NW Washington, DC 20037 <i>To launch a project for public reporting of comparative performance information of state agencies, with citizen input.</i>				
\$510,000.00				
2007				
Vancouver Aquarium Marine Science Centre	509 (a) (2)	\$0.00	\$450,000.00	\$0.00
P.O. Box 3232 Vancouver, BC, Canada V6B 3X8 <i>To implement further the Pacific Ocean shelf tracking system for the Census of Marine Life and spread the system globally</i>				
\$1,300,000.00				
2006				

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Vancouver Aquarium Marine Science Centre P.O. Box 3232 Vancouver, BC, Canada V6B 3X8 <i>To complete the continental shelf field project for the first Census of Marine Life</i> \$1,000,000.00 2008	509 (a) (2)	\$1,000,000.00	\$0.00	\$1,000,000.00
J. Craig Venter Institute 9704 Medical Center Drive Rockville, MD 20850 <i>To study microbial genomics in the indoor environment using the first robotic pipeline dedicated to high throughput DNA amplification from single cells.</i> \$2,525,828.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$0.00	\$661,237.00
J. Craig Venter Institute 9704 Medical Center Drive Rockville, MD 20850 <i>To examine societal concerns in synthetic genomics</i> \$595,749.00 2008	170 (b) (1) (A) (vi)	\$595,749.00	\$0.00	\$595,749.00
Virginia Institute of Marine Science at the College of William and Mary P.O. Box 1346 Route 1208 Greate Road Gloucester Point, VA 23062-1346 <i>To continue implementation of the mid-atlantic ridge studies of the Census of Marine Life and begin synthesis</i> \$800,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$400,000.00	\$0.00
University of Virginia 914 Emmet St., N Charlottesville, VA 22903 <i>For a Sloan Work-Family Early Career Development Grant. (2008-5-23 ECD)</i> \$45,000.00 2008	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
W.E. Upjohn Institute for Employment Research 300 South Westnedge Avenue Kalamazoo, MI 49007 <i>Support for a project to assess the impacts of globalization on the measurement of</i>	170 (b) (1) (A) (vi)	\$0.00	\$150,000.00	\$58,865.00

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Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>U.S. official economic statistics.</i>				
\$208,865.00 2007				
University of Washington Box 355754 Seattle, WA 98195	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$80,000.00
<i>Support for an ALN version of their B.S. degree in Electrical Engineering</i>				
\$260,000.00 2005				
University of Washington Box 355754 Seattle, WA 98195	170 (b) (1) (A) (ii)	\$0.00	\$0.00	\$139,068.00
<i>To improve the retention and graduation rates for undergraduate engineering students.</i>				
\$354,390.00 2006				
University of Washington Box 355754 Seattle, WA 98195	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
<i>For a Sloan Industry Studies Fellowship for Sonali Shah</i>				
\$45,000.00 2008				
University of Washington Box 355754 Seattle, WA 98195	170 (b) (1) (A) (ii)	\$25,319.00	\$25,319.00	\$0.00
<i>For evaluating the role of postdoctoral training in the career achievement of PhD: A secondary analysis using data from the "PhDs Ten Years Later" Study.</i>				
\$25,319.00 2008				
Western Interstate Commission For Higher Education 3035 Center Green Drive Suite 210 Boulder, CO 80301-9752	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
<i>Support for initial stages of an Inter-(Western) State online course exchange facility</i>				
\$45,000.00 2008				
WGBH Educational Foundation	170 (b) (1)	\$0.00	\$0.00	\$600,000.00

2008
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Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
One Guest Street Boston, MA 02135 <i>Co-funding for a four-part PBS series on space and time, Fabric of the Cosmos.</i> \$1,200,000.00 2006	(A) (vi)			
WGBH Educational Foundation One Guest Street Boston, MA 02135 <i>To research and produce four documentaries about the role of science and technology in history for The American Experience.</i> \$2,750,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$1,350,000.00	\$0.00
WGBH Educational Foundation One Guest Street Boston, MA 02135 <i>To research, produce and broadcast extended profiles of scientists on NOVA Science Now.</i> \$500,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$500,000.00	\$0.00
WGBH Educational Foundation One Guest Street Boston, MA 02135 <i>Support for scientist profiles on NOVA scienceNOW prime time broadcast and on the world wide web</i> \$1,700,000.00 2008	170 (b) (1) (A) (vi)	\$1,700,000.00	\$850,000.00	\$850,000.00
Wikimedia Foundation P.O. Box 78350 San Francisco, CA 94107-8350 <i>To develop Wikimedia's organizational capacity and improve the quality of its flagship Wikipedia along with ancillary educational services</i> \$3,000,000.00 2008	170 (b) (1) (A) (vi)	\$3,000,000.00	\$1,000,000.00	\$2,000,000.00
University of Wisconsin Milwaukee, WI 53201-0413 <i>Support to expand their online programs for local populations.</i> \$500,000.00	170 (b) (1) (A) (ii)	\$0.00	\$200,000.00	\$100,000.00

2008
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Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
2006				
University of Wisconsin Milwaukee, WI 53201-0413 <i>For a Sloan Work-Family Early Career Development Grant</i>	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
\$45,000.00				
2008				
Wnyc Radio 160 Varick Street New York, NY 10013 <i>To enhance coverage of science and technology on public radio's Studio 360.</i>	170 (b) (1) (A) (vi)	\$0.00	\$226,350.00	\$0.00
\$438,000.00				
2006				
Wnyc Radio 160 Varick Street New York, NY 10013 <i>To make science and technology a regular feature on Studio 360</i>	170 (b) (1) (A) (vi)	\$487,500.00	\$250,000.00	\$237,500.00
\$487,500.00				
2008				
Wnyc Radio 160 Varick Street New York, NY 10013 <i>One year of support for Radiolab, an innovative public radio show about science</i>	170 (b) (1) (A) (vi)	\$225,000.00	\$0.00	\$225,000.00
\$225,000.00				
2008				
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027 <i>To identify and start to address risks associated with synthetic biology</i>	170 (b) (1) (A) (vi)	\$525,000.00	\$0.00	\$525,000.00
\$525,000.00				
2008				
Worcester Polytechnic Institute 100 Institute Road Worcester, MA 01609-2280 <i>To implement a National Professional Science Master's Association to serve the faculty and other university staff who operate the PSM degree programs.</i> (2007-6-3)	170 (b) (1) (A) (ii)	\$0.00	\$150,000.00	\$100,000.00
\$500,000.00				
2007				

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Trustee Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
World Health Organization Avenue Appia, 20 CH-1211 Geneva 27 SWITZERLAND <i>To prevent bioterrorism by raising awareness of the potential for misuse of biotechnology research</i> \$453,413.00 2007	Other	\$0.00	\$232,356.00	\$0.00
Yale University New Haven, CT 06520 <i>To explore the microbial ecology of the built environment.</i> \$595,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$197,669.00	\$397,331.00
Grand Totals (265 items)		\$81,345,178.00	\$64,572,063.00	\$70,265,668.00

2008
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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
American Association For The Advancement Of Science 1200 New York Avenue, NW Washington, DC 20005 <i>To supplement previous finding for a technical legal assistance workshop to help universities implement diversity programs</i> \$34,320.00 2008	509 (a) (2)	\$34,320.00	\$0.00	\$34,320.00
A Dec C218 Animal Science Box 830952 Lincoln, NE 68583-0952 <i>Support for final cycle of Sloan Minority Scholars support at Orlando Conference.</i> \$50,000.00 2008	509 (a) (2)	\$50,000.00	\$50,000.00	\$0.00
American Museum of Natural History Central Park West at 79th St. New York, NY 10024 <i>To develop a web version of: "The Biology of the Horse" interactive that is part of the: The Horse exhibition at the Museum of Natural History.</i> \$44,000.00 2008	170 (b) (1) (A) (vi)	\$44,000.00	\$44,000.00	\$0.00
American Psychological Association 750 First Street, NE Washington, DC 20002-4242 <i>Support for panels and presentations regarding Sloan-supported research on aging work force, working families and workplace flexibility at the 7th international conference on occupational stress and health. (B2008-1)</i> \$45,000.00 2008	509 (a) (2)	\$45,000.00	\$45,000.00	\$0.00
American Public Media 45 East Seventh Street Saint Paul, MN 55101-2202 <i>To complete the development of an interactive internet game about the US federal budget</i> \$45,000.00 2008	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
Astrophysical Research Consortium Seattle, WA 98195	170 (b) (1) (A) (vi)	\$30,500.00	\$30,500.00	\$0.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>To fund an international forum titled: The Sloan Digital Sky Survey: Asteroids to Cosmology.</i>				
\$30,500.00				
2008				
Biobricks Foundation Incorporated	170 (b) (1) (A) (vi)	\$60,500.00	\$60,500.00	\$0.00
Cambridge, MA 02139				
<i>to enhance discussions of ethical, policy, and societal dimensions at the 4th annual international meeting of the synthetic biology community.</i>				
\$60,500.00				
2008				
Board Of Control For Southern Regional Education	509 (a) (2)	\$20,000.00	\$20,000.00	\$0.00
592 10th Street, NW				
Atlanta, GA 30318-5790				
<i>Support for establishing satellite sites and local discussion groups for online attendees of the 2009 Sloan-C Symposium on Emerging Technologies for Online Education</i>				
\$20,000.00				
2008				
Brandeis University	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
415 South Street				
Waltham, MA 02454				
<i>Support pilot research to assess how caregiving responsibilities affect decisions by older workers to remain employed</i>				
\$45,000.00				
2008				
Brooklyn Academy of Music Inc	170 (b) (1) (A) (vi)	\$20,000.00	\$20,000.00	\$0.00
30 Lafayette Avenue				
Brooklyn, NY 11217				
<i>To screen two Sloan winning Sundance films and hold a panel with Sloan Filmmaker at the Sundance Institute at BAM festival.</i>				
\$20,000.00				
2008				
The Regents of the University of California, Los Angeles	170 (b) (1) (A) (ii)	\$35,000.00	\$35,000.00	\$0.00
405 Hilgard Avenue				
Los Angeles, CA 90095				
<i>For support to continue for one more year Professor Lynn Stout's outreach activities</i>				

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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>on team production. (B2008-10)</i>				
\$35,000.00				
2008				
Cannect	170 (b) (1) (A) (vi)	\$50,000.00	\$50,000.00	\$0.00
2214 East 13th Street Vancouver, WA 98661				
<i>Support for project with Sloan-C to develop ALN modifications suitable for blind students</i>				
\$50,000.00				
2008				
Carnegie Mellon University	170 (b) (1) (A) (ii)	\$20,000.00	\$20,000.00	\$0.00
5000 Forbes Avenue College of Fine Arts, CFA 106 Pittsburgh, PA 15213-3890				
<i>Support for travel to India to interview pharmaceutical firms.</i>				
\$20,000.00				
2008				
Catticus Corporation	170 (b) (1)(A) (vi)	\$121,976.00	\$121,976.00	\$0.00
2600 Tenth Street Berkeley, CA 94710				
<i>For co-production for a two-hour PBS documentary based on Michael Pollan's bestseller, The Botany of Desire.</i>				
\$121,976.00				
2008				
Research Foundation of the City University of New York	170 (b) (1) (A) (vi)	\$0.00	\$40,040.00	\$0.00
230 West 41st Street New York, NY 10036				
<i>To improve the ability of computer science undergraduates to transfer from two-year to four-year campuses at the City University of New York.</i>				
\$40,040.00				
2007				
Columbia University	170 (b) (1) (A) (ii)	\$100,000.00	\$100,000.00	\$0.00
New York, NY 10027				
<i>For a conference to spur discussion of "imperfect knowledge economics" for problems in exchange rates, equity markets, and behavioral economics.</i>				
\$100,000.00				
2008				
Community Indicators Consortium Incorporated	170 (b) (1) (A) (vi)	\$120,400.00	\$61,000.00	\$59,400.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Box 8222 Lynn, MA 01904 <i>To promote citizen-based and citizen-informed government performance measurement and reporting by creating stronger linkages between community indicator projects and government performance measurement</i> \$120,400.00 2008				
Delaware State University Foundation Inc 1200 North DuPont Highway Dover, DE 19901 <i>To support a planning grant for HBCU/Mid-Atlantic Professional Science Master's Alliance</i> \$29,276.69 2008	509(a)(3) Type I	\$50,000.00	\$50,000.00	\$0.00
Eclipse TV Production 100 Huguenot Ave, Apt 6A Englewood, NJ 07631 <i>To prepare video footage of the Census of Marine Life for current and archival uses</i> \$110,000.00 2008	For Profit Organization	\$110,000.00	\$50,000.00	\$60,000.00
Economic Policy Institute 1333 H Street, NW Suite 300, East Tower Washington, DC 20005-4707 <i>Exploratory research on subjective measures of economic well-being. (B2007-64)</i> \$45,000.00 2007	170 (b) (1) (A) (vi)	\$0.00	\$45,000.00	\$0.00
Educational Policy Institute Inc 2401 Seaboard Road, Suite 104 Virginia Beach, VA 23456 <i>To enable the EPI to identify comprehensively and describe current and recent efforts at the national, regional, state, and institutional levels to collect individual-level data in an effort to track student progress in postsecondary education.</i> \$39,975.00 2008	170 (b) (1) (A) (vi)	\$39,975.00	\$39,975.00	\$0.00
President and Fellows of Harvard College	170 (b) (1) (A) (ii)	\$58,880.00	\$58,880.00	\$0.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>Support for meeting to explore issues of IT diffusion in U.S. health care and determine if there is a feasible and important research agenda in this area.</i> \$58,880.00 2008				
President and Fellows of Harvard College 1350 Massachusetts Avenue Office for Sponsored Research Holyoke Center, Suite 600 Cambridge, MA 02138 <i>To organize and hold a conference about promising new areas of research in corporate governance</i> \$60,000.00 2008	170 (b) (1) (A) (ii)	\$60,000.00	\$0.00	\$60,000.00
Hastings Center Inc 21 Malcolm Gordon Road Garrison, NY 10524 <i>To map out the ethical issues in synthetic biology.</i> (B2008-14) \$45,000.00 2008	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
Hourglass Group, Ltd. 520 Eighth Avenue, Suite 317a New York, NY 10018 <i>Support for a play about the secret communication system invented by Hedy Lamarr and George Antheil.</i> \$20,000.00 2008	170 (b) (1) (A) (vi)	\$20,000.00	\$20,000.00	\$0.00
Intergovernmental Oceanographic Commission of UNESCO (IOC) 1, rue Miollis 75732 Paris, Cedex 15 FRANCE <i>To develop the long-term management of the database created by the Census of Marine Life</i> \$45,000.00 2008	UN Scientific Agency	\$45,000.00	\$45,000.00	\$0.00
Long Island University	170 (b) (1) (A) (ii)	\$19,956.00	\$19,956.00	\$0.00

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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
University Center 700 Northern Blvd. Greenvale, NY 11548 <i>To enable the preparation of an excellent proposal to fund the evaluation component of the Sloan Postdoctoral Advancement Fellowship.</i> \$19,956.00 2008				
Massachusetts Institute of Technology 77 Massachusetts Avenue Cambridge, MA 02139 <i>Partial support to develop video modules in support of International K-12 Education</i> \$45,000.00 2008	170 (b) (1) (A) (ii)	\$45,000.00	\$45,000.00	\$0.00
Mentornet 1275 S. Winchester Boulevard Suite E San Jose, CA 95128-3910 <i>To enable MentorNet to conduct strategic planning</i> \$98,500.00 2008	509 (a) (2)	\$98,500.00	\$98,500.00	\$0.00
University of Michigan Ann Arbor, MI 48109-1274 <i>For support to develop and deliver ALN modules for the Auto Industry</i> \$45,000.00 2007	170 (b) (1) (A) (ii)	\$0.00	\$45,000.00	\$0.00
University of Michigan Ann Arbor, MI 48109-1274 <i>Support continuation of the National Clearinghouse on Academic Work Life</i> \$15,000.00 2008	170 (b) (1) (A) (ii)	\$15,000.00	\$0.00	\$15,000.00
National Academy Of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 <i>To support the planning stages of an international meeting to explore the opportunities and challenges posed by the emerging field of synthetic biology</i> \$35,000.00 2008	170 (b) (1) (A) (vi)	\$35,000.00	\$35,000.00	\$0.00
National Academy Of Sciences 2101 Constitution Avenue, NW	170 (b) (1) (A) (vi)	\$69,495.00	\$69,495.00	\$0.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
Washington, DC 20418 <i>For publication and dissemination costs of the new Sloan-supported NRC report "Science Professionals: Master's Education for a Competitive World."</i> \$69,495.00 2008				
National Academy Of Sciences 2101 Constitution Avenue, NW Washington, DC 20418 <i>Support for travel by international experts to attend the NAS Workshop on Assessing Economic Impacts of Greenhouse Gas Mitigation</i> \$15,728.00 2008	170 (b) (1) (A) (vi)	\$15,728.00	\$15,728.00	\$0.00
National Geographic Society Tax Department 1145 17th Street, NW Washington, DC 20036-4688 <i>Integration of the National Geographic Pristine Seas Program with the Census of Marine Life Research Program</i> \$20,000.00 2008	509 (a) (2)	\$20,000.00	\$20,000.00	\$0.00
National Postdoctoral Association 1200 New York Avenue, NW Washington, DC 20005 <i>To support a planning grant for possible National Postdoctoral Survey Project</i> \$44,833.00 2008	170 (b) (1) (A) (vi)	\$44,833.00	\$44,833.00	\$0.00
Neil D Levin Graduate Institute foundation Incorporated 116 East 55th Street New York, NY 10022 <i>Support for "Innovate New York", a panel discussion series on how to make New York City more innovative.</i> \$60,000.00 2008	170 (b) (1) (A) (vi)	\$60,000.00	\$60,000.00	\$0.00
Niceville High School 800 E. John Sims Highway Niceville, FL 32578 <i>Support for participation of Florida high school students in the near-shore program of the Census of Marine Life.</i>	170 (b) (1) (A) (ii)	\$15,000.00	\$15,000.00	\$0.00

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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
\$15,000.00 2008 Oregon University System Industry Affairs Department 18640 NW Walker Road, Suite 1065 Beaverton, OR 97006 <i>For planning grant for statewide strategy for Professional Science Master's Degrees</i>	170 (c) (1)	\$21,000.00	\$21,000.00	\$0.00
\$21,000.00 2008 Persephone Productions Inc 1612 N. Hartford Street Arlington, VA 22201 <i>Support production of two segments on aging and work/workplace flexibility stories for the PBS show, To The Contrary</i>	170 (b) (1) (A) (vi)	\$125,000.00	\$0.00	\$125,000.00
\$125,000.00 2008 Guidestar Usa Inc 4801 Courthouse Street, Suite 220 Williamsburg, VA 23188 <i>Support for a complete data redesign for the new Form 990</i>	170 (b) (1) (A) (vi)	\$5,000.00	\$5,000.00	\$0.00
\$5,000.00 2008 University of Pittsburgh Office of the Comptroller 350 Thackeray Hall Pittsburgh, PA 15260-6830 <i>To establish an interdisciplinary network of industry studies researchers focused on the direct care service industries</i>	170 (b) (1) (A) (ii)	\$0.00	\$45,000.00	\$0.00
\$45,000.00 2007 Population Reference Bureau, Inc. 1875 Connecticut Avenue, NW Suite 520 Washington, DC 20009-5728 <i>For exploratory research to assess feasibility of filling gaps in comparative international data on the science and technology work force</i>	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
\$45,000.00 2008 Princeton University Princeton, NJ 08544 <i>To provide partial support for the 2008</i>	170 (b) (1) (A) (ii)	\$22,900.00	\$22,900.00	\$0.00

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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>Sloan-Swartz Center for Theoretical Neurobiology Summer Workshop</i> \$22,900.00 2008				
Public Library Of Science 185 Berry Street Suite 1300 San Francisco, CA 94107 <i>To develop a business plan for creating on-line hubs around scientific and medical subjects.</i> \$45,000.00 2008	509 (a) (2)	\$45,000.00	\$45,000.00	\$0.00
Richard Dawkins Foundation For Reason And Science P.O. Box 13604 Savannah, GA 31416 <i>Support for Science & Arts Lecture and Performance Series.</i> \$45,000.00 2008	Operating Foundation	\$45,000.00	\$45,000.00	\$0.00
RJ Berrier Ltd. 711 Montgomery Avenue, 2nd Floor Narberth, PA 19072 <i>To support preliminary work on a strategic communications plan regarding workplace flexibility</i> \$28,750.00 2008	For Profit Organization	\$28,750.00	\$28,750.00	\$0.00
University of Southern Maine 96 Falmouth Street Portland, Maine 04101-9300 <i>To develop 45 new ALN and Blended Courses and Programs</i> \$100,000.00 2008	170 (b) (1) (A) (ii)	\$100,000.00	\$50,000.00	\$50,000.00
Texas A & M University-Corpus Christi Foundation Inc 6300 Ocean Drive Corpus Christi, TX 78412-5869 <i>To make available on-line a large database on marine biodiversity of the Gulf of Mexico for the Census of Marine Life. (B2008-13)</i> \$45,000.00 2008	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
American Inns Of Court Foundation	170 (b) (1) (A) (ii)	\$69,000.00	\$0.00	\$69,000.00

Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
1430 Tulane Avenue #SL-3 New Orleans, LA 70112 <i>To research historical data about the effectiveness of school closings, quarantine and other measures during the 1918 influenza pandemic.</i> \$69,000.00 2008				
The Board of Trustees of the University of Illinois at Urbana-Champaign 601 East John Street Champaign, IL 61820 <i>To support travel to the 2008 Industry Studies Annual Conference by doctoral students from four U.S. schools of labor and employment relations</i> \$12,000.00 2008	170 (b) (1) (A) (ii)	\$12,000.00	\$12,000.00	\$0.00
University of New Mexico Albuquerque, NM 87131 <i>To conduct feasibility study for a program to expand participation of underrepresented minorities in the earth sciences</i> \$61,002.00 2008	170 (b) (1) (A) (ii)	\$61,002.00	\$0.00	\$61,002.00
University Of Maryland Foundation Inc 3300 Metzert Road Adelphi, MD 20783 <i>To engage and support leaders of university systems in encouraging development of PSM Degrees in their systems</i> \$40,000.00 2008	170 (b) (1) (A) (vi)	\$40,000.00	\$40,000.00	\$0.00
J. Craig Venter Institute 9704 Medical Center Drive Rockville, MD 20850 <i>To conduct planning activities to examine societal concerns in synthetic genomics</i> \$19,706.00 2008	170 (b) (1) (A) (vi)	\$19,706.00	\$19,706.00	\$0.00
Washington University in St. Louis One Brookings Drive St. Louis, MO 63130-4899 <i>To fund a third meeting for the study of</i>	170 (b) (1) (A) (ii)	\$19,200.00	\$19,200.00	\$0.00

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Officer Grants

Recipient and/or Purpose	Tax Status	Authorized During Year	Paid During Year	Approved for Future Payment
<i>student migration in and out of STEM fields.</i>				
\$19,200.00				
2008				
Woodrow Wilson International Center for Scholars	170 (b) (1) (A) (vi)	\$45,000.00	\$45,000.00	\$0.00
One Woodrow Wilson Plaza 1300 Pennsylvania Avenue, N.W. Washington, DC 20004-3027				
<i>To map out policy issues and establish a website in synthetic biology</i>				
\$45,000.00				
2008				
Grand Totals (58 items)		\$2,457,621.00	\$2,098,939.00	\$ 533,722.00

ALFRED P. SLOAN FOUNDATION

BY-LAWS

Adopted October 7, 2008

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ALFRED P. SLOAN FOUNDATION

BY-LAWS

ARTICLE I - MEMBERS

Section 1. Membership

Such persons, numbering no fewer than three (3) and no more than seventeen (17), who are interested in the objectives and purposes of the Alfred P. Sloan Foundation (the "Corporation"), may be elected members of the Corporation from time to time by the majority vote of the then members. The number of members may be set by the members at any meeting at which a quorum exists, provided that no decrease in the number of members may serve to diminish the term of any member then in office. In addition, the President of the Corporation shall be an ex officio member of the Corporation.

Section 2. Termination of Membership

Membership in the Corporation shall terminate upon death except as otherwise provided by law, the Certificate of Incorporation, or these By-Laws. Any member may be expelled by majority vote of the other members upon being guilty of conduct, which in the opinion of the majority of the other members is improper or prejudicial to the Corporation. Any member may voluntarily withdraw from membership in the Corporation. Any member who is also a Trustee shall automatically cease to be a member at such time as he ceases to be Trustee.

Section 3. Minimum Membership

There shall at all times be not less than three members of the Corporation, and if, for any reason, the total membership shall at any time fall below this number, the remaining members or member, as soon thereafter as is practicable shall choose a new member or members sufficient to bring the total membership up to at least three (3) members.

Section 4. Voting

Members shall be entitled to vote at meetings either in person or by proxy appointed by instrument in writing subscribed by the member or his or her duly authorized attorney. Each member shall be entitled to one vote. For those members not present at a meeting, votes may also be submitted by electronic transmission, provided that any such transmission either sets forth or is submitted with information from which it can be determined that the electronic transmission was authorized by the member or proxy holder.

Section 5. Quorum; Act of the Members

At all meetings of the members of the Corporation, a majority of the members present in person or represented by proxy shall constitute a quorum. Any action taken at any meeting of the members at which a quorum is present shall be authorized by a majority of the votes cast, not including abstentions.

Section 6. Annual Meeting of Members; Notice

The Annual Meeting of Members shall be held annually on the day of the Trustees' meeting in June, or on such other day as the members by majority vote or written consent may designate, at the principal office of the Corporation in the City of New York or at such other place in the City of New York as the Trustees may designate, and at such hour as may be designated in the notice of such meeting, for the election of Trustees and the transaction of such other business as may properly come before the meeting. Notice of the time, place and purpose of such meeting shall be given in person, by mail at each member's last known post office address with postage prepaid, or by electronic transmission if the member has consented to electronic notice and shall be given at least ten (10) and no more than sixty (60) days before such meeting.

Section 7. Special Meetings of Members; Notice

Special meetings of members may be called at any time by the Chair of the Board, the President or Secretary or a majority of the Trustees, and shall be called upon the request of a majority of the members, made in writing to the Chair of the Board, the President or the Secretary. Special meetings of the members shall be held at the principal office of the Corporation in the City of New York or at such other place as a majority of the Trustees may from time to time designate. A notice of every special meeting, stating the time, place and purpose shall be given in person, by mail at each member's last known post office address with postage prepaid, or by electronic transmission if the member has consented to receipt of electronic notice and shall be given at least ten (10) and no more than sixty (60) days before such meeting.

Section 8. Participation by Telephone

The Corporation expects members to attend meetings in person. However, subject to such guidelines and procedures as the Board of Trustees shall issue, any one or more members may participate in a meeting of the members by means of a conference telephone or similar communications equipment allowing all persons participating in the meeting to hear each other at the same time. Participation by such means will constitute presence in person at the meeting.

Section 9. Action Without a Meeting

Any action required or permitted to be taken at a meeting of members may be taken without a meeting, without prior notice, if a consent or consents in writing, setting forth the action so taken, shall be signed by and bear the date of signature of the minimum number of

members which would be required to take such action at a meeting at which all members were present and voted and shall be delivered within sixty (60) days of the earliest dated consent, by hand or by certified or registered mail, return receipt requested, to the Secretary of the Corporation. Prompt notice of any action taken by consent shall be given to those members who have not signed the consent, and the written consent shall be filed with the minutes of the proceedings of the members.

An electronic transmission consenting to an action to be taken and transmitted by a member or proxy holder shall be deemed to be written, signed, and dated for the purposes of this Section, provided that the transmission either sets forth or is submitted with information from which it can be determined that the transmission was authorized by the member or proxy holder. Electronic transmissions shall be submitted to the Secretary of the Corporation. No electronic transmission shall be deemed to have been delivered until it is reproduced by the Corporation in paper form.

Section 10. Adjournments

In the absence of a quorum at any meeting of members, the meeting may be adjourned from time to time by a majority vote of the members present in person. Any meeting at which a quorum is present may also be adjourned until such time and place as a majority of the members present or represented by proxy shall determine. If the adjournment is for less than thirty (30) days, notice need not be given of the adjourned meeting if the time and place are announced at the meeting that was adjourned. If the adjournment is for more than thirty (30) days, a notice of the adjourned meeting shall be given to each member entitled to vote.

ARTICLE II - TRUSTEES

Section 1. Powers and Duties

The Board of Trustees shall manage and control the affairs and property of the Corporation. All corporate powers, except such as are otherwise provided for in the Certificate of Incorporation, these By-Laws, or the laws of the State of Delaware, shall be and hereby are vested in and shall be exercised by the Board. The Board shall have full power to adopt rules and regulations governing all actions which it takes, except as otherwise provided by the laws of the State of Delaware, and shall have full authority with respect to the distribution and payment of monies received by the Corporation from time to time; provided, however, that the fundamental and basic purposes and powers of the Corporation, and the limitations thereon, as expressed in the Certificate of Incorporation, shall not thereby be amended or changed. The Board may, except as otherwise provided by the laws of the State of Delaware, delegate to committees of its own number, or to officers of the Corporation, such powers as it may see fit.

Section 2. Number; Election; Term of Office

The number of Trustees shall be no fewer than three (3) and no more than seventeen (17). The number of Trustees may be changed by majority vote of the members at any meeting at which a quorum exists, provided that no decrease in the number of Trustees may

serve to diminish the term of any Trustee then in office. Trustees shall be elected at the Annual Meeting of the Members by the vote of a majority of the members. Trustees' terms shall start at the Annual Meeting of their election or if elected between Annual Meetings, at the Annual Meeting next following their election.

Trustees shall be elected to a term of five years and, in any event, each Trustee will continue in office until his or her successor has been elected and qualified, or until his or her earlier death, resignation, or removal. Each Trustee is subject to renomination and reelection at the end of each five-year term from which he or she may be eligible for reelection. In no event shall a Trustee initially elected after June 11, 1997 and prior to March 24, 2008 hold office for more than three consecutive five-year terms. The normal retirement date for Trustees elected prior to June 11, 1997 is the Annual Meeting following their 72nd birthday; however, if they are in midterm at age 72, retirement will be extended to the end of their term. Trustees initially elected after March 24, 2008 may hold office for no more than three consecutive terms, or to the Annual Meeting following their 75th birthday, whichever comes sooner.

Section 3. Trustee ex officio

The President, upon his or her election as President, shall be a Trustee ex officio. As such, he or she shall have all the rights and responsibilities afforded to the office of Trustee, except that years served as Trustee ex officio shall be disregarded for the purpose of determining Trustee term limits as described in Section 2 of this Article.

Section 4. Quorum and Board Action

One-third of the total number of Trustees shall constitute a quorum for the transaction of business at any meeting and, except as otherwise provided by law or these By-laws, the act of a majority of the Trustees present at any meeting, at which a quorum is present, shall be the act of the Board of Trustees.

Section 5. Place of Holding Meetings and Keeping Books

The Trustees may hold their meetings and keep the books of the Corporation outside of the State of Delaware, at any office or offices of the Corporation, or at any other place, as they may from time to time determine.

Section 6. Annual Meeting; Notice

Each newly elected Board of Trustees may hold its first meeting for the purpose of organization and the transaction of business, if a quorum be present, immediately after the Annual Meeting of Members, or at such time and place as may be fixed by consent in writing of all the Trustees.

Section 7. Regular Meetings; Notice

Regular meetings may be held without notice at such times and places as shall be determined from time to time by resolution of the Board.

Section 8. Special Meetings; Notice

The Chair, President or Secretary may, and at the request of a majority of the Board of Trustees shall, call a special meeting of the Board of Trustees, on at least five (5) days' notice to each member of the Board given in person, by telephone, by mail to his or her last known post office address, or by electronic transmission if the Trustee has consented to receipt of electronic notice.

Section 9. Meeting Chair

The Chair of the Board of Trustees shall, if present, preside at all meetings of the Board. In the absence of the Chair of the Board, the President, if present, shall preside. In the absence of both the Chair of the Board and the President, a member of the Board of Trustees selected by a majority of the Trustees present shall preside.

Section 10. Vacancies

Any vacancy in the Board of Trustees, whether arising from death, resignation, removal, an increase in the number of Trustees or any other cause, may be filled by either a majority vote of the remaining Trustees, though less than a quorum, or by the members of the Corporation at the next annual meeting or at any special meeting called for the purpose.

Section 11. Resignation and Removal

Any Trustee may resign at any time by giving written notice of such resignation to the President or Secretary. Any Trustee may be removed without cause by a majority vote of the Trustees then in office.

Section 12. Participation by Telephone

The Corporation expects Trustees to attend meetings in person. However, any one or more members of the Board or of a committee thereof may participate in a meeting of the Board or committee by means of a conference telephone or similar communications equipment allowing all persons participating in the meeting to hear each other at the same time. Participation by such means will constitute presence in person at the meeting.

Section 13. Action Without a Meeting

Any action required or permitted to be taken by the Board or any committee thereof may be taken without a meeting, if all members of the Board or the committee consent in writing or by electronic transmission to the adoption of a resolution authorizing the action. The resolution and the written or electronically transmitted consents by the members of the Board or of such committee will be filed with the minutes of the proceedings of the Board or of such committee.

Section 14. Adjournment

At any meeting of the Board, whether or not a quorum is present, a majority of the Trustees present may adjourn the meeting to another time and place without further notice to any absent Trustees. At any such adjourned meeting at which a quorum is present, any business may be transacted which might have been transacted at the meeting as originally called.

Section 15. Compensation

Trustees may receive a stipend for their services as Trustees, provided that the stipend is reasonable in light of prevailing practices for comparable positions. The amount of the stipend will be determined from time to time by the Board of Trustees or such committee thereof as the Board may determine.

Section 16. Annual Financial Report

- (a) There shall be presented at the Annual Meeting of Trustees a report, verified by the President and the Vice President and Secretary or certified by an independent or certified public accountant firm selected by the Board, showing the following:
 - (1) the assets and liabilities, including any trust funds, of the Corporation as of the end of the previous 12 month fiscal year;
 - (2) the principal changes therein during such fiscal period;
 - (3) the revenues of the Corporation during such fiscal period;
 - (4) expenses and other disbursements of the Corporation during such fiscal period;
- (b) The Annual Financial Report shall be filed with the records of the Corporation.

ARTICLE III - OFFICERS

Section 1. Number and Manner of Election

The officers of the Corporation shall be a Chair of the Board, a President, one or more Vice Presidents, a Treasurer and a Secretary, each to have such duties as are provided for in these By-Laws or as the Board of Trustees may from time to time determine. Any person may hold two or more offices at the same time.

Such officers shall be elected annually at the first meeting of the Board of Trustees following the Annual Meeting of the Members of the Corporation, each to hold office until his or her successor shall have been duly elected and qualified, or until his or her earlier death, resignation or removal. Vacancies in any one or more of such offices may be filled by the Board of Trustees at any time.

The Board of Trustees may appoint such other officers or agents as it may from time to time determine necessary or desirable, each to hold office for such period and to perform such duties as the Board of Trustees may determine.

The Board shall annually conduct a performance assessment of the officers and shall approve any changes in compensation following this assessment.

Section 2. The Chair of the Board

The Chair of the Board shall be elected from among the Trustees. The Chair shall, if present, preside at all meetings of the Board of Trustees, the Executive Committee, and the members, and shall perform such other duties as the Board of Trustees may from time to time determine.

Section 3. The President

The President shall be the chief executive officer and shall have general management and control over the business and affairs of the Corporation, subject to the direction of the Board of Trustees. The President serves as a member of the Corporation, ex officio, and a member of the Board of Trustees, ex officio. In the absence of the Chair of the Board, the President, if present, shall preside at the meetings of the Board of Trustees and at meetings of members of the Corporation. The President shall have the power to appoint and discharge the employees and agents, other than officers, of the Corporation and fix their compensation, and may make and sign contracts and agreements in the name and on behalf of the Corporation, and, in general, shall perform such other duties as the Board of Trustees may from time to time determine. The President's performance and compensation will be annually reviewed by the Board.

Section 4. Vice Presidents

The Vice Presidents shall perform such duties as the Board of Trustees or the President may from time to time determine. The Vice Presidents may be delegated authority by the President to approve and sign contracts. In the event of absence or disability of the President, a Vice President selected in accordance with procedures established by the Board shall perform the duties and exercise the powers of the President.

Section 5. Treasurer

The Treasurer shall perform all the duties customary to that office, and shall have the care and custody of the funds and securities of the Corporation, and shall have the general supervision of the books of account, and shall give such bonds for the faithful performance of his or her duties as the Board of Trustees may determine.

Section 6. Secretary

The Secretary shall keep the minutes of the Board of Trustees and members' meetings, and shall have the custody of the seal of the Corporation and affix the same to

documents when authorized so to do. The Secretary shall coordinate Board orientation and ongoing Board education. He or she shall perform all of the other duties usual to that office.

Section 7. Resignation and Removal

Any officer may resign at any time by delivering a written resignation to the President or Secretary of the Corporation. The acceptance of any such resignation, unless required by the terms thereof, will not be necessary to make the same effective. Any officer elected or appointed by the Board of Trustees or any member of any committee, may be removed at any time without cause by the majority vote of the Trustees at a meeting of the Board called for that purpose.

Section 8. Compensation

Officers may receive compensation for their services as such, provided the compensation is reasonable in light of prevailing practices for comparable positions. The amount of the compensation will be determined from time to time by the Board of Trustees or such committee thereof as the Board may determine. Officers' compensation will be annually reviewed by the Board.

ARTICLE IV - COMMITTEES

Section 1. Standing Committees

The standing committees of the Board of Trustees shall be the Executive Committee, Audit Committee, Investment Committee, and such other committees as the Board may designate by resolution adopted by a majority of the entire Board. Each committee shall consist of three (3) or more Trustees, except that the Investment Committee may contain members that are not Trustees, provided that the number of Trustees on the Investment Committee shall always constitute a majority of the Committee's members. Standing committee members shall be appointed by the Chair of the Board.

Standing committees, to the extent provided in the Board resolution authorizing their establishment and not restricted by law, will have and exercise the authority to act on behalf of the Board in the management of the Corporation; provided, however, that no such committee will have power to (i) amend the Corporation's Articles of Incorporation, (ii) adopt an agreement of merger or consolidation, or (iii) amend the Corporation's By-Laws. Notwithstanding the provisions of any charter or rules of procedure, the activities of each committee shall be reflected in minutes maintained by the committee chair or his or her designee.

Section 2. Executive Committee

The Executive Committee shall consist of the Chair of the Board, the President, and such other Trustees as are designated by the Board, except that there shall at all times be at least three (3) Trustees on the Committee. The Committee shall have and may exercise all of the powers and authority of the Board, except those duties delegated to other standing committees or prohibited by law. The Committee shall review and make recommendations to the Board

concerning the election of Trustees and matters of Board policies and procedures, including compensation and governance. The Committee shall also perform such tasks as are from time to time assigned to it by the Board. The Committee shall notify the full Board of its actions within a reasonable time thereafter at a Board meeting. The Chair of the Committee shall be the Chair of the Board of Trustees.

Section 3. Audit Committee

The Audit Committee shall consist of at least three (3) Trustees, none of whom may be a paid employee or consultant of the Corporation and each of whom shall have a familiarity with finance and accounting practices. Neither the Chair nor the President may be a member of the Committee, but both may attend any Committee meeting. The Committee shall review the annual audit of the Corporation and recommend to the Board the hiring and termination of the Corporation's outside auditors and the establishment of the terms of the outside auditor's engagement. The Committee shall be responsible for reviewing and commenting on the adequacy of the Corporation's financial disclosure and internal controls. The Committee shall meet at least twice annually and shall present reports to the Executive Committee and the Board on the above matters.

Section 4. Investment Committee

The Investment Committee shall consist of at least three (3) Trustees and may also contain persons who are not Trustees, so long as a majority of the Committee members are Trustees. The Investment Committee shall be responsible for overseeing the investment and reinvestment of the Corporation's assets and setting investment policies and guidelines regarding those assets. The Committee may (a) delegate to committees, officers, employees or agents, including investment advisors, counsel or managers, the authority to act in its place in the investment and reinvestment of assets; (b) contract with investment advisors, counsel or managers to so act; and (c) authorize the payment of compensation for investment advisory, counseling or management services. The Committee shall present periodic reports to the Board regarding the performance of the Corporation's investments. The Investment Committee shall have such other powers and duties as are determined by the Board of Trustees.

Section 5. Advisory Committees

The Board of Trustees may create, and appoint the members of, other committees not having and exercising the authority of the Board as may be deemed desirable to advise management and the Board. Each advisory committee shall exercise such powers and perform such specific duties as may be prescribed by the Board. The designation and appointment of any such committee and delegation thereto of authority will not operate to relieve the Board of Trustees, or any individual Trustee, of any responsibility imposed upon it, him or her by law.

Section 6. Quorum and Committee Action

Unless otherwise provided in the resolution of the Board of Trustees designating a committee, a majority of the entire committee will constitute a quorum. The act of a majority of

the committee members present at a meeting at which a quorum is present will be the act of the committee.

Section 7. Replacement

In the absence or disqualification of a member of a committee, the committee member or members thereof present at any meeting and not disqualified from voting, whether or not constituting a quorum, may unanimously appoint another Trustee to act at the meeting in the place of any such absent or disqualified member.

Section 8. Chair

Except for those committees to which a chair is assigned by these By-Laws, a chair shall be appointed by the Chair of the Board.

Section 9. Rules

Each committee may adopt rules for its own governance not inconsistent with these By-Laws.

ARTICLE V - MISCELLANEOUS PROVISIONS

Section 1. Fiscal Year

The fiscal year of the Corporation shall end on the 31st day of December of each year.

Section 2. Waiver of Notice

Whenever notice is required to be given under any provision of law or of the Certificate of Incorporation or these By-Laws, a written waiver thereof, signed by the person entitled to notice, whether before or after the time stated therein, shall be deemed equivalent to notice. Attendance of a person at a meeting shall constitute a waiver of notice of such meeting, except for the express purpose of objecting, or as otherwise provided by law.

Section 3. Indemnification

Except as otherwise required by law,

- (a) the Corporation shall, to the fullest extent permitted by applicable law as then in effect, indemnify any person who was or is involved in any manner (including, without limitation, as a party or a witness) or who is threatened to be made so involved in any threatened, pending or completed claim, action, suit or proceeding, whether civil, criminal, administrative or investigative (including, without limitation, any action, suit or proceeding by or in the right of the Corporation to procure a judgment in its favor (a "derivative suit")) by reason of the fact that he or she, his or her testator or intestate, is or was a trustee, officer,

committee member, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, trustee, officer, committee member, employee or agent of another corporation, partnership, joint venture, trust or other enterprise (including, without limitation, any employee benefit plan) against all expenses (including attorney's fees, judgments, fines and amounts paid in settlement actually and reasonably incurred by him or her in connection with such action, suit or proceeding, subject to the proviso that in the case of a derivative suit any payment made pursuant to a court judgment must be authorized by the court). Such indemnification shall be a contract right and shall include the right to receive payment in advance of any expense incurred by such person in connection with such action, suit or proceeding, consistent with the provisions of applicable law as then in effect;

- (b) the termination of any action, suit or proceeding by judgment, order, settlement, conviction or upon a plea of nolo contendere or its equivalent shall not, of itself, create a presumption that the person did not act in good faith and in a manner which he reasonably believed to be in or not opposed to the best interests of the Corporation, and, with respect to any criminal action or proceeding, had reasonable cause to believe that his or her conduct was unlawful;
- (c) any indemnification under subparagraph (a) of this Section shall be made by the Corporation only as authorized in the specific case upon a determination that indemnification of the director, trustee, officer, committee member, employee or agent is proper under the circumstances because he has met the applicable law as then in effect. Such determination shall be made (1) by the Board of Trustees by a majority vote of a quorum consisting of Trustees who were not parties to such action, suit or proceeding, or (2) if such a quorum is not obtainable, by the Board of Trustees in accordance with such determination by independent legal counsel selected by the Board. To the extent that any such person has been successful on the merits or otherwise in defense of any action, suit or proceeding referred to in subparagraph (a) or in defense of any claim, issue or matter therein, he or she shall be indemnified against expenses (including attorneys fees) actually and reasonably incurred by him or her in connection therewith;
- (d) expenses incurred in defending a civil or criminal action, suit or proceeding shall be paid by the Corporation in advance of the final disposition of such action, suit or proceeding, upon receipt of an undertaking by or on behalf of the director, trustee, officer, committee member, employee or agent to repay such amount if shall ultimately be determined that he is not entitled to be indemnified by the Corporation as authorized by this Section;
- (e) the foregoing right of indemnification and the advancement of expenses shall not be deemed exclusive of any rights to which any such director, trustee, officer, committee member, employee or agent may be entitled by contract or otherwise or of any power of the Corporation with respect to other rights, and shall continue as to a person who has ceased to be such a director, trustee, officer, committee

member, employee or agent, and shall inure to the benefit of the heirs, executors and administrators of such person. The provisions of this Section shall be separable and if any of its provisions shall be adjudged to be invalid or unenforceable such invalidity or unenforceability shall not affect any other provision thereof; and

- (f) the Corporation shall have power to purchase and maintain insurance on behalf of any person who is or was a director, trustee, officer, committee member, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, trustee, officer, committee member, employee or agent of another corporation, partnership, joint venture, trust or other enterprise against any liability asserted against such person and incurred by such person in any such capacity, or arising out of such person's status as such.

Section 4. Banks

The Board will from time to time and as necessary select such banks or depositaries as it will deem proper for the funds of the Corporation. The Treasurer, and any other person authorized by the Board from time to time, may sign checks, drafts or other orders for the payment of money on the Corporation's behalf.

Section 5. Contracts and Other Instruments

Except as otherwise provided by these By-Laws or authorized by the Board, no Trustee, officer, committee member, employee, agent, or other person will have power or authority to bind the Corporation by any contract, agreement, or instrument or to render it liable for any purpose or for any amount. The Board may authorize any officer or officers, in the name of or on behalf of the Corporation, to enter into any contract or to execute and to deliver any instrument, or to sign checks, drafts, endorsements, notes or other evidences of indebtedness of the Corporation, and such authority may be general or confined to specific instances. The withdrawal of funds or securities of the Corporation from banks or trust companies or vaults therein will be made only on the signature or signatures of such one or more of the Trustees, officers, or employees of the Corporation as may be designated from time to time by the Board for such purpose.

Section 6. Loans

The Corporation may not borrow or loan funds or assets and may not issue negotiable paper unless authorized by the vote of the Board. When so authorized by the Board, any officer of the Corporation may effect loans and advances at any time for the Corporation from any bank, trust company or other institution, or from any firm, Corporation, or individual. Such authority may be general or confined to specific instances. No loans other than through the purchase of bonds, debentures or similar obligations of the type customarily sold in public offerings, or through ordinary deposit of funds in a bank, will be made by the Corporation to its Trustees or officers, or to any other corporation, firm, association or other entity in which one or more of its Trustees or officers are trustees or officers or hold a substantial interest, except a loan

to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended.

Section 7. Grants

Procedures for approving grants, including delegation of authority to approve grants, will be set forth by the Board from time to time.

ARTICLE VI - AMENDMENTS

These By-Laws may be amended at any members' meeting by vote of a majority of the members, represented either in person or by proxy, or at any regular or special meeting of the Board of Trustees, by a majority vote of the entire Board then in office.