

GRANT THORNTON LLP  
757 THIRD AVE  
NEW YORK, NY 10017

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INSTRUCTIONS FOR FILING  
ALFRED P. SLOAN FOUNDATION  
FORM 990PF - RETURN OF PRIVATE FOUNDATION  
FOR THE PERIOD ENDED DECEMBER 31, 2012

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SIGNATURE...

THE ORIGINAL RETURN SHOULD BE SIGNED (USING FULL NAME AND TITLE)  
AND DATED BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

FILING...

THE SIGNED RETURN SHOULD BE FILED AS SOON AS POSSIBLE  
WITH...

DEPARTMENT OF THE TREASURY  
INTERNAL REVENUE SERVICE CENTER  
OGDEN, UT 84201-0027

OVERPAYMENT OF TAX...

THE RETURN SHOWS AN OVERPAYMENT OF \$3,624,955. OF WHICH NONE  
SHOULD BE REFUNDED TO YOU AND \$3,624,955. HAS BEEN APPLIED TO YOUR  
2013 ESTIMATED TAX.

TO DOCUMENT THE TIMELY FILING OF YOUR TAX RETURN(S), WE SUGGEST THAT  
YOU OBTAIN AND RETAIN PROOF OF MAILING. PROOF OF MAILING CAN BE  
ACCOMPLISHED BY SENDING THE TAX RETURN(S) BY REGISTERED OR CERTIFIED  
MAIL (METERED BY THE U.S. POSTAL SERVICE) OR THROUGH THE USE OF AN IRS  
APPROVED DELIVERY METHOD PROVIDED BY AN IRS DESIGNATED PRIVATE  
DELIVERY SERVICE.

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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: ALFRED P. SLOAN FOUNDATION
Employer identification number: 13-1623877
Telephone number: (212) 649-1649
Address: 630 FIFTH AVENUE, NEW YORK, NY 10111
Accounting method: HYBRID ACCRUAL
Fair market value of all assets at end of year: \$ 1,734,238,378

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27).

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		750.	750.	750.
	2	Savings and temporary cash investments . . . . .		117,779,010.	147,046,851.	159,741,488.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U.S. and state government obligations (attach schedule), . .				
	b	Investments - corporate stock (attach schedule) ATCH 7 . . . . .		65,290,798.	69,765,906.	94,458,538.
	c	Investments - corporate bonds (attach schedule) ATCH 8 . . . . .		160,925,974.	164,129,041.	163,264,399.
	11	Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans . . . . .				
	13	Investments - other (attach schedule) . . . . . ATCH 9		900,347,888.	897,010,229.	1,316,773,203.
	14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ . . . . . )					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		1,244,344,420.	1,277,952,777.	1,734,238,378.	
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .		65,041,133.	57,991,294.	
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . . ATCH 10 )		6,123,221.	5,767,489.	
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		71,164,354.	63,758,783.		
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24	Unrestricted . . . . .		1,173,180,066.	1,214,193,994.	
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		1,173,180,066.	1,214,193,994.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		1,244,344,420.	1,277,952,777.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1 1,173,180,066.
2	Enter amount from Part I, line 27a . . . . .	2 41,783,168.
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3 . . . . .	4 1,214,963,234.
5	Decreases not included in line 2 (itemize) ▶ ATCH 11	5 769,240.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6 1,214,193,994.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="font-size: 2em;">{</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 <span style="font-size: 2em;">}</span>			<b>2</b>	95,359,636.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	82,811,756.	1,705,440,140.	0.048557
2010	81,999,899.	1,614,406,033.	0.050793
2009	77,884,158.	1,471,290,040.	0.052936
2008	82,001,137.	1,729,493,738.	0.047413
2007	80,399,468.	1,856,951,720.	0.043296
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.242995
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.048599
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			<b>4</b> 1,686,424,268.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 81,958,533.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 1,209,357.
<b>7</b> Add lines 5 and 6			<b>7</b> 83,167,890.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 85,644,330.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1 a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. . . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,209,357.
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .	<b>2</b>	
<b>3</b>	Add lines 1 and 2 . . . . .	<b>3</b>	1,209,357.
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,209,357.
<b>6</b>	Credits/Payments:		
<b>a</b>	2012 estimated tax payments and 2011 overpayment credited to 2012 . . . . .	<b>6a</b>	4,834,312.
<b>b</b>	Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d . See General Explanation Attachment 1	<b>7</b>	4,834,312.
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	3,624,955.
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2013 estimated tax</b> <input type="checkbox"/> 3,624,955. <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	X	
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	X	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA, DE, NY,		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation.</i> . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . . ATCH 12
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .
Website address WWW.SLOAN.ORG
14 The books are in care of CHRISTOPHER SIA Telephone no. 212-649-1649
Located at 630 5TH AVENUE NEW YORK, NY ZIP+4 10111-0242
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .
and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country . . . . .

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . Yes No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . Yes No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . Yes No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . Yes No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . Yes No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . Yes No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .
Organizations relying on a current notice regarding disaster assistance check here . . . . .
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . Yes No
If "Yes," list the years . . . . .
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . .
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
. . . . .
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . Yes No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) . . . . .
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? . . . . .

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). ATCH 13

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 14		3,186,448.	477,903.	0

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		1,625,801.	378,094.	0

**Total number of other employees paid over \$50,000**  **28**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ILLUMINATION ASSET MANAGEMENT LOS ANGELES, CA 90025	INVESTMENT MGMT FEE	4,909,734.
BANK OF NEW YORK MELLON EVERETT, MA 02149	INVESTMENT CUSTODY	423,710.
PZENA INVESTMENT MANAGEMENT LLC NEW YORK, NY 10036	INVESTMENT MGMT FEE	414,595.
SILCHESTER INTERNATIONAL INVESTORS NEW YORK, NY 10017	INVESTMENT MGMT FEE	406,804.
COLCHESTER SUMMIT, NY 07901	INVESTMENT MGMT FEE	306,174.
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>11</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> SUPPORT FOR BASIC RESEARCH SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	387,764.
<b>2</b> PUBLIC UNDERSTANDING OF SCIENCE SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	89,867.
<b>3</b> ECONOMIC PERFORMANCE AND QUALITY OF LIFE SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	92,415.
<b>4</b> SELECTED NATIONAL ISSUES SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	52,400.

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> NONE	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b> NONE	
<b>Total.</b> Add lines 1 through 3	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	1,711,696,171.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	409,685.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	1,712,105,856.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	1,712,105,856.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	25,681,588.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,686,424,268.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	84,321,213.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	84,321,213.
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	1,209,357.
<b>b</b>	Income tax for 2012. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	1,209,357.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	83,111,856.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	673,083.
<b>5</b>	Add lines 3 and 4	<b>5</b>	83,784,939.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	83,784,939.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	85,644,330.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	85,644,330.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	1,209,357.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	84,434,973.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7 . . . . .				83,784,939.
<b>2</b> Undistributed income, if any, as of the end of 2012:				
<b>a</b> Enter amount for 2011 only . . . . .			30,737,548.	
<b>b</b> Total for prior years: 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2012:				
<b>a</b> From 2007 . . . . .				
<b>b</b> From 2008 . . . . .				
<b>c</b> From 2009 . . . . .				
<b>d</b> From 2010 . . . . .				
<b>e</b> From 2011 . . . . .				
<b>f</b> <b>Total</b> of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4: ► \$ <u>85,644,330.</u>				
<b>a</b> Applied to 2011, but not more than line 2a . . . . .			30,737,548.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2012 distributable amount . . . . .				54,906,782.
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2012 . . . . . <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				28,878,157.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2013.</b> Subtract lines 7 and 8 from line 6a . . . . .		0		
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2008 . . . . .				
<b>b</b> Excess from 2009 . . . . .				
<b>c</b> Excess from 2010 . . . . .				
<b>d</b> Excess from 2011 . . . . .				
<b>e</b> Excess from 2012 . . . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

Empty box for ruling date

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

Table with columns: Tax year (a) 2012, (b) 2011, (c) 2010, (d) 2009, (e) Total. Rows include 2a-2e (Qualifying distributions) and 3a-3d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

ATCH 16

b The form in which applications should be submitted and information and materials they should include:

ATCH 17

c Any submission deadlines:

ATCH 18

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ATCH 19

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a</b> Paid during the year</p> <p>ATCH 20</p>				
<b>Total</b> .....				<b>▶ 3a</b> 76,720,972.
<p><b>b</b> Approved for future payment</p> <p>ATCH 21</p>				
<b>Total</b> .....				<b>▶ 3b</b> 50,265,237.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 main columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include categories like Program service revenue, Membership dues, Interest on savings, Dividends, Net rental income, etc.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No. and Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes...

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash... (2) Other assets... b Other transactions: (1) Sales of assets... (2) Purchases of assets... (3) Rental of facilities... (4) Reimbursement arrangements... (5) Loans or loan guarantees... (6) Performance of services... c Sharing of facilities... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 3 columns: Question, Yes, No. Rows include 1a(1) Cash, 1a(2) Other assets, 1b(1) Sales of assets, 1b(2) Purchases of assets, 1b(3) Rental of facilities, 1b(4) Reimbursement arrangements, 1b(5) Loans or loan guarantees, 1b(6) Performance of services, and 1c Sharing of facilities.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1A(1) contains 'SEE ATTACHMENT' and '19 AND 20'.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code... [ ] Yes [X] No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. Section 2b instructions.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? [X] Yes [ ] No

Paid Preparer Use Only section containing fields for Print/Type preparer's name (DANIEL ROMANO), Preparer's signature, Date (6/23/2016), Firm's name (GRANT THORNTON LLP), Firm's address (757 THIRD AVE., 4TH FLOOR, NEW YORK, NY), Firm's EIN (36-6055558), and Phone no. (212-599-0100).

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PRIMARY CASH					VAR -1722020.	VAR
		PZENA INVESTMENT					VAR 5,099,715.	VAR
		COLCHESTER GLOBAL INVESTMENTS					VAR 131,804.	VAR
		SILCHESTER INTERNATIONAL					VAR 300,527.	VAR
		GMO QUALITY FUND III					VAR 4,184,299.	VAR
		ILLUMINATION ASSET					VAR 906,523.	VAR
		ILLUMINATION ASSET					VAR 134,346.	VAR
		CENTERBRIDGE CREDIT					VAR 721,345.	VAR
		Longbreach Capital I					VAR -1,760.	VAR
		PROA PARTNERS PTE					VAR 205,279.	VAR
		RESOURCE CAPITAL IV					VAR 3,253,170.	VAR
		PARK WEST					VAR 378,450.	VAR

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		VARDE PARTNERS IX					VAR 3,159,931.	VAR
		CLIFTON GROUP					VAR 112,172.	VAR
		TPG- AXON CAPITAL					VAR 12628793.	VAR
		GAOLING-HILLHOUSE					VAR 7,338,735.	VAR
		FARALLON CAPITAL					VAR 3,674,880.	VAR
		BAIN BROOKSIDE CAPITAL					VAR 36794812.	VAR
		AG SUPERFUND					VAR 2,319,931.	VAR
		PRIVATVARDE					VAR -796,514.	VAR
		GOLDEN GATE II ANNEX					VAR 1,666,212.	VAR
		BERKSHIRE CAPITAL VI					VAR 1,377,448.	VAR
		RESOURCE CAPITAL III					VAR 7,976,401.	VAR
		REGIMENT CAPITAL IV					VAR 1,473,189.	VAR



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TIGER ASIA					VAR 539,966.	VAR
		LONG DRAGON PINE					VAR -1314152.	VAR
		SHORT TERM CASH					VAR 14,145.	VAR
		OZ OVERSEAS					VAR 49.	VAR
		MARSICO CAPITAL					VAR 1,020.	VAR
		JP MORGAN MULTI MARKET					VAR 6,565.	VAR
		WELLINGTON US TIPS					VAR 3,455,967.	VAR
		PIMCO DIST MORTGAGE					VAR 10048572.	VAR
		BAIN CAPITAL VI-B					VAR -1572576.	VAR
		JMB REALTY IV					VAR 16,508.	VAR
		SATELLITE OVERSEAS					VAR 15,256.	VAR
		DISTRIBUTIONS PORT					VAR 3,688,744.	VAR

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		AROHI EMERGING ASIA					VAR 5,073,980.	VAR
		ATALAYA ASSET INC					VAR 32.	VAR
		FIRELAKE STRATEGIC I					3,466,831.	
		GENERAL CATALYST IV					VAR -46,476.	VAR
		GENERAL CATALYST III					VAR -209,994.	VAR
		BAIN CAPITAL VII					VAR 269,400.	VAR
		LOSS FROM K-1'S NOT ON BOOKS					VAR -19411869.	VAR
TOTAL GAIN(LOSS) .....							<u>95359636.</u>	

Form 990PF – General Explanation Attachment 1

Alfred P. Sloan Foundation  
Reason for Amended Return

Form 990-PF is being amended for the Alfred P. Sloan Foundation (“Foundation”) for tax year ended December 31, 2012 to reflect a change in the 2012 revenue and expense items, resulting excise tax liability and qualified distributions.

While preparing the 2014 Form 990-PF, management discovered inadvertent errors in the 2012 Form 990-PF. The inadvertent errors related to the reporting of the revenue and expense items from the Foundation’s investments in limited partnerships.

As a result of the review, The Foundation’s tax liability for year end 2012 decreased by \$5,390, all of which The Foundation is requesting to have credited to future years. The Foundation’s 2012 Undistributed Income increased to \$28,878,157. The chart below is a summary of changes reflected in the amended 2012 Form 990-PF.

	Originally Filed	Amended	Change
Part I, Col B, Line 12 Total Investment Revenue	\$143,414,551	\$143,406,498	\$(8,053)
Part I, Col B, Line 26 Total Investment Expenses	\$(21,939,856)	\$(22,470,842)	\$(530,986)
Part I, Col B, Line 27b Net Investment Income	\$121,474,695	\$120,935,656	\$(539,039)
Part VI, Line 1b, Investment Tax	\$1,214,747	\$1,209,357	\$(5,390)
Part VI, Line 7 Payments and Credits	\$(4,834,312)	\$(4,834,312)	\$0
Part VI, Line 11 Overpayment Credit	\$3,619,565	\$3,624,955	\$5,390

	Originally Filed	Amended	Change
Part XIII, Undistributed Income, Line 6f	\$28,872,767	\$28,878,157	\$5,390

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR BASIC RESEARCH  
PART IX-A, LINE 1

THE FOUNDATION BELIEVES THAT A CAREFULLY REASONED AND SYSTEMATIC UNDERSTANDING OF THE FORCES OF NATURE AND SOCIETY, WHEN APPLIED INVENTIVELY AND WISELY, CAN LEAD TO A BETTER WORLD FOR ALL. GRANTMAKING INITIATIVES IN STEM RESEARCH SEEK TO EXPAND THAT UNDERSTANDING BY FUNDING ORIGINAL, HIGH-QUALITY RESEARCH IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS. ALSO FUNDED THROUGH THIS AREA ARE PROGRAMS THAT EXAMINE THE ETHICAL AND SOCIETAL RISKS ASSOCIATED WITH THE PURSUIT OF SCIENTIFIC KNOWLEDGE AND REGULATORY MEASURES DESIGNED TO MITIGATE THOSE RISKS.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR PUBLIC UNDERSTANDING OF SCIENCE  
PART IX-A, LINE 2

GRANTMAKING IN THIS PROGRAM AIMS TO ENHANCE PEOPLE'S LIVES BY PROVIDING A BETTER UNDERSTANDING OF THE INCREASINGLY SCIENTIFIC AND TECHNOLOGICAL ENVIRONMENT IN WHICH WE LIVE, AND TO CONVEY SOME OF THE CHALLENGES AND REWARDS OF THE SCIENTIFIC AND TECHNOLOGICAL ENTERPRISE AND OF THE LIVES OF THE MEN AND WOMEN WHO UNDERTAKE IT. THE PROGRAM SUPPORTS THE USE OF BOOKS, FILM, RADIO, TELEVISION, THEATER, AND OTHER EFFORTS TO REACH A WIDE GENERAL AUDIENCE.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR ECONOMIC PERFORMANCE AND QUALITY OF LIFE  
PART IX-A, LINE 3

THE ALFRED P. SLOAN FOUNDATION BELIEVES THAT A THEORY-BASED,  
EMPIRICALLY-TESTED UNDERSTANDING OF THE U.S. ECONOMY AND THE U.S.  
WORKFORCE IS ESSENTIAL TO IMPROVING THE LIVES OF ALL AMERICANS. IN THIS  
PROGRAM AREA, THE FOUNDATION FUNDS GRANTS TO SUPPORT HIGH-QUALITY  
RESEARCH AND PROGRAMS TO ENHANCE U.S. ECONOMIC PERFORMANCE AND THE  
QUALITY OF AMERICAN LIFE.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUPPORT FOR SELECTED NATIONAL ISSUES  
PART IX-A, LINE 4

THE ALFRED P. SLOAN FOUNDATION RECOGNIZES THAT THERE ARE SELECT OPPORTUNITIES OUTSIDE OF SCIENCE, EDUCATION, AND ECONOMICS IN WHICH IT CAN CREATE AN IMPORTANT BENEFIT TO SOCIETY. ITS SELECT PROJECTS PROGRAM AREA LOOKS FOR UNIQUE OPPORTUNITIES WHERE FOUNDATION FUNDS PROMISE TO ADVANCE A SIGNIFICANT NATIONAL OR INTERNATIONAL INTEREST.

FORM 990PF - GENERAL EXPLANATION ATTACHMENTSUMMARY OF DIRECT CHARITABLE ACTIVITIES  
PART IX-A

DURING THE COURSE OF THE YEAR, THE FOUNDATION CONDUCTS A NUMBER OF CONFERENCES AND SEMINARS IN LINE WITH ITS PROGRAM INTERESTS. IN ADDITION, IT ALSO SUPPORTS THE PUBLICATION AND DISSEMINATION OF THE RESULTS OF RESEARCH, REPORTS OF EDUCATIONAL CONFERENCES, OR SIMILAR EDUCATIONAL MATERIAL. IT IS IMPOSSIBLE TO QUANTIFY THE NUMBER OF BENEFICIARIES SERVED.



ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
GRANT REFUNDS:		
FELLOWSHIP PROGRAMS	20,241.	
OTHER THAN FELLOWSHIP PROGRAMS	353,888.	
RECV'D WITH GRANT TRANSFERS	566,809.	
ROYALTY INC: BOOKS, VIDEOS, SOFTWARE	1,200.	1,200.
INCOME FROM LP INV NOT INCLUDED ABOVE		9,796,484.
TOTALS	<u>942,138.</u>	<u>9,797,684.</u>

ATTACHMENT 2FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CLIFTON BUDD & DEMARIA	10,770.	3,875.		5,458.
CSC UNITED STATES CORP	952.			952.
PATTERSON BELKNAP	90,242.	34,814.		49,035.
SHEARMAN & STERLING	917.	1,638.		
SIMPSON THATCHER	88,113.	88,113.		
TOTALS	<u>190,994.</u>	<u>128,440.</u>		<u>55,445.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
GRANT THORNTON LLP	115,675.	36,658.		79,017.
TOTALS	<u>115,675.</u>	<u>36,658.</u>		<u>79,017.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
JP MORGAN	198.	198.	
BACKSTOP SOLUTIONS GROUP, LLC	45,874.	45,874.	
BANK OF NEW YORK MELLON	423,709.	423,709.	
BLOOMBERG	36,750.	36,750.	
BURGISS GROUP	18,800.	18,800.	
CAMBRIDGE ASSOCIATES	53,500.	53,500.	
COLCHESTER GLOBAL	304,174.	304,174.	
DALTON DISTRESSED MORTGAGE	4,909,734.	4,909,734.	
DAVIS, MENDEL & REGENSTEIN	5,000.	5,000.	
GRANT'S INTEREST RATE OBSERVER	965.	965.	
MARSICO CAPITAL	25.	25.	
NED DAVIS RESEARCH	13,750.	13,750.	
NEW YORK STOCK EXCHANGE, INC	1,890.	1,890.	
PROA PARTNERS	127,460.	127,460.	
PZENA INVESTMENT MANAGEMENT	414,595.	414,595.	
SILCHESTER INTERNATIONAL	406,804.	338,776.	
WELLINGTON TIPS & TREASURYS	39,618.	39,618.	
ROCKEFELLER UNIVERSITY	155,000.		223,028.
OTHER PROFESSIONAL FEES			26,652.
TOTALS	<u>6,957,846.</u>	<u>6,734,818.</u>	<u>249,680.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROVISION FOR EXCISE TAX	2,000,000.		
DELAWARE SECRETARY OF STATE	250.		250.
DEPARTMENT OF STATE	9.		9.
STATE OF CALIFORNIA	25.		25.
FOREIGN TAXES FROM LPS		530,986.	
TOTALS	<u>2,000,284.</u>	<u>530,986.</u>	<u>284.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
HONORARIA	268,644.	18,034.	869,556.
PROGRAM OUTREACH	103,383.		103,383.
BOOKS AND SUBSCRIPTIONS	21,512.	3,015.	18,497.
COMPUTER SYSTEM SUPPORT	267,430.	112,022.	157,780.
ELECTRICITY	14,734.	4,988.	9,746.
FURNITURE AND EQUIPMENT	76,429.	4,549.	73,420.
INSURANCE	68,633.	28,496.	40,136.
SUPPLIES AND SERVICES	222,486.	85,582.	137,166.
TELEPHONE AND POSTAGE	118,782.	46,397.	65,348.
PLACEMENT FEES	41,795.	695.	41,100.
TRAINING	30,217.	3,619.	26,597.
EXPENSES OF LTD PARTNERSHIPS		10,186,907.	
TOTALS	<u>1,234,045.</u>	<u>10,494,304.</u>	<u>1,542,729.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DOMESTIC EQUITIES	69,765,906.	94,458,538.
TOTALS	<u>69,765,906.</u>	<u>94,458,538.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIXED INCOME	164,129,041.	163,264,399.
TOTALS	<u>164,129,041.</u>	<u>163,264,399.</u>



ATTACHMENT 9FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
REAL ESTATE	63,011,368.	64,605,245.
PRIVATE EQUITY	193,885,303.	285,979,816.
GLOBAL SOVEREIGN BOND FUND	32,955,413.	60,310,179.
DOMESTIC EQUITY	66,233,566.	98,384,780.
LONG/SHORT EQUITY	89,673,955.	127,576,451.
INTERNATIONAL EQUITY	103,456,699.	200,738,282.
INDEPENDENT RETURN	347,793,925.	479,178,450.
TOTALS	<u>897,010,229.</u>	<u>1,316,773,203.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED COMPENSATION	1,093,388.
ACCRUED FEDERAL EXCISE TAX	-346,333.
ACCRUED POST RETIREMENT BENEFIT	4,882,853.
ACCRUED EXPENSES PAYABLE	137,581.
TOTALS	<u>5,767,489.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

AMOUNTS NOT YET RECOGNIZED AS A  
COMPONENT OF NET PERIODIC BENEFIT COST

769,240.

TOTAL

769,240.

ATTACHMENT 12

FORM 990PF, PART VII-A, LINE 11A-TRANSFERS TO CONT. ENT. STATEMENT

CONTROLLED ENTITY'S NAME: SLOAN PROJECTS LLC  
CONTROLLED ENTITY'S ADDRESS: 630 FIFTH AVENUE, SUITE 220  
CITY, STATE & ZIP: NEW YORK, NY 10111  
EIN: 27-0358378

TRANSFER AMOUNT:

EXPLANATION OF TRANSFER TO CONTROLLED ENTITY:

SLOAN PROJECTS IS A WHOLLY OWNED SUBSIDIARY. THERE WAS NO TRANSFER AMOUNT.

ATTACHMENT 13FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: AMIR D ACZEL  
GRANTEE'S ADDRESS: 9 COLBOURNE CRESCENT  
CITY, STATE & ZIP: BROOKLINE, MA 02445  
GRANT DATE: 05/06/2012  
GRANT AMOUNT: 15,408.  
GRANT PURPOSE: TO SUPPORT THE RESEARCH OF A BOOK ABOUT SCHOLAR GEORGE COEDES.

AMOUNT EXPENDED:  
ANY DIVERSION? NO  
DATES OF REPORTS:  
VERIFICATION DATE:  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: ARIUS ASSOCIATION  
GRANTEE'S ADDRESS: TAVERNSTRASSE 11 CH-5405  
CITY, STATE & ZIP: BADEN CH-5405  
FOREIGN COUNTRY: SWITZERLAND  
GRANT DATE: 06/21/2011  
GRANT AMOUNT: 150,000.  
GRANT PURPOSE: TO SUPPORT THE CONTINUES EFFORTS TO LAUNCH REGIONAL REPOSITORY WORKING GROUPS.

AMOUNT EXPENDED: 68,614.  
ANY DIVERSION? NO  
DATES OF REPORTS: 06/28/2012  
VERIFICATION DATE: 06/28/2012  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: AZAVEA INC  
GRANTEE'S ADDRESS: 340N. 12TH STREET, SUITE 402  
CITY, STATE & ZIP: PHILADELPHIA, PA 19107  
GRANT DATE: 07/25/2012  
GRANT AMOUNT: 49,976.  
GRANT PURPOSE: TO ASSESS THE STRENGTHS AND WEAKNESSES OF EXISTING SYSTEMS AND DESIGN TECHNOLOGY PLATFORMS.

AMOUNT EXPENDED: 7,935.  
ANY DIVERSION? NO  
DATES OF REPORTS: 11/19/2012  
VERIFICATION DATE: 11/19/2012  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: CHRINON LIMITED  
GRANTEE'S ADDRESS: ASTON HOUSE, CORNWALL AVE N#11LF  
CITY, STATE & ZIP: LONDON  
FOREIGN COUNTRY: UNITED KINGDOM  
GRANT DATE: 07/11/2012  
GRANT AMOUNT: 116,048.  
GRANT PURPOSE: TO DEMONSTRATE THE METHODS FOR IDENTIFYING OWNERSHIP  
CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

AND OTHER RELATIONSHIPS AMONG CORP ENTITIES.

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS:

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME:

IPCO-INTERPOL

GRANTEE'S ADDRESS:

200 QUAI CHARLES DE GAULLE 69006

CITY, STATE &amp; ZIP:

LYON

FOREIGN COUNTRY:

FRANCE

GRANT DATE:

10/18/2011

GRANT AMOUNT:

1,600,000.

GRANT PURPOSE:

PARTIAL SUPPORT TO DEVELOP INTERPOL'S RADIOLOGICAL AND  
NUCLEAR TERRORISM PREVENTION PROGRAM.

AMOUNT EXPENDED:

463,548.

ANY DIVERSION?

NO

DATES OF REPORTS:

11/08/2012

VERIFICATION DATE:

11/08/2012

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME:

JONATHAN WALDMAN

GRANTEE'S ADDRESS:

750 17TH STREET

CITY, STATE &amp; ZIP:

BOULDER, CO 80302

GRANT DATE:

05/16/2012

GRANT AMOUNT:

50,000.

GRANT PURPOSE:

TO SUPPORT THE RESEARCH AND WRITING OF A BOOK ABOUT RUST  
AND THE ENGINEERING EFFORTS BEHIND IT.

AMOUNT EXPENDED:

ANY DIVERSION?

NO

DATES OF REPORTS:

VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME:

UNIVERSITY OF OTTAWA

GRANTEE'S ADDRESS:

550 CUMBERLAND STREET K1N 6N5

CITY, STATE &amp; ZIP:

OTTAWA

FOREIGN PROVINCE:

ON

FOREIGN COUNTRY:

CANADA

GRANT DATE:

06/21/2011

GRANT AMOUNT:

599,150.

GRANT PURPOSE:

RENEWED SUPPORT TO DEVELOP FUNGAL BARCODES AND USE THEM  
TO EXPLORE INDOOR ENVIRONMENT.

AMOUNT EXPENDED:

233,296.

ANY DIVERSION?

NO

DATES OF REPORTS:

04/05/2012 AND 07/03/2012

VERIFICATION DATE:

07/03/2012

CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

## RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: HENRY PETROSKI  
GRANTEE'S ADDRESS: 2528 WRIGHTWOOD AVENUE  
CITY, STATE & ZIP: DURHAM, NC 27705  
GRANT DATE: 05/16/2012  
GRANT AMOUNT: 50,000.  
GRANT PURPOSE: TO RESEARCH AND WRITE AN ILLUSTRATED BOOK ABOUT  
CONSTRUCTION INCLUDING ENVIRONMENTAL CONTEXT.

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS:

VERIFICATION DATE:

## RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: RICHARD RHODES  
GRANTEE'S ADDRESS: 123 EL GRANADA BOULEVARD  
CITY, STATE & ZIP: HALF MOON BAY, CA 94019  
GRANT DATE: 06/19/2012  
GRANT AMOUNT: 125,000.  
GRANT PURPOSE: TO RESEARCH AND WRITE A BOOK ABOUT THE DEVELOPMENT OF  
MEDICAL AND MILITARY TECHNOLOGIES.

AMOUNT EXPENDED: 125,000.

ANY DIVERSION? NO

DATES OF REPORTS:

VERIFICATION DATE:

## RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: TOM SHACHTMAN  
GRANTEE'S ADDRESS: 5 SALMON KILL ROAD  
CITY, STATE & ZIP: SALISBURY, CT 06068  
GRANT DATE: 05/16/2012  
GRANT AMOUNT: 30,000.  
GRANT PURPOSE: RESEARCH SUPPORT FOR A BOOK THE SCIENCE OF THE FOUNDING  
FATHERS ON THE ROLE OF SCIENCE & TECHNOLOGY.

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS:

VERIFICATION DATE:

## RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: UNIVERSITY OF WARWICK  
GRANTEE'S ADDRESS: GIBBLET HILL ROAD CV8 8UW  
CITY, STATE & ZIP: COVENTRY  
FOREIGN COUNTRY: UNITED KINGDOM

CONT'D ON NEXT PAGE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANT DATE: 10/18/2011  
GRANT AMOUNT: 561,672.  
GRANT PURPOSE: TO DEVELOP MATHEMATICAL FOUNDATIONS AND APPLICATIONS  
FOR THE CONTROL THEORY OF COMPLEX SYSTEMS.  
AMOUNT EXPENDED: 171,873.  
ANY DIVERSION? NO  
DATES OF REPORTS: 11/12/2012  
VERIFICATION DATE: 11/12/2012  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: IGEN FOUNDATION  
GRANTEE'S ADDRESS: ONE KENDALL SQUARE, SUITE B6104  
CITY, STATE & ZIP: CAMBRIDGE, MA 02139  
GRANT DATE: 10/16/2012  
GRANT AMOUNT: 60,000.  
GRANT PURPOSE: TO IMPROVE THE QUALITY OF SOCIETAL ASPECTS OF IGEN  
PROJECTS.  
AMOUNT EXPENDED:  
ANY DIVERSION? NO  
DATES OF REPORTS: 06/18/2013  
VERIFICATION DATE: 06/18/2013  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: EZUS LYON  
GRANTEE'S ADDRESS: L'ATRIUM BP 22107 69616 CEDEX 69616  
CITY, STATE & ZIP: VILLEURBANNE  
FOREIGN COUNTRY: FRANCE  
GRANT DATE: 03/07/2012  
GRANT AMOUNT: 50,000.  
GRANT PURPOSE: FOR PARTIAL SUPPORT OF A WORKSHOP ON SERPENTINIZATION A  
PROCESS CRUCIAL IN UNDERSTANDING THE EARTH.  
AMOUNT EXPENDED: 50,000.  
ANY DIVERSION? NO  
DATES OF REPORTS: 02/15/2013 AND 05/24/2013  
VERIFICATION DATE: 05/24/2013  
RESULTS OF VERIFICATION:  
GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
PAUL L JOSKOW 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/PRESIDENT 40.00	756,836.	73,008.	0
WILLIAM B PETERSEN 630 FIFTH AVENUE NEW YORK, NY 10111	VP/CHIEF INVESTMENT OFFICER 40.00	1,050,625.	83,207.	0
CHRISTOPHER T SIA 630 FIFTH AVENUE NEW YORK, NY 10111	TREASURER/CHIEF TECH OFFICER 40.00	205,000.	78,332.	0
LEISLE LIN 630 FIFTH AVENUE NEW YORK, NY 10111	VP FINANCE/BOARD SECRETARY 40.00	338,125.	84,026.	0
GAIL M PESYNA 630 FIFTH AVENUE NEW YORK, NY 10111	VP OF HR & PROGRAM MANAGEMENT 40.00	295,362.	74,527.	0
DORON WEBER 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT OF PROGRAMS 40.00	297,000.	84,803.	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JESSE AUSUBEL - THRU 6-19-12 630 FIFTH AVENUE NEW YORK, NY 10111	40.00	0	0	0
JESSE AUSUBEL IS AN EMPLOYEE OF ROCKEFELLER UNIVERSITY. THE FOUNDATION CONTRACTS WITH ROCKEFELLER UNIVERSITY FOR HIS SERVICES AS VICE PRESIDENT OF PROGRAMS. PAYMENTS FOR A PORTION OF HIS TIME TOTALING \$155,000 WERE MADE TO ROCKEFELLER UNIVERSITY DURING THE YEAR, WHICH REPRESENTS A PORTION OF HIS SALARY, NON FACULTY SALARIES, BENEFITS, OFFICE EXPENSES AND UNIVERSITY OVERHEAD. THIS CONTRACT WAS TERMINATED AS OF AUGUST 31, 2012.				
FREDERICK HENDERSON 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	21,000.	0	0
FREEMAN A HRABOWSKI III 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,500.	0	0
JAMES M POTERBA 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
KEVIN BURKE 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	11,500.	0	0
MARTA TIENDA 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0	0
MARY SCHMIDT CAMPBELL 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	20,000.	0	0
PETER S KIM 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	16,000.	0	0
RICHARD BERNSTEIN 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,500.	0	0
ROBERT LITTERMAN 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	23,000.	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
SANDRA O MOOSE - AS OF 6-19-12 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/CHAIRMAN 2.00	23,000.	0	0
SHEILA E WIDNALL 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	20,000.	0	0
STEPHEN L BROWN - THRU 6-19-2012 630 FIFTH AVENUE 2550 NEW YORK, NY 10111	TRUSTEE/CHAIRMAN 2.00	14,000.	0	0
	GRAND TOTALS	<u>3,186,448.</u>	<u>477,903.</u>	<u>0</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 15

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
JOSEPH S BOHRER C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	548,211.	61,182.	0
ELIZABETH BOYLAN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	255,875.	74,277.	0
KATHLEEN E CHRISTENSEN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	275,850.	85,172.	0
DANIEL L GOROFF C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	286,375.	68,092.	0
TRAVIS SHORE C/O ALFRED P. SLOAN FOUNDATION NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	259,490.	89,371.	0
	TOTAL COMPENSATION	<u>1,625,801.</u>	<u>378,094.</u>	<u>0</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MR. PAUL L. JOSKOW - GRANTS  
630 FIFTH AVENUE, SUITE 2550  
NEW YORK, NY 10111  
212-649-1649

MS. ERICA STELLA - FELLOWSHIPS  
630 FIFTH AVENUE, SUITE 2550  
NEW YORK, NY 10111  
212-649-1649

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

## GRANTS:

INFORMATION ABOUT THE APPLICANT, THE WORK THE APPLICANT PROPOSES TO DO, THE COSTS AND DURATION OF THE WORK, AND THE TAX STATUS OF THE ORGANIZATION THAT WOULD ADMINISTER THE GRANT. THE APPLICATION SHOULD NOT EXCEED 20 DOUBLE-SPACED PAGES. PROPOSALS BY E-MAIL ARE ACCEPTED.

## FELLOWSHIPS:

CANDIDATES ARE NOMINATED BY DEPARTMENT HEADS OR OTHER SENIOR SCHOLARS. DIRECT APPLICATIONS ARE NOT ACCEPTED. NOMINATION FORMS ARE AVAILABLE ON REQUEST ADDRESSED TO MS. ERICA STELLA OR ONLINE TO [WWW.SLOAN.ORG/FELLOWSHIPS](http://WWW.SLOAN.ORG/FELLOWSHIPS). IN ADDITION TO THIS FORM, THE NOMINATOR SHOULD SUBMIT A LETTER DESCRIBING THE CANDIDATE'S QUALIFICATIONS AND MUST SEE THAT THE FOUNDATION RECEIVES 3 SUPPORTING LETTERS DIRECTLY FROM OTHER SCHOLARS, PREFERABLY NOT AT THE SAME INSTITUTION. A CV, A LIST OF THE CANDIDATE'S PUBLICATIONS, A ONE PAGE STATEMENT AS WELL AS ONE COPY OF EACH OF NO MORE THAN TWO REPRESENTATIVE PUBLICATIONS SHOULD ACCOMPANY THE NOMINATION FORM AND LETTER.

990PF, PART XV - SUBMISSION DEADLINES

GRANTS: NONE

FELLOWSHIPS: NOMINATIONS ARE DUE BY SEPTEMBER 15 FOR THOSE AWARDS WHICH ARE AWARDED THE FOLLOWING SEPTEMBER.



990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

## GRANTS:

THE FOUNDATION'S MAIN INTERESTS ARE IN SCIENCE AND TECHNOLOGY; STANDARDS OF LIVING AND ECONOMIC PERFORMANCE; EDUCATION AND CAREERS IN SCIENCES AND TECHNOLOGY AND SELECTED NATIONAL ISSUES. THE FOUNDATION'S ACTIVITIES DO NOT EXTEND TO THE HUMANITIES, RELIGION, THE CREATIVE OR PERFORMING ARTS, OR TO MEDICAL RESEARCH. GRANTS GENERALLY ARE NOT MADE FOR ENDOWMENTS OR FOR BUILDINGS OR EQUIPMENT & ARE ONLY OCCASIONALLY MADE FOR GENERAL SUPPORT OR FOR ACTIVITIES OUTSIDE THE UNITED STATES. APPLICATIONS CAN BE MADE AT ANY TIME FOR SUPPORT OF ACTIVITIES RELATED TO THE RANGE OF INTERESTS INDICATED ABOVE. GRANTS OF \$125,000 OR LESS ARE MADE THROUGHOUT THE YEAR BY THE OFFICERS OF THE FOUNDATION.

## FELLOWSHIPS:

THE ALFRED P. SLOAN RESEARCH FELLOWSHIPS SEEK TO STIMULATE FUNDAMENTAL RESEARCH BY EARLY-CAREER SCIENTISTS AND SCHOLARS OF OUTSTANDING PROMISE. THESE TWO-YEAR FELLOWSHIPS ARE AWARDED YEARLY TO 118 RESEARCHERS IN RECOGNITION OF DISTINGUISHED PERFORMANCE AND A UNIQUE POTENTIAL TO MAKE SUBSTANTIAL CONTRIBUTIONS TO THEIR FIELD. CANDIDATES FOR SLOAN RESEARCH FELLOWSHIPS MAY BE NO MORE THAN SIX YEARS FROM COMPLETION OF THEIR PH.D. AS OF THE YEAR OF NOMINATION UNLESS SPECIAL CIRCUMSTANCES SUCH AS MILITARY SERVICE, A CHANGE OF FIELD OR CHILD REARING ARE INVOLVED OR UNLESS THEY HAVE HELD A FACULTY APPOINTMENT FOR LESS THAN TWO YEARS. THEY ARE REQUIRED TO HOLD A PH.D. (OR EQUIVALENT) IN CHEMISTRY, PHYSICS, MATHEMATICS, ECONOMICS, COMPUTER SCIENCE, NEUROSCIENCE, OR COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY OR IN A RELATED INTERDISCIPLINARY FIELD. THEY MUST BE MEMBERS OF THE REGULAR FACULTY OF A COLLEGE OR UNIVERSITY IN THE UNITED STATES OR CANADA.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ADLER PLANETARIUM 1300 S LAKE SHORE DRIVE CHICAGO, IL 60605	NONE 170(B)(1)(A)(VI)	TO DEMONSTRATE THE ABILITY OF DATA ANALYSIS THROUGH CITIZEN SCIENCE TO MAKE SIGNIFICANT CONTRIBUTIONS ACROSS THE WIDEST POSSIBLE RANGE OF RESEARCH AREAS	374,983.
UNIVERSITY OF ALASKA, ANCHORAGE 3211 PROVIDENCE DRIVE ANCHORAGE, AK 99508	NONE 170(B)(1)(A)(II)	TO FUND FOR AN ADDITIONAL THREE YEARS THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM AT THE UNIVERSITY OF ALASKA	48,000.
ALBRIGHT COLLEGE 1621 NORTH 13TH STREET READING, PA 19604	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(VI)	TO PROVIDE FURTHER FUNDING FOR THE GLOBAL NUCLEAR FUTURE INITIATIVE	125,000.
AMERICAN FILM INSTITUTE INC. 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027	NONE 170(B)(1)(A)(VI)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY THE TOP FILM STUDENTS	90,000.
AMERICAN FILM INSTITUTE INC. 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027	NONE 170(B)(1)(A)(VI)	TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS TO CREATE MORE REALISTIC AND DRAMATIC STORIES ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE STEREOTYPES ABOUT SCIENTISTS AND ENGINEERS THROUGH FILM	96,000.

ATTACHMENT 20

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
AMERICAN INDIAN COLLEGE FUND 8333 GREENWOOD BLVD. DENVER, CO 80221	NONE 170(B)(1)(A)(IV)	TO INCREASE THE NUMBER OF FACULTY WHO POSSESS GRADUATE DEGREES IN MATHEMATICS, NATURAL SCIENCE, AND ENGINEERING AT TRIBAL COLLEGES AND UNIVERSITIES	100,000.
AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL 1000 THOMAS JEFFERSON STREET, NW WASHINGTON, DC 20007-3835	NONE 170(B)(1)(A)(VI)	TO STUDY SCIENTIFIC COLLABORATION AND PRODUCTIVITY AT THE PROJECT TEAM LEVEL	359,241.
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 10024	NONE 170(B)(1)(A)(VI)	TO FOSTER APPLICATIONS OF DNA BARCODING FOR CONSERVING BIODIVERSITY, REDUCING CONSUMER FRAUD, AND PROTECTING HUMAN HEALTH	200,000.
AMERICAN MUSEUM OF THE MOVING IMAGE 36-01 35TH AVENUE ASTORIA, NY 11106	NONE 170(B)(1)(A)(VI)	TO SHOWCASE AWARD-WINNING STUDENT FILMS AND TO MAI CONSERVING BIODIVERSITY, REDUCING CONSUMER FRAUD, AND PROTECTING HUMAN HEALTH	76,536.
AMERICAN SOCIETY FOR ENGINEERING EDUCATION 1818 N STREET, NW SUITE 600 WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO ENABLE THE AMERICAN SOCIETY FOR ENGINEERING EDUCATION TO LAUNCH A PROGRAM TO ROUTINELY COLLECT AND REPORT DATA ON UNDERGRADUATE ENGINEERING COMPLETION RATES AND TIME-TO-DEGREE	168,835.
AMERICAN SOCIETY FOR MICROBIOLOGY 1752 N STREET NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO SUPPORT A COLLOQUIUM ON THE MICROBIOLOGY OF THE DRINKING WATER DISTRIBUTION SYSTEM	81,905.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20016-8061	NONE 170(B)(1)(A)(II)	TO SUPPORT THE DEVELOPMENT OF BEST PRACTICES FOR ORPHAN WORKS THAT WILL EMPOWER LIBRARIES, ARCHIVES, AND OTHER ORGANIZATIONS IN THEIR DIGITIZATION EFFORTS	173,140.
AMIR D. ACZEL 9 COLBOURNE CRESCENT BROOKLINE, MA 02445	NONE INDIVIDUAL	TO SUPPORT THE RESEARCH OF A BOOK ABOUT SCHOLAR GEORGES COEDES' DISCOVERY OF THE ORIGIN OF THE CONCEPT OF ZERO IN CAMBODIA AND ITS EFFECT ON OUR MODERN NUMBER SYSTEM	15,408.
ARIUS ASSOCIATION TAFERNSTRASSE 11 CH-5405 BADEN SWITZERLAND	NONE FOREIGN NON-501(C)(3)	SWITZERLAND TO SUPPORT CONTINUED EFFORTS TO LAUNCH REGIONAL REPOSITORY WORKING GROUPS	75,000.
ARIZONA BOARD OF REGENTS, THE UNIVERSITY OF ARIZONA PO BOX 3308 TUCSON, AZ 85722-3308	NONE 170(B)(1)(A)(II)	TO FUND, FOR AN ADDITIONAL THREE YEARS, THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP AT THE UNIVERSITY OF ARIZONA	32,120.
AZAVEA, INC. 340 N. 12TH STREET, SUITE 402 PHILADELPHIA, PA 19107	NONE NON-501(C)(3)	TO ASSESS THE STRENGTHS AND WEAKNESSES OF EXISTING SYSTEMS AND DESIGN A SCALABLE TECHNOLOGY PLATFORM FOR CITIZEN SCIENCE DATA COLLECTION	49,976.
UNIVERSITY OF BALTIMORE FOUNDATION, INC. 1130 NORTH CHARLES STREET BALTIMORE, MD 21201	NONE 170(B)(1)(A)(IV)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BENTLEY UNIVERSITY 175 FOREST STREET WALTHAM, MA 02154-4705	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	FOR A RENEWAL GRANT FOR THE BOSTON COLLEGE CENTER ON AGING AND WORK	894,054.
TRUSTEES OF BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	250,000.
UNIVERSITY OF BRITISH COLUMBIA 2329 W MALL V6T 1Z4 VANCOUVER BC CANADA	NONE FOREIGN EDUCATIONAL	TO ENABLE THE BAY VIEW ALLIANCE (A RESEARCH COLLABORATIVE OF SEVEN UNIVERSITIES) TO ACCELERATE THE RATE OF ADAPTATION, EXPLORATION, AND EFFECTIVE INTEGRATION OF METHODS OF INSTRUCTION THAT BETTER SUPPORT IMPROVED STUDENT LEARNING, TARGETING KEY STEM GATEWAY	277,070.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(II)	TO PUBLISH THROUGH BPEA FINANCIAL RESEARCH AND ECONOMIC DATA THAT IS ACCESSIBLE, RELIABLE, AND INFLUENTIAL	150,000.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(II)	TO DEMONSTRATE NEW WAYS AN ECONOMICS JOURNAL CAN HELP CURATE, VISUALIZE, AND UPDATE THE EMPIRICAL DATA LINKED TO ITS ARTICLES	75,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BUSINESS-HIGHER EDUCATION FORUM 2025 M STREET NW SUITE 800 WASHINGTON, DC 20036-0000	NONE 170(B)(1)(A)(VI)	TO LAUNCH AND SCALE NEW CURRICULAR AND PEDAGOGICAL MODELS OF INDUSTRY-HIGHER EDUCATION COLLABORATION AIMED AT INCREASING THE RECRUITMENT AND PERSISTENCE OF STEM STUDENTS, PARTICULARLY WOMEN AND UNDERREPRESENTED MINORITIES, AND ALIGN A PUBLIC UNIVERSITY	161,179.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO INVESTIGATE THE PROCESSES AND SOURCES RESPONSIBLE FOR INDOOR MICROBIAL COMMUNITIES AND INDOOR AIR QUALITY	425,550.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO DEVELOP SOLUTIONS TO COPYRIGHT LAW OBSTACLES FACING PUBLIC DIGITAL LIBRARY INITIATIVES AND COORDINATE THEM WITH THE DIGITAL PUBLIC LIBRARY OF AMERICA EFFORT	446,194.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO ANALYZE THE NEONATAL INTENSIVE CARE UNIT ROOM ENVIRONMENT AS A SOURCE OF MICROORGANISMS COLONIZING THE GI TRACT OF PREMATURE INFANTS	450,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1998 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO PLAN, COORDINATE, AND FACILITATE INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY	453,931.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO ESTABLISH AND SUPPORT THE MICROBIOMES OF BUILT ENVIRONMENTS NETWORK (MICROBENET)	476,930.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO INITIATE THE RESEARCH OF THE TEAM OF THE DEEP CARBON OBSERVATORY CONCERNED WITH BASIC PHYSICS AND CHEMISTRY OF CARBON AT THE EXTREME PRESSURE AND TEMPERATURE CONDITIONS OF EARTH'S INTERIOR	1,000,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO ENABLE INTERNATIONAL ECONOMIC COMPARISONS BY SUPPORTING THE PENN WORLD TABLE'S NEXT GENERATION	150,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	103,250.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	TO IMPROVE OUR UNDERSTANDING OF HOW INTERGENERATIONAL SUPPORT FOR PARENTS, ADULT CHILDREN, AND GRANDCHILDREN INFLUENCES LABOR SUPPLY OF OLDER ADULTS NEARING RETIREMENT	144,113.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	TO CONDUCT ETHNOGRAPHIC RESEARCH OF SCIENTIFIC INFORMATION AND DATA PRACTICES	297,169.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	TO SUPPORT A NETWORK OF PRACTITIONERS WORKING TO TRANSFORM SCHOLARLY COMMUNICATION VIA ONLINE COMMUNITY-BUILDING AND A "BEYOND THE PDF 2" WORKSHOP	214,720.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, RIVER 900 UNIVERSITY AVENUE RIVERSIDE, CA 92521	NONE 170(B)(1)(A)(II)	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE INDOOR ENVIRONMENT	254,347.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, OFFICE OF 1111 FRANKLIN ST 10 FL OAKLAND, CA 94607-5201	NONE 170(B)(1)(A)(II)	TO SUPPORT THE FURTHER TECHNICAL AND COMMUNITY DEVELOPMENT OF THE DATA MANAGEMENT PLAN TOOL	591,611.
CARLETON COLLEGE ONE NORTH COLLEGE STREET NORTHFIELD, MI 55057	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE 1779 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(IV)	TO ENCOURAGE AND FACILITATE UNDERSTANDING OF HOW TO DISTINGUISH BETWEEN LEGITIMATE AND ILLEGITIMATE NUCLEAR ACTIVITY	300,000.
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO INITIATE THE RESERVOIRS AND FLUXES DIRECTORATE OF THE DEEP CARBON OBSERVATORY	599,995.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO CONTINUE TO SPUR DEVELOPMENT OF INSTRUMENTS NEEDED FOR THE SUCCESS OF THE DEEP CARBON OBSERVATORY	750,000.
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO SUPPORT THE DEEP CARBON OBSERVATORY INTERNATIONAL SECRETARIAT	850,000.
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO PROVIDE SEED FUNDS TO CREATE AN INTERNATIONAL CONSORTIUM ON DIAMOND RESEARCH AS PART OF THE DEEP CARBON OBSERVATORY	100,000.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO CONDUCT AND PROMOTE RESEARCH ON THE CREDIT RATING INDUSTRY AND ITS REGULATION	144,620.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO SUPPORT THE TECHNICAL DEVELOPMENT OF A PLATFORM FOR ARCHIVING EXECUTABLE CONTENT AND THE ENVIRONMENT IN WHICH IT RUNS, AS WELL AS A PLAN FOR THE INSTITUTIONALIZATION AND ONGOING SUSTAINABILITY OF SUCH AN ARCHIVE	400,000.
CATTICUS CORPORATION 2600 TENTH STREET BERKELEY, CA 94710	NONE 170(B)(1)(A)(VI)	FOR PRODUCTION SUPPORT FOR A THREE-HOUR SERIES TRACING THE HISTORY OF SILICON VALLEY TO BE AIRED ON PBS PRIMETIME, ALONG WITH DEVELOPMENT OF A PUBLIC EDUCATION AND COMMUNITY OUTREACH CAMPAIGN	1,000,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CENTER FOR INDEPENDENT DOCUMENTARY, INC. 680 S MAIN ST SHARON, MA 02067-2868	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE PRODUCTION OF A PBS DOCUMENTARY ON "COMING OF AGE IN AGING AMERICA"	100,000.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE INDOOR ENVIRONMENT	344,203.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO CONSTRUCT AND CALIBRATE MODELS FOR ANALYZING HOW THE FINANCIAL SECTOR AND ITS REGULATION CAN INFLUENCE THE MACROECONOMY	331,890.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO CHARACTERIZE THE SURFACE, AIR, WATER AND HUMAN-ASSOCIATED MICROBIAL COMMUNITIES IN TWO HOSPITALS TO MONITOR CHANGES FOLLOWING THE INTRODUCTION OF PATIENTS AND STAFF	500,000.
CHRINON LIMITED ASTON HOUSE, CORNWALL AVE N3 1LF LONDON UNITED KINGDOM	NONE FOREIGN NON-501(C)(3)	TO DEMONSTRATE METHODS FOR IDENTIFYING OWNERSHIP AND OTHER RELATIONSHIPS AMONG CORPORATE LEGAL ENTITIES	116,048.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NY 230 WEST 41ST STREET NEW YORK, NY 10036	NONE 170(B)(1)(A)(II)	TO ENCOURAGE AND SUPPORT PROMISING EARLY CAREER SCIENTISTS AT BOTH STUDENT AND FACULTY LEVELS THROUGH TWO AWARDS PROGRAMS: A SUMMER UNDERGRADUATE RESEARCH PROGRAM AND A JUNIOR FACULTY FELLOWSHIP PROGRAM	575,968.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NY 2021 NORTH WESTERN AVENUE NEW YORK, NY 10036	NONE 170(B)(1)(A)(II)	TO ENHANCE THE REPUTATION OF CUNY FACULTY BY DEVELOPING A PROGRAM TO INCREASE THE NUMBER OF NATIONAL AND INTERNATIONAL AWARDS AND PRIZES RECEIVED BY CUNY FACULTY IN STEM FIELDS	124,923.
CLEAN AIR TASK FORCE, INC. 18 TREMONT STREET, SUITE 530 BOSTON, MA 02108	NONE 170(B)(1)(A)(VI)	TO ORGANIZE THE FORMULATION OF A STUDY GROUP, A RESEARCH FRAMEWORK, AND A REQUEST-FOR-PROPOSALS TO INVESTIGATE THE ENERGY EFFICIENCY PARADOX	98,832.
COLD SPRING HARBOR LABORATORY PO BOX 534 COLD SPRING HARBOR, NY 11724-0534	NONE 170(B)(1)(A)(II)	TO SUPPORT A PILOT PROJECT FOR DNA BARCODING EXPERIMENTS BY NEW YORK CITY HIGH SCHOOL STUDENTS	150,000.
REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO ANALYZE THE POLITICAL COALITIONS SEEKING TO INFLUENCE SHALE GAS DEVELOPMENT IN THE UNITED STATES	133,394.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE INDOOR ENVIRONMENT	149,209.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO CONDUCT A PILOT STUDY TO EXAMINE THE DIVERSITY AND STRUCTURE OF BACTERIAL COMMUNITIES IN KITCHENS	60,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO ASSESS THE MICROBIOLOGY OF MUNICIPAL WATER DELIVERY SYSTEMS IN THE U.S.	200,000.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO CREATE A 3D MAP OF THE "MICROBIALLY VISIBLE HOME" THAT INCLUDES BOTH ARCHITECTURAL COMPONENTS AND MICROBIAL DATA	187,237.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO EXPAND THE SCHOLARLY UNDERSTANDING OF EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS, AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS, AND CLASSROOM OBSERVATIONS OF STUDENTS AND FACULTY AT SEVEN COLLEGES	134,394.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO EXAMINE HOW AND WHY HOUSE ASSOCIATED MICROBIAL COMMUNITIES VARY ACROSS HOMES THROUGHOUT THE UNITED STATES	192,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO WRITE SCREENPLAYS AND PRODUCE SHORT FILMS ABOUT SCIENCE AND TECHNOLOGY	73,946.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	FOR A PILOT PRODUCTION GRANT TO ENCOURAGE THE NEXT GENERATION OF FILMMAKERS TO INCORPORATE SCIENCE AND TECHNOLOGY THEMES INTO STORYTELLING FOR THE WORLD WIDE WEB	75,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO APPLY NEXT GENERATION MICROBIOLOGY TO IMPROVE THE COMPOSTING OF HUMAN WASTE	49,495.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO FURTHER DEVELOP RUNMYCODE, A PLATFORM THAT LINKS DATA AND CODE FOR REAL-TIME REPRODUCTION OF PUBLISHED STUDIES	420,640.
CONNECTICUT PUBLIC BROADCASTING INC 1049 ASYLUM AVENUE HARTFORD, CT 06105-2411	NONE 170(B)(1)(A)(VI)	FOR PRODUCTION, BROADCAST, AND OUTREACH FOR A TWO-PART PUBLIC TELEVISION SERIES ABOUT WHAT IMPACT THE SCIENCE BEHIND BRAIN SCANS COULD OR SHOULD HAVE ON THE CRIMINAL JUSTICE SYSTEM	596,390.
COOLIDGE CORNER THEATRE FOUNDATION INC 290 HARVARD STREET BROOKLINE, MA 02446	NONE 170(B)(1)(A)(VI)	TO SUPPORT COOLIDGE CORNER THEATRE'S SCIENCE ON SCREEN SERIES AND EXPAND IT WITH SMALL GRANTS TO 40 THEATERS NATIONWIDE	231,713.
CORNELL UNIVERSITY 410 THURSTON AVENUE ITHACA, NY 14853	NONE 170(B)(1)(A)(II)	TO SUPPORT A PILOT STUDY TO CHARACTERIZE CHANGES IN INDOOR AIRBORNE MICROBIOTA OF HOMES AFTER WEATHERIZATION	150,000.
CORPORATION FOR NATIONAL RESEARCH INITIATIVES 1895 PRESTON WHITE DRIVE, SUITE 100 RESTON, VA 20191-5434	NONE 170(B)(1)(A)(VI)	TO DEVELOP AND DEMONSTRATE AN OPEN-SOURCE SOFTWARE PLATFORM THAT FACILITATES INTEROPERABILITY AMONG DISPARATE INFORMATION SYSTEMS	302,956.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
COUNCIL OF GRADUATE SCHOOLS ONE DUPONT CIRCLE, NW SUITE 230 WASHINGTON, DC 20036-1173	NONE 170(B)(1)(A)(IV)	TO CONTINUE AND COMPLETE THE WORK OF THE COUNCIL OF GRADUATE SCHOOLS TO INSTITUTIONALIZE AND PROMOTE THE PROFESSIONAL SCIENCE MASTER'S (PSM) AS A REGULAR FEATURE OF GRADUATE EDUCATION	192,635.
COUNCIL OF GRADUATE SCHOOLS ONE DUPONT CIRCLE, NW SUITE 230 WASHINGTON, DC 20036-1173	NONE 170(B)(1)(A)(IV)	TO ENHANCE THE SKILLS OF FUTURE FACULTY IN THE ASSESSMENT OF STUDENT LEARNING IN STEM FIELDS, IMPROVING THEIR CAPABILITIES TO TEACH AND RESPOND MORE EFFECTIVELY TO SIGNALS FROM STUDENTS WHO ARE AT RISK OF POOR PERFORMANCE AND/OR DEPARTURE FROM A STEM BACC	140,000.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	NONE 170(B)(1)(A)(IV)	TO CONDUCT A PROGRAM OF RESEARCH AND PUBLICATION ON ENERGY SECURITY, ESPECIALLY RELATED TO OIL	450,327.
COUNCIL ON FOREIGN RELATIONS, INC. 58 EAST 68TH STREET NEW YORK, NY 10065	NONE 170(B)(1)(A)(IV)	TO SUPPORT A SERIES OF FOUR WORKSHOPS ON DUAL-USE RESEARCH OF COCERN	117,692.
COUNCIL ON LIBRARY AND INFORMATION RESOURCES 1775 MASSACHUSETTS AVENUE, NW SUITE 500 WASHINGTON, DC 20036-2124	NONE 170(B)(1)(A)(IV)	TO SUPPORT THE EXTENSION OF THE EXISTING COUNCIL ON LIBRARY AND INFORMATION RESOURCES (CLIR) POSTDOCTORAL PROGRAM INTO DIGITAL CURATION IN THE SCIENCES AND SOCIAL SCIENCES	672,697.
TRUSTEES OF DARTMOUTH COLLEGE 207 PARKHURST HALL HANOVER, NH 03755	NONE 170(B)(1)(A)(II)	TO INCREASE UNDERSTANDING OF HOW RECESSIONS, INCLUDING THE GREAT RECESSION, AFFECT THE LABOR MARKET ACTIVITIES AND RETIREMENT OF OLDER AMERICANS	299,656.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TRUSTEES OF DARTMOUTH COLLEGE 207 PARKHURST HALL HANOVER, NH 03755	NONE 170(B)(1)(A)(II)	TO MEASURE THE SPREAD OF OPEN ACCESS IN ACADEMIC PUBLISHING AND TO TEST THE IMPACT OF OPEN ACCESS ON CITATION COUNTS AND OTHER INDICATORS OF RESEARCH QUALITY	132,458.
TRUSTEES OF DARTMOUTH COLLEGE 207 PARKHURST HALL HANOVER, NH 03755	NONE 170(B)(1)(A)(II)	TO STUDY THE OWNERSHIP STRUCTURES MULTINATIONAL FIRMS ESTABLISH, INCLUDING DOCUMENTATION OF THEIR TYPES, FREQUENCIES, AND RELATIVE ADVANTAGES	103,500.
UNIVERSITY OF D.C. FOUNDATION 4200 CONNECTICUT AVE., NW WASHINGTON, DC 20008	NONE 170(B)(1)(A)(IV)	FOR THE IMPLEMENTATION OF PROFESSIONAL SCIENCE MASTER'S DEGREE PROGRAMS AT THE HBCU MID-ATLANTIC PSM ALLIANCE	22,250.
DREXEL UNIVERSITY 3201 ARCH STREET PHILADELPHIA, PA 19104-2875	NONE 170(B)(1)(A)(II)	TO DEVELOP REPLICABLE MODELS AND ASSESSMENT INSTRUMENTS FOR PROFESSIONAL ADVANCEMENT PROGRAMS TO INCREASE INSTITUTIONAL CAPACITY SUPPORTIVE OF ACADEMIC LEADERS FROM GROUPS UNDERREPRESENTED IN STEM	108,961.
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	TO SUPPORT THE TECHNICAL AND ORGANIZATIONAL DEVELOPMENT OF AN ALTMETRICS PLATFORM: TOTAL-IMPACT	125,000.
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	CONFERENCE ON THE HISTORY OF THE MIT ECONOMICS DEPARTMENT AND ITS TRANSFORMATIVE ROLE IN POST WWII ECONOMICS	16,080.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	TO SUPPORT A MEETING ON THE EVOLUTIONARY BIOLOGY OF THE BUILT ENVIRONMENT	66,371.
EAST CAROLINA UNIVERSITY FOUNDATION INC 1001 E 5TH ST GREENVILLE, NC 27858	NONE 170(B)(1)(A)(IV)	TO DEVELOP THE DEEP CARBON OBSERVATORY'S DEEP LIFE DIRECTORATE	699,989.
ENSEMBLE STUDIO THEATRE, INC. 549 WEST 52ND STREET NEW YORK, NY 10019	NONE 170(B)(1)(A)(IV)	TO COMMISSION, DEVELOP, PRODUCE AND DISSEMINATE NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE COUNTRY	567,000.
FAMILIES AND WORK INSTITUTE, INC. 267 FIFTH AVENUE - 2ND FLOOR NEW YORK, NY 10016	NONE 170(B)(1)(A)(IV)	TO SUPPORT DEVELOPMENT OF FLEXIBILITY ONLINE TO ADVANCE ADOPTION OF A FLEXIBLE WORK PROGRAM	300,000.
FILM INDEPENDENT, INC. 9911 W. PICO BOULEVARD, 11TH FLOOR LOS ANGELES, CA 90035	NONE 170(B)(1)(A)(VI)	TO DEVELOP SCIENCE SCRIPTS AND SUPPORT PRODUCING TEAMS DEVELOPING SLOAN-WORTHY FEATURE FILMS	110,000.
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	NONE 170(B)(1)(A)(VI)	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	65,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE DEVELOPMENT OF WEB INTERFACES AND AN APPLICATION PROGRAMMING INTERFACE TO THE FOUNDATION CENTER'S RICH STORE OF PHILANTHROPIC DATA	140,000.
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVENUE, N. J6-330 SEATTLE, WA 98109	NONE 170(B)(1)(A)(VI)	TO ENGAGE MAJOR U.S. RELIGIOUS DENOMINATIONS IN CONVERSATIONS ABOUT SYNTHETIC BIOLOGY	120,000.
FUND FOR PUBLIC HEALTH IN NEW YORK, INC. 22 CORTLANDT STREET, SUITE 1103 NEW YORK, NY 10007-3160	NONE 170(B)(1)(A)(VI)	TO IMPROVE NYC'S DEPARTMENT OF HEALTH AND MENTAL HYGIENE'S SYNDROMIC SURVEILLANCE SYSTEMS	457,814.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	SUPPORT FOR SLOAN AWARDS FOR EXCELLENCE IN TEACHING SCIENCE AND MATHEMATICS IN NEW YORK CITY PUBLIC HIGH SCHOOLS	260,000.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC SERVICE AWARDS PROGRAM	250,000.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO LAUNCH DATAKIND, AN ORGANIZATION TO BETTER CONNECT DATA SCIENTISTS WITH VOLUNTEER OPPORTUNITIES AND ENCOURAGE BEST DATA PRACTICES AMONG NONPROFITS	346,756.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GEORGE MASON UNIVERSITY 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
GEORGIA TECH RESEARCH CORPORATION 260 14TH ST NW ATLANTA, GA 30332	NONE 170(B)(1)(A)(IV)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW 365 FIFTH AVENUE NEW YORK, NY 10016-4309	NONE 170(B)(1)(A)(IV)	ASSESS EFFECTIVENESS AND IMPACT OF SLOAN ANYTIME/ANYPLACE EDUCATION PROGRAM	50,000.
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 2775 SOUTH QUINCY STREET ARLINGTON, VA 22206	NONE 170(B)(1)(A)(IV)	FOR HIGH QUALITY ON-AIR AND ONLINE COVERAGE ON PBS'S NEWSHOUR TO ENHANCE ECONOMIC AND FINANCIAL LITERACY	750,000.
GUIDESTAR USA, INC. 4801 COURTHOUSE STREET, SUITE 220 WILLIAMSBURG, VA 23188	NONE 170(B)(1)(A)(VI)	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	7,500.
HAMPTONS INTERNATIONAL FILM FESTIVAL 3 NEWTOWN MEWS EAST HAMPTON, NY 11937	NONE 170(B)(1)(A)(VI)	TO COMMISSION AND SPOTLIGHT SCIENCE AND TECHNOLOGY FILMS AND TO DEVELOP SCIENCE AND TECHNOLOGY SCREENPLAYS	153,763.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 399 HARVARD STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	FOR AN INTENSE TWO-YEAR PROCESS OF WORKSHOPS, MEETINGS, PLENARIES, RESEARCH, PILOT DIGITIZATION, PROTOTYPE DEVELOPMENT, AND COMMUNITY BUILDING THAT WILL RESULT IN THE LAUNCH OF THE DIGITAL PUBLIC LIBRARY OF AMERICA	684,278.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 399 HARVARD STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE RESEARCH IN ECONOMICS	100,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 399 HARVARD STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	TO HELP SOCIAL SCIENCE JOURNALS PROCESS AND PUBLISH THE DATA ASSOCIATED WITH RESEARCH ARTICLES	558,670.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 399 HARVARD STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	MICROBES BY PUBLIC TRANSPORTATION SURFACES 249739 2012	150,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE 399 HARVARD STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	TO DEVELOP, REFINE, AND PROMULGATE AN AGENDA FOR ENERGY EFFICIENCY RESEARCH	277,661.
HASTINGS CENTER INC 21 MALCOLM GORDON ROAD GARRISON, NY 10524	NONE 170(B)(1)(A)(VI)	TO PROVIDE RENEWED SUPPORT TO INVESTIGATE ETHICAL ISSUES IN SYNTHETIC BIOLOGY	250,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NY 695 PARK AVENUE NEW YORK, NY 10021	NONE 170(B)(1)(A)(IV)	TO DEVELOP A MODEL FOR SCIENCEBETTER, A NETWORK OF DOMAIN-SPECIFIC WEBSITES TO SUPPORT INFORMAL INFORMATION DISSEMINATION ABOUT THE INNOVATIVE APPROACHES TO SCHOLARLY PRACTICE	57,708.
ICPO-INTERPOL 200, QUAI CHARLES DE GAULLE 69006 LYON FRANCE	NONE FOREIGN - OTHER	TO PROVIDE PARTIAL SUPPORT TO DEVELOP INTERPOL'S RADIOLOGICAL AND NUCLEAR TERRORISM PREVENTION PROGRAM	550,000.
INDEPENDENT SECTOR 1200 EIGHTEENTH STREET, NW SUITE 200 WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	FOR GENERAL SUPPORT	17,500.
INDIANA UNIVERSITY 107 SOUTH INDIANA AVENUE BLOOMINGTON, IN 47405	NONE 170(B)(1)(A)(II)	TO DESIGN A PROTOTYPE SYSTEM THAT DEMONSTRATES NON-CONSUMPTIVE, COMPUTATIONAL ACCESS TO A RESTRICTED FULL-TEXT CORPUS	173,148.
INDIANA UNIVERSITY 107 SOUTH INDIANA AVENUE BLOOMINGTON, IN 47405	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	250,000.
INDUSTRIAL ORGANIZATIONAL SOCIETY, INC. 486 WEST CIRCLE DRIVE EAST LANSING, MI 48824-1038	NONE 170(B)(1)(A)(VI)	TO SUPPORT GRADUATE STUDENT PRESENTATIONS AT THE INTERNATIONAL INDUSTRIAL ORGANIZATION CONFERENCE	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
INSTITUTE FOR NEW ECONOMIC THINKING 570 LEXINGTON AVENUE, 18TH FLOOR NEW YORK, NY 10022	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE PARTICIPATION OF STUDENTS IN A MAJOR INTERNATIONAL CONFERENCE ON NEW ECONOMIC THINKING	24,300.
INSTITUTE OF INTERNATIONAL EDUCATION INC 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	NONE 170(B)(1)(A)(IV)	TO PROVIDE LIFE-SAVING FELLOWSHIPS AND ACADEMIC PLACEMENTS FOR PERSECUTED SCHOLARS SO THEY CAN CONTINUE THEIR WORK BEFORE RETURNING TO THEIR NATIVE COUNTRIES WHEN IT IS SAFE TO DO SO	250,000.
IODP MANAGEMENT INTERNATIONAL, INC. 1001 CONNECTICUT AVENUE, NW, SUITE 504 WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO DEVELOP THE ROADMAP, MANAGEMENT, AND SUPPORT FOR A BOREHOLE INTO EARTH'S MANTLE	100,000.
THE INTERNATIONAL ASSOCIATION FOR RESEARCH IN INCO 151 SLATER STREET, SUITE 710 K1P 5H3 OTTAWA CANADA	NONE FOREIGN CHARITY	TO STUDY AND SHARE IMPROVEMENTS FOR ESTIMATING GROSS DOMESTIC PRODUCT	140,000.
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES ST, BALTIMORE, MD 21218-2688	NONE 170(B)(1)(A)(II)	TO DEVELOP A HOSTED PLATFORM FOR MANAGING AND LINKING SCIENTIFIC DATA BY COMBINING AND EXTENDING TOOLS THAT WERE DEVELOPED WITHIN THE CONTEXT OF THE SLOAN DIGITAL SKY SURVEY ARCHIVE AND THE VIRTUAL ASTRONOMICAL OBSERVATORY	242,542.
JONATHAN WALDMAN 750 17TH STREET BOULDER, CO 80302	NONE INDIVIDUAL	TO SUPPORT THE RESEARCH AND WRITING OF A BOOK ABOUT RUST AND THE ENGINEERING EFFORTS REQUIRED TO COMBAT IT	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
KECK GRADUATE INSTITUTE 535 WATSON DRIVE CLAREMONT, CA 91811	NONE 170(B)(1)(A)(II)	TO SUPPORT THE INTRODUCTION OF A PSM AFFILIATION FEE AS A SUSTAINABLE FINANCIAL MODEL FOR PSM QUALITY CONTROL AND WEBSITE PROVISION IN THE FUTURE	75,000.
LA THEATRE WORKS 681 VENICE BOULEVARD VENICE, CA 90291	NONE 170(B)(1)(A)(VI)	TO RECORD FOUR NEW SLOAN-COMMISSIONED OR SUPPORTED SCIENCE PLAYS FOR BROADCAST ON PUBLIC RADIO AND DISTRIBUTED TO SCHOOLS, LIBRARIES, ONLINE RETAIL PARTNERS AND REGIONAL THEATRES, AND FOR DEVELOPMENT OF "RELATIVITY" APPS, EBOOKS, AND WEBSITE MATERIAL	97,907.
LYRASIS 3000 MARKET STREET, SUITE 200 PHILADELPHIA, PA 19104-2801	NONE 170(B)(1)(A)(VI)	FOR CONTINUED DIGITIZATION OF MEMBER COLLECTIONS AND DEVELOPMENT OF A SELF-SUSTAINING REGIONAL SCANNING CENTER	250,000.
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO COMMISSION NINE SCIENCE AND TECHNOLOGY PLAYS OVER THREE YEARS AND TO SUPPORT READINGS, WORKSHOPS AND STAGE PRODUCTIONS OF SCIENCE AND TECHNOLOGY PLAYS	200,000.
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO COMMISSION, DEVELOP, AND PRODUCE SCIENCE AND TECHNOLOGY PLAYS	180,184.
UNIVERSITY OF MARYLAND COLLEGE PARK COLLEGE PARK, MD 20742	NONE 170(B)(1)(A)(II)	TO COMPILE, STUDY, AND OPENLY DISTRIBUTE A NATIONALLY STANDARDIZED DATABASE OF GOVERNMENT HEALTH INSPECTORS' RESTAURANT RATINGS	102,427.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO CREATE A NEW EXECUTIVE-LEVEL COURSE DESIGNED TO PROMOTE THE SAFE AND RESPONSIBLE USE OF NUCLEAR POWER WORLDWIDE	165,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO EXAMINE THE IMPACTS OF ONLINE WORKING PAPER REPOSITORIES ON THE DIFFUSION OF SCHOLARLY IDEAS	185,489.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO PROVIDE SEED GRANTS TO LAUNCH TWELVE NEW SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES WITH SMALL RESOURCE BASES	199,545.
MICHIGAN STATE UNIVERSITY 426 AUDITORIUM RD RM 350 EAST LANSING, MI 48824	NONE 170(B)(1)(A)(II)	TO FILL A GAP IN RESEARCH BY INVESTIGATING HOW THE "EMPLOYMENT ENVIRONMENT" PROMOTES OR IMPEDES THE ABILITY OF INDIVIDUALS TO REMAIN AT WORK PAST TRADITIONAL AGES OF RETIREMENT	212,586.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 503 THOMPSON STREET ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO CREATE AND ANALYZE DATASETS THAT COMBINE THE HEALTH AND RETIREMENT STUDY (HRS) WITH DATA FROM THE CENSUS BUREAU FROM THE FIRMS WHERE HRS RESPONDENTS HAVE WORKED	900,000.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 503 THOMPSON STREET ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO MODEL HOW U.S. LABOR MARKETS FOR SCIENTISTS AND ENGINEERS RESPOND TO IMMIGRATION AND OTHER FACTORS	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 503 THOMPSON STREET ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT	190,724.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 503 THOMPSON STREET ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO ADVANCE MEASUREMENT OF INCOME, SPENDING, ASSETS AND DEBT BY CREATING AND ANALYZING A NEW DATABASE OF HIGH-QUALITY, DAILY DATA ON ACTUAL TRANSACTIONS AND ACCOUNT BALANCES OF INDIVIDUALS	323,236.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 503 THOMPSON STREET ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO DEVELOP AND PROMOTE DATA-SHARING STANDARDS IN THE SOCIAL SCIENCES	275,888.
MOUNT HOLYOKE COLLEGE 35 MARY WOOLLEY HALL SOUTH HADLEY, MA 01075	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
MOZILLA FOUNDATION 650 CASTRO STREET SUITE 300 MOUNTAIN VIEW, CA 94041-2072	NONE 170(B)(1)(A)(VI)	TO IMPROVE THE QUALITY OF SOFTWARE PRODUCED BY SCIENTISTS, AND TO DRIVE THE DEVELOPMENT OF TOOLS, PRACTICES, AND DIVERSE COMMUNITY AROUND DIGITALLY NETWORKED SCIENCE	249,250.
MUSEUM OF MATHEMATICS 134 WEST 29TH STREET SUITE 709/710 NEW YORK, NY 10001	NONE 170(B)(1)(A)(VI)	TO EQUIP SCIENCE FESTIVALS WITH PORTABLE, INTERACTIVE, AND HANDS-ON MATHEMATICAL ACTIVITIES	100,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO STUDY THE FEASIBILITY OF AN ONLINE AND OPEN ACCESS MATHEMATICAL HERITAGE LIBRARY	59,667.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON SYNTHETIC BIOLOGY	150,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO SUPPORT A STUDY ON THE FUTURE CAREER OPPORTUNITIES AND EDUCATIONAL REQUIREMENTS FOR DIGITAL CURATION	160,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO SUSTAIN AND STRENGTHEN THE ROLE OF THE SCIENCE AND ENTERTAINMENT EXCHANGE--AND OF SCIENCE AND TECHNOLOGY--IN HOLLYWOOD	106,500.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO ENHANCE, DISSEMINATE, AND IMPLEMENT THE FINDINGS OF A STUDY ABOUT IMPROVING POSTDOCTORAL TRAINING AND CAREER PROSPECTS	200,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE FORUM ON MICROBIAL THREATS	65,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL ACADEMY OF SOCIAL INSURANCE 1776 MASSACHUSETTS AVENUE, NW SUITE 615 WASHINGTON, DC 20036-1904	NONE 170(B)(1)(A)(VI)	TO HELP AMERICANS UNDERSTAND HOW THEY CAN ENHANCE THEIR LONG-TERM RETIREMENT SECURITY BY WORKING LONGER AND DELAYING SOCIAL SECURITY BENEFITS	331,652.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND NEW OBLIGATIONS IN THE MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PROGRAM FROM JULY 1, 2009, THROUGH JUNE 30, 2010	187,325.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND NEW OBLIGATIONS INCURRED IN THE SLOAN MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FROM JULY 1, 2010 THROUGH JULY 1, 2011	651,000.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND NEW OBLIGATIONS IN THE MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FROM JULY 1, 2011 TO JULY 1, 2012	935,265.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO ENABLE THE NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEERING TO CONTINUE ADMINISTERING THE SLOAN MINORITY PH.D. PROGRAM AND SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FOR AN ADDITIONAL THREE YEARS	178,471.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND OBLIGATIONS IN THE MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP FOR THE COHORT NAMED FROM JULY 1, 2012 TO JULY 1, 2013	894,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE SCIENCE AND ENGINEERING WORKFORCE AND POLICY CENTER	540,000.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO SUPPORT SUMMER INSTITUTES RUN BY THE NATIONAL BUREAU OF ECONOMIC RESEARCH	258,520.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO INITIATE AND ORGANIZE RESEARCH ON THE ECONOMICS OF DIGITAL INFORMATION	418,721.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO SUPPORT FELLOWSHIPS FOR PH.D. STUDENTS WORKING ON THE ECONOMICS OF WORKING	150,000.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO SUPPORT FELLOWSHIPS FOR PH.D. STUDENTS WORKING FAMILY RESEARCH NETWORK TO ENSURE ITS LONG TERM SUSTAINABILITY	346,150.
NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT 1145 17TH STREET, NW WASHINGTON, DC 20036-4688	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL FUNDING FOR A TELEVISION DOCUMENTARY, 3D FEATURE FILM, 3D GIANT SCREEN FILM, EDUCATIONAL RESOURCES, AND DIGITAL OUTREACH FOCUSED ON JAMES CAMERON'S HISTORIC DIVE AND SCIENTIFIC EXPEDITION TO THE DEEPEST PART OF THE OCEAN	1,000,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL OPINION RESEARCH CENTER 1155 EAST 60TH STREET CHICAGO, IL 60637	NONE 170(B)(1)(A)(VI)	TO CONDUCT AN INVENTORY OF THE UNIVERSITY PROGRAMS ASSOCIATED WITH THE ALFRED P. SLOAN FOUNDATION'S MINORITY PH.D. PROGRAM (MPHD) FOR UNDERREPRESENTED MINORITY GRADUATE STUDENTS, TO SURVEY	566,440.
NATIONAL OPINION RESEARCH CENTER 1155 EAST 60TH STREET CHICAGO, IL 60637	NONE 170(B)(1)(A)(VI)	TO IMPROVE PUBLIC UNDERSTANDING OF AGING AND WORK, BY INCREASING QUALITY AND QUANTITY OF COVERAGE OF THE ECONOMICS OF THE AGING WORKFORCE	208,291.
NATIONAL PUBLIC RADIO, INC. 635 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20001-3753	NONE 170(B)(1)(A)(VI)	FOR THREE YEARS TO ENHANCE BUSINESS AND ECONOMICS COVERAGE ON PLANET MONEY AND A ONE-YEAR PILOT TO EXPAND MULTIMEDIA STORYTELLING AT THE SCIENCE DESK	390,000.
THE NEW SCHOOL FOR SOCIAL RESEARCH 66 WEST 12TH STREET NEW YORK, NY 10011	NONE 170(B)(1)(A)(VI)	TO GENERATE AND DISSEMINATE INFORMATION FOR PARENTS ABOUT NEW YORK CITY SCHOOLS	150,000.
THE NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029	NONE 170(B)(1)(A)(VI)	TO EXPERIMENT WITH THE DESIGN AND IMPLEMENT THE SLOAN AWARDS FOR AN AGE FRIENDLY-WORKPLACE IN NEW YORK CITY	494,898.
NEW YORK BOTANICAL GARDEN 200TH STREET KAZIMIROFF BLVD BRONX, NY 10458-5126	NONE 170(B)(1)(A)(VI)	TO FOSTER APPLICATIONS OF DNA BARCODING FOR CONSERVING BIODIVERSITY, REDUCING CONSUMER FRAUD, AND PROTECTING HUMAN HEALTH	200,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NEW YORK GENOME CENTER, INC. 590 MADISON AVE NEW YORK, NY 10022	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE NEW YORK GENOME CENTER	1,000,000.
NEW YORK HALL OF SCIENCE 47-01 111TH STREET CORONA, NY 11368	NONE 170(B)(1)(A)(VI)	TO CREATE AN INTERACTIVE EBOOK FOR IPAD THAT INCORPORATES COMPELLING NARRATIVES FROM THE INNOCENCE PROJECT WITH SCIENTIFIC THEMES OF DNA USED AS EVIDENCE AND COGNITIVE AND PERCEPTION BIASES	187,514.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO ESTABLISH A CENTER FOR MATHEMATICAL TALENT TO WORK WITH STUDENTS FROM NYC SCHOOLS	245,926.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO RANK GLOBAL FINANCIAL FIRMS ACCORDING TO THE SYSTEMIC RISK THEY POSE FOR THE WORLD ECONOMY	151,510.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY	158,133.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	157,786.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO ANALYZE THE ECONOMICS OF LABOR MARKETS FOR INFORMATION TECHNOLOGY WORKERS USING ADMINISTRATIVE DATASETS	148,353.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO PROVIDE SUMMER EXPERIENCES FOR A DIVERSE SET OF YOUNG WOMEN IN HIGH SCHOOL THAT WILL BOLSTER THEIR ENTHUSIASM AND APTITUDE FOR STUDYING MATHEMATICS IN COLLEGE	173,892.
NORTH CAROLINA STATE UNIVERSITY 2200 HILLSBOROUGH RALEIGH, NC 27695	NONE 170(B)(1)(A)(II)	TO EXPAND AND MAKE SUSTAINABLE OVER THE LONG TERM THE UNIVERSITY OF NORTH CAROLINA SYSTEM-WIDE PROFESSIONAL SCIENCE MASTER'S INITIATIVE	20,000.
ARIZONA BOARD OF REGENTS, NORTHERN ARIZONA UNIVERS 1298 SOUTH KNOLES DRIVE ARD BUILDING 56, SUITE 240 FLAGSTAFF, AZ 86011-4130	NONE 170(B)(1)(A)(II)	TO ANALYZE AND MODEL THE ESTABLISHMENT OF MICROBIAL COMMUNITIES OVER TIME ON DIFFERENT OFFICE SURFACE MATERIALS IN DIFFERENT CLIMATES	149,877.
OHIO STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS, OH 43210	NONE 170(B)(1)(A)(II)	TO INITIATE THE DEEP ENERGY DIRECTORATE OF THE DEEP CARBON OBSERVATORY WITH STUDIES DIFFERENTIATING ABIOGENIC FROM BIOGENIC SOURCES OF HYDROCARBONS	500,000.
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403-1226	NONE 170(B)(1)(A)(II)	TO FUND THE CENTER FOR MICROBIAL ECOLOGY OF INDOOR ENVIRONMENTS	500,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF OTTAWA 550 CUMBERLAND STREET K1N 6N5 OTTAWA CANADA	NONE FOREIGN CHARITY	TO PROVIDE RENEWED SUPPORT TO DEVELOP FUNGAL BARCODES AND USE THEM TO EXPLORE THE INDOOR ENVIRONMENT	299,150.
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO PROMOTE AND ADVANCE INTERNATIONAL COMPARATIVE STUDIES OF HOUSEHOLD FINANCE	200,613.
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	ENGLAND TO MEASURE THE DRIVERS AND DYNAMICS OF HIGH SKILLED IMMIGRATION	285,715.
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO DOCUMENT THE WAYS IN WHICH BIG DATA IS MADE AVAILABLE FROM ITS PUBLIC AND PRIVATE ORIGINS THROUGH OPEN AND CLOSED PATHWAYS FOR SOCIAL SCIENCE RESEARCH	301,660.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P221 FRANKLIN BUILDING PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	TO SUPPORT A FINAL GRANT TO THE SLOAN WORK & FAMILY RESEARCH NETWORK TO ENSURE ITS LONG TERM SUSTAINABILITY	217,945.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P221 FRANKLIN BUILDING PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	TO FACILITATE RESEARCH ON HOUSEHOLD DECISION-MAKING BY SYSTEMATICALLY DOCUMENTING DATA, INCLUDING CHOICE ARCHITECTURE INFORMATION, ABOUT STATE HEALTH INSURANCE EXCHANGES	141,363.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
HENRY PETROSKI 2528 WRIGHTWOOD AVENUE DURHAM, NC 27705	NONE INDIVIDUAL	TO RESEARCH AND WRITE AN ILLUSTRATED BOOK WITH PHOTOGRAPHS ABOUT THE DESIGN AND CONSTRUCTION OF A HOUSE, INCLUDING DISCUSSION OF ITS ENVIRONMENTAL, SOCIAL, AND CULTURAL CONTEXT	50,000.
PHILANTHROPY NEW YORK, INC. 79 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10003-3076	NONE 170(B)(1)(A)(VI)	FOR GENERAL SUPPORT IN 2012	28,000.
PLANETWORK NGO, INC. DBA PLANETWORK HYPOTHESIS 1230 MARKET STREET, SUITE 517 SAN FRANCISCO, CA 94114	NONE 170(B)(1)(A)(VI)	TO DEVELOP AND LAUNCH A SYSTEM FOR WEB-SCALE ANNOTATION AND REVIEW OF ONLINE DOCUMENTS	525,800.
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
PRX INCORPORATED 50 CHURCH STREET SUITE 500 CAMBRIDGE, MA 02138-3726	NONE 170(B)(1)(A)(VI)	TO EXPERIMENT WITH NEW, DIVERSE VOICES OUTSIDE THE RADIO MAINSTREAM AND WITH NEW APPROACHES TO PRESENTING STEM CONTENT FOR A NEW GENERATION	172,328.
UNIVERSITY OF PUERTO RICO P.O. BOX 23300 U.P.R. STATION 00931-3300 SAN JUAN PUERTO RICO	NONE FOREIGN EDUCATIONAL	TO EXAMINE THE MICROBIOMES OF HOMES ACROSS CULTURES	325,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PURDUE UNIVERSITY 1281 WIN HENTSCHEL BLVD. WEST LAFAYETTE, IN 47906	NONE 170(B)(1)(A)(II)	TO FUND THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM AT PURDUE UNIVERSITY FOR AN ADDITIONAL THREE YEARS	55,271.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO UNDERSTAND THE ROLE OF EMPLOYERS IN FACILITATING OR IMPEDING CONTINUED EMPLOYMENT OF OLDER WORKERS FOLLOWING ONSET OF A WORK-LIMITING DISABILITY	233,903.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO IMPROVE OUR UNDERSTANDING OF THE ROLE OF LOCAL LABOR DEMAND IN AFFECTING THE WORK AND RETIREMENT PATTERNS OF OLDER AMERICANS	400,000.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO OBTAIN A COMPREHENSIVE CHARACTERIZATION OF THE ROLE OF FIRMS IN LABOR FORCE TRANSITIONS OF OLDER WORKERS IN THE UNITED STATES WITH COMPARATIVE ANALYSES TO THE ROLE OF FIRMS IN GERMANY	211,960.
RENSSELAER POLYTECHNIC INSTITUTE 110 8TH STREET TROY, NY 12180-3590	NONE 170(B)(1)(A)(II)	TO DEVELOP THE DATA SCIENCE AND MANAGEMENT DIMENSIONS OF THE DEEP CARBON OBSERVATORY	300,000.
RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW P.O. BOX 9 ALBANY, NY 12201	NONE 170(B)(1)(A)(IV)	TO DEVELOP AND LAUNCH AN EDUCATIONAL PILOT PROGRAM TO TRAIN AND NETWORK WOMEN ENTREPRENEURS AND INVESTORS IN THE NYC AREA	124,391.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE ROAD KINGSTON, RI 02882	NONE 170(B)(1)(A)(II)	TO BUILD A DEEP CARBON OBSERVATORY (DCO) ENGAGEMENT TEAM AND LAUNCH AND SUPPORT A SUITE OF COMMUNITY BUILDING, ENGAGEMENT, AND COMMUNICATIONS STRATEGIES ON BEHALF OF THE DEEP CARBON OBSERVATORY	300,000.
RICHARD RHODES 123 EL GRANADA BOULEVARD HALF MOON BAY, CA 94019	NONE INDIVIDUAL	TO RESEARCH AND WRITE A BOOK ABOUT THE DEVELOPMENT OF MEDICAL AND MILITARY TECHNOLOGIES DURING THE SPANISH CIVIL WAR AND THE INTERCONNECTIONS BETWEEN ART AND TECHNOLOGY	125,000.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021-6399	NONE 170(B)(1)(A)(II)	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP CARBON OBSERVATORY (DCO) PROGRAM INITIATED IN 2009	320,000.
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 83 SOMERSET ST NEW BRUNSWICK, NJ 08901-1281	NONE 170(B)(1)(A)(II)	TO STUDY PATHWAYS AND PATTERNS OF COURSE-TAKING AND CAREER DEVELOPMENT IN SCIENCE AND TECHNOLOGY	123,541.
SAGE BIONETWORKS 1100 FAIRVIEW AVENUE N MAILBOX M1- C108 SEATTLE, WA 98109-1024	NONE 170(B)(1)(A)(VI)	TO PROTOTYPE INTERFACES FOR SCHOLARLY COMMUNICATION ON TOP OF THE EXISTING SYNAPSE COMPUTATIONAL RESEARCH MANAGEMENT PLATFORM	124,959.
THE TOWER FOUNDATION OF SAN JOSE STATE UNIVERSITY ONE WASHINGTON SQUARE SAN JOSE, CA 95192-0113	NONE 170(B)(1)(A)(IV)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE SCIENCE FESTIVAL FOUNDATION 230 WEST 41 STREET SUITE 1600 NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO SUPPORT PROGRAMMING AND DISSEMINATION OF THE WORLD SCIENCE FESTIVAL FOR TWO YEARS	650,000.
SCIENCE FRIDAY INITIATIVE INC 4 WEST 43RD STREET, SUITE 306 NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO SUPPORT SCIENCE FRIDAY AND ITS SCIENCE-AND-ARTS STRAND ON AIR, ONLINE, AND ON-DEMAND	210,000.
SKIDMORE COLLEGE 815 NORTH BROADWAY SARATOGA SPRINGS, NY 12866-1632	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
THE SLOAN CONSORTIUM, INC. P.O. BOX 1238 NEWBURYPORT, MA 01950	NONE 170(B)(1)(A)(VI)	FOR SUPPORT TO TRANSITION SLOAN-C INTO A SELF-SUSTAINING SOCIETY FOR ONLINE EDUCATION	600,000.
SMITHSONIAN INSTITUTION P.O. BOX 37012 SIB 494, MRC 035 WASHINGTON, DC 20013	NONE 170(B)(1)(A)(VI)	AS A FINAL GRANT TO OPERATE THE CONSORTIUM FOR THE BARCODE OF LIFE (COBL) TO ADVANCE RAPID, INEXPENSIVE IDENTIFICATION OF SPECIES OF ANIMALS, PLANTS, AND FUNGI USING MINIMAL DNA SEQUENCES	218,000.
SMITHSONIAN INSTITUTION P.O. BOX 37012 SIB 494, MRC 035 WASHINGTON, DC 20013	NONE 170(B)(1)(A)(VI)	TO HELP THE ENCYCLOPEDIA OF LIFE DEVELOP NEW FEATURES AND STAKEHOLDERS	750,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
S H R M FOUNDATION 1800 DUKE STREET ALEXANDRIA, VA 22314-3499	NONE 170(B)(1)(A)(VI)	TO SUPPORT CREATION OF NEW CONTENT AND EXPANDED, ACCELERATED OUTREACH TARGETED TO HR PROFESSIONALS, EMPLOYERS, AND EMPLOYEES TO ADVANCE WORKPLACE FLEXIBILITY	300,000.
SOUNDVISION PRODUCTIONS 2991 SHATTUCK AVENUE SUITE 304 BERKELEY, CA 94705	NONE 170(B)(1)(A)(VI)	FOR SUPPORT FOR BURN: AN ENERGY JOURNAL TO EXPAND THE PUBLIC'S ENERGY LITERACY THROUGH PUBLIC RADIO SPECIALS, MONTHLY STORIES BROADCAST ON MARKETPLACE, AND SHARED PRODUCTIONS WITH NATIONAL GEOGRAPHIC, AS WELL AS ONLINE CONTENT AND OUTREACH	625,486.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	119,450.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
BOARD OF CONTROL FOR SOUTHERN REGIONAL EDUCATION 592 10TH STREET, NW ATLANTA, GA 30318-5790	NONE 170(B)(1)(A)(II)	TO CONTRIBUTE TO THE NATIONAL EFFORT TO INCREASE THE AWARD OF DOCTORAL DEGREES TO MEMBERS OF UNDERREPRESENTED MINORITIES IN STEM FIELDS, WITH A SPECIAL FOCUS ON THE PREPARATION OF GRADUATE STUDENTS FOR CAREERS IN HIGHER EDUCATION, BY PROVIDING PROFESSIONAL	305,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO SUPPORT RESEARCH AND ANALYSIS OF THE ECONOMICS OF SERIES VERSUS PARALLEL RETIREMENT INCOME STRATEGIES	115,860.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO DEVELOP PRACTICAL TECHNIQUES FOR ALLOWING RESEARCHERS TO EXTRACT AGGREGATE STATISTICS FROM LARGE DATASETS WHILE PROTECTING THE PRIVACY OF INFORMATION CONTAINED IN INDIVIDUAL ENTRIES	209,515.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO STUDY INTERNET MARKETS USING DETAILED DATA ABOUT CONSUMER AND FIRM BEHAVIOR FROM EBAY	129,249.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS.	250,000.
STEVENS INSTITUTE OF TECHNOLOGY TRUSTEES CASTLE POINT ON HUDSON HOBOKEN, NJ 07030	NONE 170(B)(1)(A)(II)	TO PROTOTYPE AND TEST ALGORITHMS FOR ACCURATELY APPROXIMATING THE STATE-CONTINGENT CASH FLOWS OF FINANCIAL CONTRACT TYPES	390,584.
SUNDANCE INSTITUTE 5900 WILSHIRE BOULEVARD BEVERLY HILLS, CA 90036	NONE 170(B)(1)(A)(VI)	TO SUPPORT A PROGRAM OF SCIENCE AND TECHNOLOGY FILMS, FILM PANELS, AND FILM FELLOWSHIPS AT SUNDANCE	250,000.
SWARTHMORE COLLEGE 500 COLLEGE AVENUE SWARTHMORE, PA 19081	NONE 170(B)(1)(A)(II)	TO LAUNCH A MULTI-CAMPUS PROJECT TO IMPROVE UNDERSTANDING OF UNDERGRADUATE STUDENT MIGRATION INTO AND OUT OF SCIENCE, ENGINEERING, AND MATHEMATICS DISCIPLINES	66,107.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TECHNOLOGY AFFINITY GROUP INC 23 BRIAR ROAD WAYNE, PA 19087	NONE 170(B)(1)(A)(IV)	FOR 2012 MEMBERSHIP DUES	5,000.
UNIVERSITY OF TENNESSEE 105 CONFERENCE CENTER BUILDING KNOXVILLE, TN 37996-4110	NONE 170(B)(1)(A)(II)	TO STUDY ASSESSMENTS BY ACADEMIC RESEARCHERS OF THE TRUSTWORTHINESS OF DIVERSE SCHOLARLY INFORMATION SOURCES AND CHANNELS	273,130.
TEXAS AGRILIFE RESEARCH 2147 TAMU COLLEGE STATION, TX 77843-2147	NONE 170(B)(1)(A)(II)	TO EXPAND AND INSTITUTIONALIZE AN EXCELLENT SUPPORT PROGRAM FOR GRADUATE STUDENTS IN THE COLLEGE OF AGRICULTURE AND LIFE SCIENCES AND THE COLLEGE OF ENGINEERING, PRIMARILY THOSE FROM UNDERREPRESENTED POPULATIONS	63,587.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	TO DETERMINE THE CAPABILITY OF U.S. SHALE GAS TO CONTRIBUTE SIGNIFICANTLY TO NATURAL GAS SUPPLY OVER THE NEXT TWENTY YEARS, GIVEN VARIOUS ASSUMPTIONS ABOUT NATURAL GAS PRICES	544,876.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	TO FOLLOW UP THE ORIGINAL NATIONALLY REPRESENTATIVE HIGH SCHOOL AND BEYOND (HSB) STUDY TO PRODUCE A VALUABLE NEW DATA INFRASTRUCTURE AND RESEARCH FINDINGS ABOUT THE FOUNDATION FOR WORKING LONGER	2,399,068.
THURGOOD MARSHALL COLLEGE FUND 77 WATER STREET, 8TH FLOOR NEW YORK, NY 10005	NONE 170(B)(1)(A)(IV)	TO INCLUDE THURGOOD MARSHALL COLLEGE FUND CAMPUSES IN THE STEM MIGRATION PROJECT LED BY SWARTHMORE COLLEGE	157,808.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TOM SHACHTMAN 5 SALMON KILL ROAD SALISBURY, CT 06068	NONE INDIVIDUAL	AS RESEARCH SUPPORT FOR A BOOK "THE SCIENCE OF THE FOUNDING FATHERS" ON THE ROLE OF SCIENCE AND TECHNOLOGY IN EARLY AMERICA	30,000.
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	TO STUDY THE ECONOMICS OF KNOWLEDGE CONTRIBUTION AND DISTRIBUTION	414,967.
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	TO DESIGN IMPROVED TESTING METHODS FOR COMMON BUILDING MATERIALS	150,000.
TRIBECA FILM INSTITUTE INC 375 GREENWICH STREET NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO DESIGN IMPROVED TESTING METHODS FOR COMMON BUILDING MATERIALS	378,995.
TRIBECA FILM INSTITUTE INC 375 GREENWICH STREET NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO AWARD THE ANNUAL SLOAN STUDENT GRAND JURY PRIZE TO THE BEST-OF-THE-BEST STUDENT FILM FROM ALL FILM SCHOOL PARTNERS AND TO DEVELOP EACH WINNING SCREENPLAY TOWARD PRODUCTION	108,689.
TRUSTEES OF TUFTS UNIVERSITY 200 BOSTON AVE MEDFORD, MA 02155	NONE 170(B)(1)(A)(II)	TO SUPPORT THE TECHNICAL AND ORGANIZATIONAL DEVELOPMENT OF THE OPEN GEOPORTAL CLOUD	124,906.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL 55 LAKE AVENUE NORTH WORCHESTER, MA 06144	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	250,000.
W E UPJOHN UNEMPLOYMENT TRUSTEE CORPORATION 300 SOUTH WESTNEDGE AVENUE KALAMAZOO, MI 49007	NONE 170(B)(1)(A)(VI)	TO STUDY WAYS OF IMPROVING ECONOMIC MEASUREMENTS, STATISTICS, AND INDICATORS RELATED TO GLOBALIZATION	152,250.
RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW YORK / UPSTATE MEDICAL UNIVERSITY SYRACUSE, NY 13210	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	25,000.
THE URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE 170(B)(1)(A)(II)	TO IMPROVE THE DETAIL AND UTILITY OF THE INTERNAL REVENUE SERVICE'S PUBLIC USE FILES	132,202.
THE URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE 170(B)(1)(A)(II)	TO SUPPORT RESEARCH ON EMPLOYMENT PROSPECTS FOR LESS-EDUCATED OLDER WORKERS	196,738.
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT 800 DRILLFIELD D BLACKSBURG, VA 24061-0123	NONE 170(B)(1)(A)(II)	TO DETERMINE THE EFFECTS OF PIPE MATERIALS, WATER FLOW, AND CHEMISTRY ON THE BUILDING PLUMBING MICROBIOME	150,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF WARWICK GIBBET HILL ROAD CV4 8UW COVENTRY UNITED KINGDOM	NONE FOREIGN CHARITY	TO DEVELOP MATHEMATICAL FOUNDATIONS AND APPLICATIONS FOR THE CONTROL THEORY OF COMPLEX SYSTEMS	190,173.
WASHINGTON UNIVERSITY IN ST. LOUIS ONE BROOKINGS DRIVE ST. LOUIS, MO 63130-4899	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	250,000.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	TO ASSESS IMPROVEMENTS RESULTING FROM AND ANALYZING DATA COLLECTED BY THE PROJECT TO ASSESS CLIMATE IN ENGINEERING (PACE)	170,490.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170 (B) (1) (A) (II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 02181	NONE 170(B)(1)(A)(II)	TO EXAMINE HOW FIRMS SHAPE THE IMMIGRATION OF SCIENTISTS, ENGINEERS, AND OTHER HIGHLY SKILLED WORKERS TO THE UNITED STATES	109,310.
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 02181	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE 170(B)(1)(A)(VI)	TO PRODUCE 12 SCIENTIST PROFILES FOR PRIME TIME BROADCAST ON NOVA SCIENCENOW, TO PRODUCE AND PROMOTE 32 ADDITIONAL SCIENTIST PROFILES FOR THE AWARD-WINNING "THE SECRET LIFE OF SCIENTISTS AND ENGINEERS" WEBSITE, AND TO INCREASE THE WEBSITE'S AUDIENCE	800,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE 170(B)(1)(A)(VI)	TO RESEARCH AND PRODUCE FOUR HOURS OF DOCUMENTARIES ON THE ROLE OF SCIENCE AND TECHNOLOGY IN HISTORY FOR THE AMERICAN EXPERIENCE WITH ANCILLARY OUTREACH INCLUDING TWO INTERACTIVE GAMES AND MARKETING CAMPAIGN	500,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE 170(B)(1)(A)(VI)	FOR PRODUCTION AND BROADCAST OF A THREE-HOUR NOVA SPECIAL ON THE GEOLOGICAL HISTORY OF NORTH AMERICA WITH ENHANCED DIGITAL CONTENT, OUTREACH, EDUCATION AND PROMOTION	500,000.
WIKIMEDIA FOUNDATION, INC. 149 NEW MONTGOMERY STREET, 3RD FLOOR SAN FRANCISCO, CA 94105	NONE 170(B)(1)(A)(VI)	TO HELP WIKIPEDIA DEVELOP AND SUSTAIN ITS EDUCATIONAL MISSION WHILE CONSTANTLY IMPROVING QUALITY, DIVERSITY, AND ACCESS TO KNOWLEDGE FOR PEOPLE EVERYWHERE	1,000,000.
UNIVERSITY OF WISCONSIN, MADISON 500 LINCOLN DRIVE MADISON, WI 53706-1380	NONE 170(B)(1)(A)(II)	TO EXPAND THE SCHOLARLY UNDERSTANDING OF EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS, AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS, AND CLASSROOM OBSERVATIONS OF STUDENTS AND FACULTY AT SEVEN COLLEGES	143,810.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
WNYC PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	FOR PRODUCTION AND ENHANCED DISTRIBUTION OF RADIOLAB, AN INNOVATIVE, POPULAR, SCIENCE-THEMED SHOW ON PUBLIC RADIO	262,385.
THE WOLFRAM FOUNDATION 100 TRADE CENTER DRIVE CHAMPAIGN, IL 61820	NONE 170(B)(1)(A)(VI)	TO PROTOTYPE PART OF A MATHEMATICAL HERITAGE LIBRARY BY CONSTRUCTING AND DEMONSTRATING A COMPUTABLE DATABASE CONCERNED WITH CONTINUED FRACTIONS	123,453.
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS 1300 PENNSYLVANIA AVENUE N.W. WASHINGTON, DC 20004-3027	NONE 170(B)(1)(A)(VI)	TO ENABLE CRISISCOMMONS TO SUPPORT THE CRISISCAMP COMMUNITY AND ADVANCE INNOVATION FOR CRISIS MANAGEMENT USING SOCIAL MEDIA AND NEW TECHNOLOGIES	500,000.
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS 1300 PENNSYLVANIA AVENUE N.W. WASHING, DC 20004-3027	NONE 170(B)(1)(A)(VI)	TO CONTINUE WORK TO IDENTIFY AND ADDRESS RISKS ASSOCIATED WITH SYNTHETIC BIOLOGY	400,000.
XAVIER UNIVERSITY 3800 VICTORY PARKWAY CINCINNATI, OH 45207	NONE 170(B)(1)(A)(II)	WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR BEST PRACTICES FOR FACULTY RETIREMENT TRANSITIONS	100,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	TO PROVIDE RENEWED SUPPORT TO EXAMINE THE SOURCES AND CHARACTER OF AIRBORNE BACTERIAL AND FUNGAL PARTICLES IN THE INDOOR ENVIRONMENT	64,854.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	TO CONSTRUCT AND TEST BEHAVIORAL MODELS OF HOW BANKRUPTCY AND MORTGAGE DEFAULT REGULATIONS IMPACT HOUSEHOLD FINANCIAL DECISIONS	59,337.
THE ALGEBRA PROJECT, INC. 99 BISHOP RICHARD ALLEN DRIVE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(VI)	TO DEVELOP A NEW METHOD OF EVALUATING STUDENTS' UNDERSTANDING OF MATHEMATICS AND CONDUCT A PILOT EVALUATION OF THE PEDAGOGICAL STRATEGIES USED IN THE CENTER FOR MATHEMATICAL TALENT MATERIALS	120,324.
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC 1200 NEW YORK AVENUE, NW WASHINGTON, DC 20005	NONE 170(B)(1)(A)(VI)	TO RECRUIT, TRAIN, AND CELEBRATE US TEAMS TO COMPETE IN THE PAN-AFRICAN AND IBERO-AMERICAN MATHEMATICS OLYMPIADS	124,996.
AMERICAN PHYSICAL SOCIETY 1 PHYSICS ELLIPSE COLLEGE PARK, MD 20740	NONE 170(B)(1)(A)(VI)	TO FUND THE EDWARD A. BOUCHET LECTURESHIP AWARD FOR THREE YEARS WHILE THE AMERICAN PHYSICAL SOCIETY RAISES ENDOWMENT FUNDING FOR IT	6,000.
AMERICAN SOCIOLOGICAL ASSOCIATION 1430 K STREET, NW, SUITE 600 WASHINGTON, DC 20005	NONE 170(B)(1)(A)(VI)	TO ESTABLISH A COMMON STANDARD FOR TRACKING DEMOGRAPHIC DATA AND MEASURING THE PROCESS AND OUTCOME OF DIVERSITY ENHANCING PROGRAMS IN THE SCIENCES	5,000.
ARIZONA BOARD OF REGENTS, THE UNIVERSITY OF ARIZON PO BOX 3308 TUCSON, AZ 85722-3308	NONE 170(B)(1)(A)(II)	TO INCREASE THE FTE OF THE PROGRAM COORDINATOR OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP AT THE U OF ARIZONA FROM 0.43 TO 0.71, TO CONTINUE THE EXEMPLARY SUPPORT SHE PROVIDES FOR THE RECRUITMENT AND RETENTION OF NATIVE AMERICAN GRADUATE STUDENTS	20,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
ASSOCIATION FOR COMPUTING MACHINERY 1515 BROADWAY NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE 7TH BIENNIAL RICHARD TAPIA CELEBRATION OF DIVERSITY IN COMPUTING THAT BRINGS TOGETHER UNDERGRADUATE AND GRADUATE STUDENTS, PROFESSIONALS, AND FACULTY IN COMPUTER SCIENCE AND ENGINEERING (CS&E) FROM ALL BACKGROUNDS AND ETHNICITIES TO CELE...	19,920.
ASSOCIATION OF AMERICAN COLLEGES AND UNIVERSITIES 1818 R STREET NW WASHINGTON, DC 20009	NONE 170(B)(1)(A)(IV)	TO BRING ABOUT A CULTURAL SHIFT IN UNDERGRADUATE STEM EDUCATION, TOWARD A NORM IN WHICH CLASSROOM AND LABORATORY PRACTICE ALIGN FULLY WITH WHAT WE KNOW ABOUT HOW PEOPLE LEARN	93,150.
BENBOUGH OPERATING FOUNDATION 2550 FIFTH AVENUE, SUITE 132 SAN DIEGO, CA 92103-6612	NONE 170(B)(1)(A)(VI)	SUPPORT FOR A BRANDED DPLA RECEPTION AND A KEYNOTE ADDRESS THAT WILL EXPOSE THE COMMUNITY OF DIGITAL CULTURAL HERITAGE PROFESSIONALS TO THE DPLA PROJECT AT THE WEBWISE CONFERENCE	20,000.
UNIVERSITY OF BRITISH COLUMBIA 2329 W MALL V6T 1Z4 VANCOUVER BC CANADA	NONE FOREIGN EDUCATIONAL	TO ACCELERATE THE RATE OF EXPLORATION, ADAPTATION AND EFFECTIVE INTEGRATION OF METHODS OF INSTRUCTION THAT BETTER SUPPORT IMPROVED STUDENT LEARNING, WITH A FOCUS ON UNDERGRADUATE STEM EDUCATION	20,000.
BROWN UNIVERSITY 45 PROSPECT ST PROVIDENCE, RI 02912	NONE 170(B)(1)(A)(II)	TO HOLD A CONFERENCE THAT WILL PROVIDE A VENUE IN WHICH MATHEMATICIANS AND COMPUTER SCIENTISTS CAN INTERACT OVER SEVERAL DAYS IN AN ENVIRONMENT DESIGNED TO FOSTER COLLABORATION AND CREATE MEANINGFUL CONNECTIONS FOR THE PROMOTION OF MATHEMATICAL EXCELLENCE	30,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	TO PROVIDE PARTIAL SUPPORT FOR THE 2012 SLOAN-SWARTZ ANNUAL MEETING ON COMPUTATIONAL NEUROSCIENCE	25,000.
CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE 1779 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO ENSURE THAT THE NUCLEAR POWER PLANT EXPORTERS' PRINCIPLES OF CONDUCT PROCESS HAS SUSTAINED ACCESS TO INDEPENDENT EXPERTISE	48,000.
CATTICUS CORPORATION 2600 TENTH STREET BERKELEY, CA 94710	NONE 170(B)(1)(A)(VI)	AS A PLANNING GRANT TO SUPPORT RESEARCH AND DEVELOPMENT OF A THREE-HOUR DOCUMENTARY SERIES TRACING THE HISTORY OF SILICON VALLEY	59,600.
CELL MOTION LABORATORIES, INC. COLUMBIA UNIVERSITY STATION PO BOX 250288 NEW YORK, NY 10025-0288	NONE 170(B)(1)(A)(VI)	FULLY MOBILE, RESEARCH SCIENCE LAB, TO VISIT K-12 SCHOOLS AND PUBLIC SCIENCE EVENTS IN NEW YORK CITY	20,000.
CENTER FOR NEW AMERICAN SECURITY INC 1301 PENNSYLVANIA AVENUE, SUITE 403 WASHINGTON, DC 20004	NONE 170(B)(1)(A)(VI)	TO ADDRESS SECURITY RISKS FROM NUCLEAR, BIOLOGICAL, AND CHEMICAL FACILITIES	124,838.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO DEVELOP A SAMPLING STRATEGY FOR STUDYING MICROBIAL AND VIRAL COMMUNITIES IN A NEW HOSPITAL DURING THE FINAL MONTHS OF CONSTRUCTION AND INITIAL PHASE OF OPERATION	17,300.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
COLD SPRING HARBOR LABORATORY PO BOX 534 COLD SPRING HARBOR, NY 11724-0534	NONE 170(B)(1)(A)(VI)	TO CREATE A MODEL OF THE FUTURE SCIENTIFIC RESEARCH LIBRARY BASED ON DISCUSSIONS OF RESEARCHER NEEDS AND BEST PRACTICES OF LIBRARIES THROUGHOUT THE WORLD	20,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO SUPPORT A PLANNING AND PROPOSAL DEVELOPMENT WORKSHOP FOR A DRILLING PROJECT ABOUT OPHIOLITE ROCKS IMPORTANT FOR THE DEEP CARBON OBSERVATORY	30,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO APPLY NEXT GENERATION MICROBIOLOGY TO IMPROVE THE COMPOSTING OF HUMAN WASTE	16,408.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO DEVELOP DIAMONDDDB, A COMMUNITY DATA INFRASTRUCTURE FOR DIAMOND RESEARCH WITHIN THE DEEP CARBON OBSERVATORY	40,000.
COOLIDGE CORNER THEATRE FOUNDATION INC 290 HARVARD STREET BROOKLINE, MA 02446	NONE 170(B)(1)(A)(IV)	TO SUPPORT COOLIDGE CORNER THEATRE, THE CORNERSTONE OF OUR SCIENCE ON SCREEN PROGRAM, IN THEIR TRANSITION TO DIGITAL PROJECTION SO THAT THEY CAN CONTINUE TO LEAD OUR PROGRAM AND SET AN EXAMPLE FOR OTHER ART HOUSE CINEMAS	20,000.
COUNCIL OF GRADUATE SCHOOLS ONE DUPONT CIRCLE, NW SUITE 230 WASHINGTON, DC 20036-1173	NONE 170(B)(1)(A)(IV)	TO PROVIDE FUTURE STEM FACULTY WITH STRATEGIES TO IDENTIFY WHEN UNDERGRADUATE STUDENTS ARE MOST AT RISK OF DEPARTING FROM BACCALAUREATE STEM PATHWAYS THROUGH ENHANCED TEACHING AND LEARNING TECHNIQUES IN ASSESSING STUDENT LEARNING AND USING STUDENT LEARNIN	30,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE UNIVERSITY OF EDINBURGH OLD COLLEGE EH8 9YL SOUTH BRIDGE EDINBURGH UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO CONDUCT PLANNING ACTIVITIES TO DEVELOP THE INTERNATIONAL SYNTHETIC BIOLOGY FELLOWSHIP PROGRAM	14,824.
EZUS LYON L'ATRIUM BP 22107 69616 69616 CEDEX VILLEURBANNE FRANCE	NONE FOREIGN CHARITY	FOR PARTIAL SUPPORT OF A WORKSHOP ON SERPENTINIZATION, A PROCESS CRUCIAL IN UNDERSTANDING EARTH'S DEEP CARBON CYCLE	50,000.
THE GEORGE WASHINGTON UNIVERSITY 2100 M STREET, NW WASHINGTON, DC 20052	NONE 170(B)(1)(A)(II)	TO IDENTIFY KEY DATA SOURCES AND PLAN TWO CONFERENCES ON A FUTURE RESEARCH AGENDA FOR STUDENT LEARNING, PERSISTENCE, AND SUCCESS, WITH A SPECIAL FOCUS ON UNDERREPRESENTED MINORITIES AND WOMEN, IN STEM POSTSECONDARY EDUCATION	19,972.
THE GEORGE WASHINGTON UNIVERSITY 2100 M STREET, NW WASHINGTON, DC 20052	NONE 170(B)(1)(A)(II)	TO PROVIDE PARTIAL SUPPORT FOR A CONFERENCE TO INCREASE AWARENESS ABOUT POTENTIAL USES OF NEW ECONOMIC DATA SOURCES AVAILABLE FROM FEDERAL, COMMERCIAL, UNIVERSITY, AND NON-PROFIT DATA PROVIDERS	15,000.
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS 2775 SOUTH QUINCY STREET ARLINGTON, VA 22206	NONE 170(B)(1)(A)(II)	TO ENHANCE PUBLIC UNDERSTANDING OF THE ISSUES RAISED BY AN AGING U.S. WORKFORCE	125,000.
IGEM FOUNDATION ONE KENDALL SQUARE SUITE B6104 CAMBRIDGE, MA 02139	NONE NON-501 (C) (3)	TO IMPROVE THE QUALITY OF SOCIETAL ASPECTS OF IGEM PROJECTS BY DEVELOPING A CURATED COLLECTION OF EXCELLENT EXAMPLES AND BY SUPPORTING IGEN JUDGES WITH EXPERTISE IN SOCIETAL ISSUES	60,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
INTERNATIONAL COUNCIL FOR THE LIFE SCIENCES 7925 JONES BRANCH DRIVE SUITE LL130 MCLEAN, VA 22102	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE IMPLEMENTATION OF CODES OF CONDUCT FOR SYNTHETIC BIOLOGY	65,000.
LIBRARY FOUNDATION OF LOS ANGELES 630 WEST FIFTH STREET LOS ANGELES, CA 90071	NONE 170(B)(1)(A)(VI)	HIGH LEVERAGE SUPPORT TO DEVELOP A PILOT RESIDENCY PROGRAM FOR NEWLY CREDENTIALLED LIBRARIANS INTO A NATIONAL MODEL FOR SUSTAINING PUBLIC LIBRARIES IN THE DIGITAL AGE	100,000.
LIBRARY OF CONGRESS 101 INDEPENDENCE AVENUE, SE WASHINGTON, DC 20540	NONE 170(B)(1)(A)(V)	TO SUPPORT A TWO-DAY MEETING TO IDENTIFY GRAY LITERATURE PRESERVATION PRIORITIES IN THE SCIENCES	38,750.
LONG ISLAND UNIVERSITY 700 NORTHERN BLVD. GREENVALE, NY 11548	NONE 170(B)(1)(A)(II)	TO PROVIDE AN OPPORTUNITY FOR VARIOUS GROUPS (FACULTY PROGRAM DIRECTORS, RESEARCHERS, EVALUATORS, SPONSORS, GRADUATE STUDENTS, AND  THEORY, METHODOLOGY, POLICY, AND APPROACHES FOR INCREASING PARTICIPATION IN STEM	19,600.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO REMOVE, CLEAN, RESTORE, AND RELOCATE THE PORTRAIT OF ALFRED P. SLOAN THAT CURRENTLY HANGS IN THE E52 SLOAN BUILDING LOBBY	4,500.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO PARTIALLY SUPPORT A WORKSHOP ON THE ACADEMIC STUDY OF KNOWLEDGE INFRASTRUCTURES	19,475.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF MINNESOTA 200 OAK STREET SE MINNEAPOLIS, MN 55455	NONE 170(B)(1)(A)(II)	TO SUPPORT A MEETING ON CITIZEN SCIENCE AND CITIZEN-GENERATED DATA	19,500.
UNIVERSITY OF MONTANA 32 CAMPUS DR, MISSOULA, MT 59812	NONE 170(B)(1)(A)(II)	TO FUND AN ADDITIONAL THREE YEARS OF THE NON-SCHOLARSHIP COMPONENT OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP AT THE UNIVERSITY OF MONTANA	29,100.
MOZILLA FOUNDATION 650 CASTRO STREET SUITE 300 MOUNTAIN VIEW, CA 94041-2072	NONE 170(B)(1)(A)(VI)	TO PROTOTYPE ONLINE RESOURCES TO TEACH SOFTWARE ENGINEERING BEST PRACTICES TO SCIENTISTS, AND TO EXPLORE AND DEVELOP MODELS FOR TRAINING WITHIN ACADEMIC INSTITUTIONS	124,625.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO SUPPORT A ONE-DAY PUBLIC WORKSHOP ON THE CONTROVERSIAL H5N1 FLU RESEARCH	65,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE EVIDENCE-BASED GUIDANCE TO POST-SECONDARY FACULTY IN SCIENCE AND ENGINEERING ON HOW TO IMPROVE THEIR INSTRUCTION AND TO IMPROVE UNDERGRADUATE SCIENCE EDUCATION IN ORDER TO IMPROVE STUDENTS' LEARNING AND INCREASE RETENTION OF STUDENTS IN SCIENCE	100,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE EVIDENCE-BASED FINDINGS AND ACTIONABLE RECOMMENDATIONS ON THE INCREASINGLY COMPLEX PATHWAYS UNDERGRADUATE STUDENTS TAKE INTO AND OUT OF STEM DEGREE PROGRAMS	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO SUPPORT A ONE-DAY WORKSHOP ON SCIENCE IN THE ADMINISTRATIVE PROCESS	44,244.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR COMMUNICATION MATERIALS ABOUT THE BENEFITS OF BASIC RESEARCH	16,500.
NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT 1145 17TH STREET, NW WASHINGTON, DC 20036-4688	NONE 170(B)(1)(A)(VI)	TO DESIGN A DIGITAL AND MEDIA OUTREACH PLAN AROUND JAMES CAMERON'S DEEP DIVE TO THE MARIANNA TRENCHES	125,000.
THE NORTH CAROLINA A & T UNIVERSITY FOUNDATION INC 200 N BENBOW ROAD GREENSBORO, NC 27401-0000	NONE 170(B)(1)(A)(II)	TO ENABLE GRADUATE STUDENTS IN NORTH CAROLINA A&T'S INDUSTRIAL AND SYSTEMS ENGINEERING DEPARTMENT WHO HAVE COME FROM HBCUS TO HAVE A SUMMER RESEARCH EXPERIENCE AT A MAJORITY UNIVERSITY OR GOVERNMENT LABORATORY	25,000.
UNIVERSITY OF OKLAHOMA 660 PARRINGTON OVAL NORMAN, OK 73019	NONE 170(B)(1)(A)(II)	TO SUPPORT A MEETING TO EXPLORE DIGITAL MODELS FOR THE ISIS HISTORY OF SCIENCE BIBLIOGRAPHY	13,162.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	TO SUPPLEMENT GRADUATE STUDENTS AND FOREIGN ACADEMICS TRAVELING TO THE SLOAN WORK AND FAMILY NETWORK CONFERENCE IN JUNE 2012	15,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF PITTSBURGH 350 THACKERAY HALL PITTSBURGH, PA 15213	NONE 170(B)(1)(A)(II)	TO SUPPORT RESEARCH AND A BOOK ON THE ROLE OF SOCIAL AND HUMAN CAPITAL IN MATHEMATICS TEACHING AND ACHIEVEMENT IN NYC PUBLIC SCHOOLS	117,185.
POLYTECHNIC INSTITUTE OF NEW YORK UNIVERSITY SIX METROTECH CENTER BROOKLYN, NY 11201	NONE 170(B)(1)(A)(II)	TO SUPPORT A WORKSHOP AND REQUIREMENTS GATHERING MEETINGS ON SOFTWARE INFRASTRUCTURE FOR REPRODUCIBILITY IN SCIENCE	74,398.
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	TO SUPPORT A FULL-DAY WORKSHOP AIMED AT PRODUCING AN OUTLINE FOR A DIGITAL SCIENCE AND TECHNOLOGY STUDIES HANDBOOK	6,000.
UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE ROAD KINGSTON, RI 02882	NONE 170(B)(1)(A)(II)	FOR INTERNAL INFRASTRUCTURE AND ACTIONS FOR IMPLEMENTING ENGAGEMENT & COMMUNICATIONS STRATEGIES ON BEHALF OF THE DEEP CARBON OBSERVATORY	101,876.
ST. OLAF COLLEGE 1520 SAINT OLAF AVE NORTHFIELD, MN 55057-1574	NONE 170(B)(1)(A)(II)	TO DEVELOP A NEW COMMUNITY OF PRACTICE ON  UNDERGRADUATE LEARNING SPACES	19,500.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO SUPPORT A PILOT LEADERSHIP DEVELOPMENT COURSE FOR EARLY CAREER SYNTHETIC BIOLOGY RESEARCHERS	98,716.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO HOLD A TWO-DAY CONFERENCE AT STANFORD UNIVERSITY ON ASPECTS OF THE INSTITUTIONAL ADJUSTMENTS NEEDED TO ACCOMMODATE LONGER LIFETIMES, PARTICULARLY RELATED TO WORKING LONGER AND RETIREMENT	101,491.
TEXAS A&M UNIVERSITY UNIVERSITY DR COLLEGE STATION, TX 77843-3121	NONE 170(B)(1)(A)(II)	TO SUPPORT A PILOT LABORATORY EXPERIMENTAL STUDY USING MBA, MPA, AND BUSINESS STUDENTS TO ASSESS THE MAGNITUDE OF AGE DISCRIMINATION IN THE LABOR MARKET AT THE RESUME STAGE OF HIRING	116,201.
TIDES FOUNDATION PRESIDIO BLDG 1014 SAN FRANCISCO, CA 94129-0000	NONE 170(B)(1)(A)(VI)	FOR A PLANNING GRANT TO IDENTIFY AND EXPAND THE AVAILABILITY OF CONTENT FROM LIBRARIES IN DEVELOPING COUNTRIES INTO DPLA MARKET AT THE RESUME STAGE OF HIRING	55,000.
THE ADMINISTRATORS OF THE TULANE EDUCATIONAL FUND 1430 TULANE AVENUE NEW ORLEANS, LA 70112	NONE 170(B)(1)(A)(IV)	TO RESEARCH HISTORICAL DATA TO DETERMINE WHETHER THE FIRST AND SECOND WAVES OF THE 1918 FLU PANDEMIC WERE CAUSED BY THE SAME VIRUS	17,250.
UNITED JEWISH APPEAL FEDERATION OF JEWISH PHILANTH 130 EAST 59TH STREET NEW YORK, NY 10022	NONE 170(B)(1)(A)(VI)	TO SUPPORT THE WORK OF UJA IN MEMORY OF CHARLOTTE AND JULES JOSKOW	10,000.
WASHINGTON UNIVERSITY IN ST. LOUIS ONE BROOKINGS DRIVE ST. LOUIS, MO 63130-4899	NONE 170(B)(1)(A)(II)	TO DEVELOP AND ANALYZE THEORETICAL MODELS OF THE LABOR SUPPLY OF MARRIED OLDER WORKERS	124,999.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	TO DIAGNOSE THE PRESSURE POINTS ALONG THE ACADEMIC PIPELINE FOR WOMEN BY DEVELOPING AN ASSESSMENT TOOL	20,000.
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. DUNWEI WANG IN CHEMISTRY	50,000.
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. YING RAN IN PHYSICS	50,000.
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LIANE YOUNG IN NEUROSCIENCE	50,000.
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MICHELLE MEYER IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
TRUSTEES OF BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MARGARET BECK IN MATHEMATICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TRUSTEES OF BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. TULIKA BOSE IN PHYSICS	50,000.
TRUSTEES OF BOSTON UNIVERSITY 25 BUICK STREET BOSTON, MA 02215	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ROBINSON W. FULWEILER IN OCEAN SCIENCES	50,000.
UNIVERSITY OF BRITISH COLUMBIA 2329 W MALL V6T 1Z4 VANCOUVER BC CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FO DR. ANDREW K. WARFIELD IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF BRITISH COLUMBIA 2329 W MALL V6T 1Z4 VANCOUVER BC CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. YOUNG-HEON KIM IN MATHEMATICS	50,000.
BROWN UNIVERSITY 45 PROSPECT ST, PROVIDENCE, RI 02912	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SOHINI RAMACHANDRAN IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
BRYN MAWR COLLEGE 101 NORTH MERION AVENUE BRYN MAWR, PA 19010	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JAMES BATTAT IN PHYSICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. YI NI IN MATHEMATICS	50,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CHRISTIAN D. OTT IN PHYSICS	50,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOHN ASHER JOHNSON IN PHYSICS	50,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SARAH REISMAN IN CHEMISTRY	50,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. THEODOR AGAPIE IN CHEMISTRY	50,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JASON ALICEA IN PHYSICS, ORIGINALLY AWARDED AT CALIFORNIA, U OF - IRVINE	50,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY 1995 UNIVERSITY AVE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SYLVIA RATNASAMY IN COMPUTER SCIENCE	50,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY 1995 UNIVERSITY AVE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ALLAN SLY IN MATHEMATICS	50,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKEEY 1995 UNIVERSITY AVE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PRASAD RAGHAVENDRA IN COMPUTER SCIENCE	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LOUISE A. BERBEN IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JAMES BREMER IN MATHEMATICS	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LEAH PLATT BOUSTAN IN ECONOMICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOHN NOVEMBRE IN COMPUTATIONAL AND	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NEIL K. GARG IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MARCUS ROPER IN MATHEMATICS	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ALIREZA SALEHI GOLSEFIDY	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NATHAN C. GIANNESCHI IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ROGER LEVY IN NEUROSCIENCE	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SANTA S HALL # 4631, SANTA BARBARA, CA 93106	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. M. SCOTT SHELL IN CHEMISTRY	50,000.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CARLETON L. KINGSFORD IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY, ORIGINALLY AWARDED AT MARYLAND, U OF - COLLEGE PARK	50,000.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JACOB L. BEAN IN PHYSICS	50,000.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. DAVID ALLAN DRUMMOND IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. DAVID I. SCHUSTER IN PHYSICS	50,000.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. WILLIAM T. M. IRVINE IN PHYSICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GREGORY ENGEL IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ROBIN DOWELL IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PAUL ROMATSCHKE IN PHYSICS	50,000.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JENNIFER N. CHA IN CHEMISTRY	49,997.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 501 (C) (3)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JONATHAN VOGEL IN ECONOMICS	50,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JUNFENG YANG IN COMPUTER SCIENCE	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. XI CHEN IN COMPUTER SCIENCE	50,000.
CORNELL UNIVERSITY 1337 PRESIDENT ST ITHACA, NY 14853	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOHN NATHAN FOSTER IN COMPUTER SCIENCE	50,000.
CORNELL UNIVERSITY 1337 PRESIDENT ST ITHACA, NY 14853	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NOAH SNAVELY IN COMPUTER SCIENCE	50,000.
CORNELL UNIVERSITY 1337 PRESIDENT ST ITHACA, NY 14853	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. WILLIAM R. DICTEL IN CHEMISTRY	50,000.
UNIVERSITY OF DELAWARE 210 S COLLEGE AVE NEWARK, DE 19716	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MATTHEW J. OLIVER IN OCEAN SCIENCE	50,000.
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NICOLAS CASSAR IN OCEAN SCIENCE	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JÖRG GRANDL IN NEUROSCIENCE	50,000.
DUKE UNIVERSITY 450 RESEARCH DR DURHAM, NC 27708	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ERICA FIELD IN ECONOMICS, ORIGINALLY AWARDED TO HARVARD UNIVERSITY.	28,914.
EAST CAROLINA UNIVERSITY FOUNDATION INC 1001 E 5TH ST GREENVILLE, NC 27858	NONE 170(B)(1)(A)(IV)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MATTHEW O. SCHRENK IN OCEAN SCIENCE	50,000.
EMORY UNIVERSITY 201 DOWMAN DR, ATLANTA, GA 30322	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. EUGENE AGICHTEN IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF FLORIDA PO BOX 117200 GAINESVILLE, FL 32611	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BRENT SUMERLIN IN CHEMISTRY	33,123.
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVENUE, N. J6-330 SEATTLE, WA 98109	NONE 170(B)(1)(A)(VI)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JESSE BLOOM IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GEORGIA TECH RESEARCH CORPORATION 400 10TH ST NW ATLANTA, GA 30332	NONE 170(B)(1)(A)(VI)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. FRANK J. STEWART IN OCEAN SCIENCE	50,000.
GEORGIA TECH RESEARCH CORPORATION 400 10TH ST NW ATLANTA, GA 30332	NONE 170(B)(1)(A)(VI)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GRIGORIY BLEKHERMAN IN MATHEMATICS	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE, D.B.A HA 220 LONGWOOD AVENUE BOSTON, MA 02115	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CHRISTOPHER HARVEY IN NEUROSCIENCE	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE, D.B.A HA 220 LONGWOOD AVENUE BOSTON, MA 02115	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MARIA LEHTINEN IN NEUROSCIENCE	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ALICIA M. SODERBERG IN PHYSICS	50,000.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. EDOARDO AIROLDI IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. TOMASZ STRZALECKI IN ECONOMICS	50,000.
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI 601 SOUTH MORGAN STREET CHICAGO, IL 60607	NONE 170(B)(1)(A)(II)	TRANSFER OF THE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GERARD AWANOU IN MATHEMATICS	26,838.
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE 170(B)(1)(A)(II)	TRANSFER OF THE ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GERARD AWANOU IN MATHEMATICS	50,000.
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SHENG ZHONG IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI 601 EAST JOHN STREET CHAMPAIGN, IL 61820	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NEAL K. DALAL IN PHYSICS	50,000.
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES ST BALTIMORE, MD 21218-2688	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BRICE MÉNARD IN PHYSICS	50,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES ST BALTIMORE, MD 21218-2688	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. FEILIM MAC GABHANN IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
UNIVERSITY OF MARYLAND, COLLEGE PARK COLLEGE PARK COLLEGE PARK, MD 20742	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NICK FEAMSTER IN COMPUTER SCIENCE, ORIGINALLY AWARDED AT GEORGIA TECH	48,731.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. WOJCIECH MUTUSIK IN COMPUTER SCIENCE	50,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NUH GEDIK IN PHYSICS	50,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PARAG PATHAK IN ECONOMICS	50,000.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LARRY GUTH IN MATHEMATICS	45,813.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF MASSACH 140 HICKS WAY AMHERST, MA 01003	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GREGORY M. GRASON IN PHYSICS	50,000.
MICHIGAN STATE UNIVERSITY 426 AUDITORIUM RD RM 350 EAST LANSING, MI 48824	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. THOMAS W. HAMMAN IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SARAH L. VEATCH IN PHYSICS	50,000.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MI HEE LIM IN CHEMISTRY	50,000.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. KEVIN FU IN COMPUTER SCIENCE, ORIGINALLY AWARDED AT THE UNIVERSITY OF MASSACHUSETTS, AMHERST	6,881.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SILAS D. ALBEN IN MATHEMATICS, ORIGINALLY AWARDED AT GEORGIA INSTITUTE OF TECHNOLOGY	37,904.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF MINNESOTA 200 OAK STREET SE MINNEAPOLIS, MN 55455	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ANAR AKHMEDOV IN MATHEMATICS	50,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ROBERT C. FROEMKE IN NEUROSCIENCE	50,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. VIRGILIU MIDRIGAN IN ECONOMICS	50,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PIERRE GERMAIN IN MATHEMATICS	50,000.
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. VALENTINO TOSATTI IN MATHEMATICS	50,000.
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NICOLE IMMORLICA IN COMPUTER SCIENCE	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
OHIO STATE UNIVERSITY 1960 KENNY ROAD COLUMBUS, OH 43210	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MATTHEW KAHLE IN MATHEMATICS	50,000.
OREGON STATE UNIVERSITY 2082 CORDLEY HALL CORVALLIS, OR 97331-2902	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ANGELICQUE E. WHITE IN OCEAN SCIENCE	50,000.
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403-1226	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CHRISTOPHER M. NIELL IN NEUROSCIENCE	50,000.
THE PENNSYLVANIA STATE UNIVERSITY 123 S BURROWES ST UNIVERSITY PARK, PA 16802	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NATHAN D. GEMELKE IN PHYSICS	50,000.
THE PENNSYLVANIA STATE UNIVERSITY 123 S BURROWES ST UNIVERSITY PARK, PA 16802	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. KARL SCHWEDE IN MATHEMATICS	50,000.
THE PENNSYLVANIA STATE UNIVERSITY 123 S BURROWES ST UNIVERSITY PARK, PA 16802	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MARYLYN D. RITCHIE IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY FROM VANDERBILT UNIVERSITY, WHERE SHE WAS ORIGINALLY AWARDED	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE PENNSYLVANIA STATE UNIVERSITY 123 S BURROWES ST UNIVERSITY PARK, PA 16802	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PIERRE GERMAIN IN MATHEMATICS	43,252.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BENJAMIN A. GARCIA IN CHEMISTRY	50,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOSEPH SUBOTNIK IN CHEMISTRY	50,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CHRISTOPHER FANG-YEN IN NEUROSCIENCE	50,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BENJAMIN F. VOIGHT IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA P221 FRANKLIN BUILDING 3451 WALNUT STREET PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. E. JAMES PETERSSON IN CHEMISTRY	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MIKHAIL GOLOSOV IN ECONOMICS, FROM YALE UNIVERSITY.	30,699.
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GASPAR BAKOS IN PHYSICS	50,000.
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ABIGAIL DOYLE IN CHEMISTRY	50,000.
PURDUE UNIVERSITY 1281 WIN HENTSCHEL BLVD. WEST LAFAYETTE, IN 47906	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. TONG LIU IN MATHEMATICS	50,000.
UNIVERSITY OF ROCHESTER 500 WILSON BLVD ROCHESTER, NY 14627	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BENJAMIN HAYDEN IN NEUROSCIENCE	50,000.
UNIVERSITY OF ROCHESTER 500 WILSON BLVD ROCHESTER, NY 14627	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOHN D. KESSLER IN OCEAN SCIENCE	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021-6399	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GABY MAIMON IN NEUROSCIENCE	50,000.
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 57 U.S. HIGHWAY 1 NEW BRUNSWICK, NJ 08901-1281	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. DAVID SHIH IN PHYSICS	50,000.
SIMON FRASER UNIVERSITY 8888 UNIVERSITY DRIVE V5A 1S6 BURNABY BC CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ALEXANDRA FEDOROVA IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SABIN CAUTIS IN MATHEMATICS, ORIGINALLY AWARDED AT COLUMBIA UNIVERSITY	35,422.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SRINIVAS RAGHU IN PHYSICS	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. RAN ABRAMITZKY IN ECONOMICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JURE LESKOVEC IN COMPUTER SCIENCE	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. ERIC M. DUNHAM IN PHYSICS	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JEFFREY HEER IN COMPUTER SCIENCE	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JIN HYUNG LEE IN NEUROSCIENCE	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. XINNAN WANG IN NEUROSCIENCE	50,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. PASCALINE DUPAS IN ECONOMICS	50,000.



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE RESEARCH FOUNDATION OF STATE UNIVERSITY OF NEW 100 NICOLLS RD STONY BROOK, NY 11794	NONE 170(B)(1)(A)(II)	TRANSFER OF ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. CHRISTOPHER P. HERZOG IN PHYSICS, ORIGINALLY AWARDED AT PRINCETON UNIVERSITY	50,000.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. KRISTEN GRAUMAN IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78713	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LAURA COLGIN IN NEUROSCIENCE	50,000.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LAURA WEBB IN CHEMISTRY	50,000.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MICHAEL WALFISH IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. RACHEL A. WARD IN MATHEMATICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JOEL KAMNITZER IN MATHEMATICS	50,000.
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. FLORIAN HERZIG IN MATHEMATICS	50,000.
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MARK TAYLOR IN CHEMISTRY	50,000.
TOYOTA TECHNOLOGICAL INSTITUTE AT CHICAGO 6045 S. KENWOOD AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JINBO XU IN COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY	50,000.
VANDERBILT UNIVERSITY 2201 WEST END AVE, YALE UNIVERSITY NASHVILLE, TN 37240	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. KIRILL I. BOLOTIN IN PHYSICS	50,000.
UNIVERSITY OF VICTORIA 3800 FINNERTY ROAD V8W 2Y2 VICTORIA BC CANADA	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JULIA BAUM IN OCEAN SCIENCE	50,000.

ATTACHMENT 20

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
WASHINGTON UNIVERSITY IN ST. LOUIS ONE BROOKINGS DRIVE ST. LOUIS, MO 63130-4899	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. LIVIU M. MIRICA IN CHEMISTRY	50,000.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SHWETAK N. PATEL IN COMPUTER SCIENCE	50,000.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BO ZHANG IN CHEMISTRY	50,000.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MUNIRA KHALIL IN CHEMISTRY	50,000.
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. MATHIAS DRTON IN MATHEMATICS, ORIGINALLY AWARDED AT THE UNIVERSITY OF CHICAGO	26,618.
UNIVERSITY OF WISCONSIN, MADISON 500 LINCOLN DRIVE MADISON, WI 53706-1380	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. BENJAMIN RECHT IN MATHEMATICS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF WISCONSIN, MADISON 500 LINCOLN DRIVE MADISON, WI 53706-1380	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JORDAN SCHMIDT IN CHEMISTRY	50,000.
UNIVERSITY OF WISCONSIN, MADISON 500 LINCOLN DRIVE MADISON, WI 53706-1380	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SEBASTIEN ROCH IN MATHEMATICS	50,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JESSICA A. CARDIN IN NEUROSCIENCE	50,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. TOBIAS GOLLING IN PHYSICS	50,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SATINDER KAUR SINGH IN NEUROSCIENCE	50,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. SETH B. HERZON IN CHEMISTRY	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 20 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. JANGHOO LIM IN NEUROSCIENCE	50,000.
YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 06520	NONE 170(B)(1)(A)(II)	ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. NANCY QIAN IN ECONOMICS	50,000.
		TOTAL CONTRIBUTIONS PAID	<u>76,720,972.</u>

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF ALASKA, ANCHORAGE 3211 PROVIDENCE DRIVE ANCHORAGE, AK 99508	NONE 170(B)(1)(A)(II)	TO FUND FOR AN ADDITIONAL THREE YEARS THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGEOUS GRADUATE PARTNERSHIP PROGRAM AT UNIVERSITY OF ALASKA	32,000.
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(VI)	TO PROVIDE FURTHER FUNDING FOR THE GLOBAL NUCLEAR FUTURE INITIATIVE	125,000.
AMERICAN FILM INSTITUTE INC. 2021 NORTH WESTERN AVENUE LOS ANGELES, CA 90027	NONE 170(B)(1)(A)(VI)	TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS TO CREATE MORE REALISTIC AND DRAMATIC STORIES ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE STEREOTYPES ABOUT SCIENTISTS AND ENGINEERS THROUGH FILM	192,000.
AMERICAN INDIAN COLLEGE FUND 8333 GREENWOOD BLVD. DENVER, CO 80221	NONE 170(B)(1)(A)(IV)	TO INCREASE THE NUMBER OF FACULTY WHO POSSESS GRADUATE DEGREES IN MATHEMATICS, NATURAL SCIENCE, AND ENGINEERING AT TRIBAL COLLEGES AND UNIVERSITIES	100,000.
AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL 1000 THOMAS JEFFERSON STREET, NW WASHINGTON, DC 20007-3835	NONE 170(B)(1)(A)(VI)	TO STUDY SCIENTIFIC COLLABORATION AND PRODUCTIVITY PRODUCTIVITY AT THE PROJECT TEAM LEVEL	436,312.
AMERICAN UNIVERSITY 4400 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20016-8061	NONE 170(B)(1)(A)(II)	TO SUPPORT THE DEVELOPMENT OF BEST PRACTICES FOR ORPHAN WORKS THAT WILL EMPOWER LIBRARIES, ARCHIVES, AND OTHER ORGANIZATIONS IN THEIR DIGITIZATION EFFORTS	16,662.

ATTACHMENT 21

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOSTON COLLEGE TRUSTEES 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	NONE 170(B)(1)(A)(II)	FOR A RENEWAL GRANT FOR THE BOSTON COLLEGE CENTER ON AGING AND WORK	849,334.
UNIVERSITY OF BRITISH COLUMBIA 2329 W MALL V6T 1Z4 VANCOUVER BC CANADA	NONE FOREIGN EDUCATIONAL	TO ENABLE THE BAY VIEW ALLIANCE (A RESEARCH COLLABORATIVE OF SEVEN UNIVERSITIES) TO ACCELERATE THE RATE OF ADAPTATION, EXPLORATION, AND EFFECTIVE INTEGRATION OF METHODS OF INSTRUCTION THAT BETTER SUPPORT IMPROVED STUDENT LEARNING, TARGETING KEY STEM GATEWAY	526,873.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(II)	FOR AN ANNUAL AND INDEPENDENT FORUM THAT WILL IDENTIFY, ANALYZE, DISCUSS, AND PROMOTE OPTIONS FOR INTERNATIONAL MONETARY REFORM	151,336.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(II)	TO PUBLISH THROUGH BPEA FINANCIAL RESEARCH AND ECONOMIC DATA THAT IS ACCESSIBLE, RELIABLE, AND INFLUENTIAL	150,000.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(II)	TO DEMONSTRATE NEW WAYS AN ECONOMICS JOURNAL CAN HELP CURATE, VISUALIZE, AND UPDATE THE EMPIRICAL DATA LINKED TO ITS ARTICLES	150,000.
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVENUE BROOKLYN, NY 11217	NONE 170(B)(1)(A)(VI)	TO PRODUCE A FEATURE-LENGTH DOCUMENTARY ON ROBERT WILSON AND PHILIP GLASS'S HISTORIC OPERA, EINSTEIN ON THE BEACH, AND DISTRIBUTE IT FOR INTERNATIONAL THEATRICAL RELEASE AND DOMESTIC TELEVISION BROADCAST	300,000.

ATTACHMENT 21

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BUSINESS-HIGHER EDUCATION FORUM 2025 M STREET NW SUITE 800 WASHINGTON, DC 20036-0000	NONE 170(B)(1)(A)(VI)	TO LAUNCH AND SCALE NEW CURRICULAR AND PEDAGOGICAL MODELS OF INDUSTRY-HIGHER EDUCATION COLLABORATION AIMED AT INCREASING THE RECRUITMENT AND PERSISTENCE OF STEM STUDENTS, PARTICULARLY WOMEN AND UNDERREPRESENTED MINORITIES, AND ALIGN A PUBLIC UNIVERSITY	236,679.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO ANALYZE THE NEONATAL INTENSIVE CARE UNIT ROOM ENVIRONMENT AS A SOURCE OF MICROORGANISMS COLONIZING THE GI TRACT OF PREMATURE INFANTS	400,000.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO PLAN, COORDINATE, AND FACILITATE INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY	401,832.
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKLEY 1995 UNIVERSITY AVENUE BERKELEY, CA 94720	NONE 170(B)(1)(A)(II)	TO SUPPORT THE DEVELOPMENT OF INTERACTIVE EXPLORATION, COLLABORATION, AND PUBLICATION CAPABILITIES WITHIN THE IPYTHON NOTEBOOK SOFTWARE PLATFORM	1,156,626.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO ESTABLISH AND SUPPORT THE MICROBIOMES OF BUILT ENVIRONMENTS NETWORK (MICROBENET)	217,006.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO ENABLE INTERNATIONAL ECONOMIC COMPARISONS BY SUPPORTING THE PENN WORLD TABLE'S NEXT GENERATION	145,650.



FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS 1 SHIELDS AVENUE DAVIS, CA 95616	NONE 170(B)(1)(A)(II)	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN ACHIEVING FACULTY CAREER FLEXIBILITY. WINNER OF THE 2012 ALFRED P. SLOAN AWARD FOR FACULTY CAREER FLEXIBILITY IN MEDICAL SCHOOLS	25,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	TO CONDUCT ETHNOGRAPHIC RESEARCH OF SCIENTIFIC INFORMATION AND DATA PRACTICES	401,063.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A 405 HILGARD AVENUE LOS ANGELES, CA 90095	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	309,600.
CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE 1779 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO ENCOURAGE AND FACILITATE UNDERSTANDING OF HOW TO DISTINGUISH BETWEEN LEGITIMATE AND ILLEGITIMATE NUCLEAR ACTIVITY	100,000.
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO CONTINUE TO SPUR DEVELOPMENT OF INSTRUMENTS NEEDED FOR THE SUCCESS OF THE DEEP CARBON OBSERVATORY	250,000.
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO SUPPORT THE DEEP CARBON OBSERVATORY INTERNATIONAL SECRETARIAT	1,400,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CARNEGIE INSTITUTION OF WASHINGTON 1530 P STREET, N.W. WASHINGTON, DC 20005	NONE 170(B)(1)(A)(II)	TO PROVIDE SEED FUNDS TO CREATE AN INTERNATIONAL CONSORTIUM ON DIAMOND RESEARCH AS PART OF THE DEEP CARBON OBSERVATORY	150,000.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO ENCOURAGE TOP FILM STUDENTS TO WRITE SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY AND TO HELP THEIR CAREERS	96,761.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO CONDUCT AND PROMOTE RESEARCH ON THE CREDIT RATING INDUSTRY AND ITS REGULATION	176,449.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO DEVELOP TECHNIQUES FOR DISCOVERING INTER COMPANY RELATIONSHIPS BY PERFORMING TEXT ANALYSIS AND NATURAL LANGUAGE PROCESSING ON UNSTRUCTURED TEXT IN SEC DISCLOSURE REPORTS	124,000.
CARNEGIE MELLON UNIVERSITY 5000 FORBES AVENUE PITTSBURGH, PA 15213-3890	NONE 170(B)(1)(A)(II)	TO STUDY THE ROLE OF TRANSPARENT DEVELOPMENT ENVIRONMENTS IN THE PRODUCTION OF SCIENTIFIC SOFTWARE	576,039.
CATTICUS CORPORATION 2600 TENTH STREET BERKELEY, CA 94710	NONE 170(B)(1)(A)(VI)	FOR PRODUCTION SUPPORT FOR A THREE-HOUR SERIES TRACING THE HISTORY OF SILICON VALLEY TO BE AIRED ON PBS PRIMETIME, ALONG WITH DEVELOPMENT OF A PUBLIC EDUCATION AND COMMUNITY OUTREACH CAMPAIGN	500,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO CONSTRUCT AND CALIBRATE MODELS FOR ANALYZING HOW THE FINANCIAL SECTOR AND ITS REGULATION CAN INFLUENCE THE MACROECONOMY	331,890.
THE UNIVERSITY OF CHICAGO 5801 SOUTH ELLIS AVENUE CHICAGO, IL 60637	NONE 170(B)(1)(A)(II)	TO CHARACTERIZE THE SURFACE, AIR, WATER AND HUMAN-ASSOCIATED MICROBIAL COMMUNITIES IN TWO HOSPITALS TO MONITOR CHANGES FOLLOWING THE INTRODUCTION OF PATIENTS AND STAFF	356,900.
REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO ANALYZE THE POLITICAL COALITIONS SEEKING TO INFLUENCE SHALE GAS DEVELOPMENT IN THE UNITED STATES	192,506.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE INDOOR ENVIRONMENT	153,474.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO ASSESS THE MICROBIOLOGY OF MUNICIPAL WATER DELIVERY SYSTEMS IN THE U.S.	202,738.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO ORGANIZE AND CONVENE THREE ANNUAL MEETINGS ON THE MICROBIOLOGY OF THE BUILT ENVIRONMENT	175,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO EXPAND THE SCHOLARLY UNDERSTANDING OF EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS, AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS, AND CLASSROOM OBSERVATIONS OF STUDENTS AND FACULTY AT SEVEN COLLEGES	532,562.
THE REGENTS OF THE UNIVERSITY OF COLORADO 1800 GRANT ST STE 400 DENVER, CO 80203	NONE 170(B)(1)(A)(II)	TO EXAMINE HOW AND WHY HOUSE ASSOCIATED MICROBIAL COMMUNITIES VARY ACROSS HOMES THROUGHOUT THE UNITED STATES	100,000.
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF 1210 AMSTERDAM AVENUE NEW YORK, NY 10027	NONE 170(B)(1)(A)(II)	TO WRITE SCREENPLAYS AND PRODUCE SHORT FILMS ABOUT SCIENCE AND TECHNOLOGY	73,945.
CORNELL UNIVERSITY 341 PINE TREE ROAD ITHACA, NY 14853	NONE 170(B)(1)(A)(II)	TO SUPPORT A PILOT STUDY TO CHARACTERIZE CHANGES IN INDOOR AIRBORNE MICROBIOTA OF HOMES AFTER WEATHERIZATION	50,000.
CORPORATION FOR NATIONAL RESEARCH INITIATIVES 1895 PRESTON WHITE DRIVE, SUITE 100 RESTON, VA 20191-5434	NONE 170(B)(1)(A)(VI)	TO DEVELOP AND DEMONSTRATE AN OPEN-SOURCE SOFTWARE PLATFORM THAT FACILITATES INTEROPERABILITY AMONG DISPARATE INFORMATION SYSTEMS	194,147.
COUNCIL OF GRADUATE SCHOOLS ONE DUPONT CIRCLE, NW SUITE 230 WASHINGTON, DC 20036-1173	NONE 170(B)(1)(A)(IV)	TO ENHANCE THE SKILLS OF FUTURE FACULTY IN THE ASSESSMENT OF STUDENT LEARNING IN STEM FIELDS, IMPROVING THEIR CAPABILITIES TO TEACH AND RESPOND MORE EFFECTIVELY TO SIGNALS FROM STUDENTS WHO ARE AT RISK OF POOR PERFORMANCE AND/OR DEPARTURE FROM A STEM BACC	260,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
TRUSTEES OF DARTMOUTH COLLEGE 6025 BACER BEWY LIBRARY HANOVER, NH 03755	NONE 170(B)(1)(A)(II)	TO INCREASE UNDERSTANDING OF HOW RECESSIONS, INCLUDING THE GREAT RECESSION, AFFECT THE LABOR MARKET ACTIVITIES AND RETIREMENT OF OLDER AMERICANS	899,815.
DIGITAL PUBLIC LIBRARY OF AMERICA, INC. 189 MAIN STREET ANDOVER, MA 01810	NONE 170(B)(1)(A)(VI)	TO LAUNCH DIGITAL PUBLIC LIBRARY OF AMERICA (DPLA) AS AN INDEPENDENT, NATIONAL ORGANIZATION AND HIRE AN EXECUTIVE DIRECTOR AND TWO KEY STAFF TO BEGIN OPERATIONS AND SCALE UP FOR THE FIRST TWO YEARS	1,200,000.
DREXEL UNIVERSITY 3201 ARCH STREET PHILADELPHIA, PA 19104-2875	NONE 170(B)(1)(A)(II)	TO DEVELOP REPLICABLE MODELS AND ASSESSMENT INSTRUMENTS FOR PROFESSIONAL ADVANCEMENT PROGRAMS TO INCREASE INSTITUTIONAL CAPACITY SUPPORTIVE OF ACADEMIC LEADERS FROM GROUPS UNDERREPRESENTED IN STEM	463,121.
FAMILIES AND WORK INSTITUTE, INC. 267 FIFTH AVENUE - 2ND FLOOR NEW YORK, NY 10016	NONE 170(B)(1)(A)(VI)	TO SUPPORT DEVELOPMENT OF FLEXIBILITY ONLINE TO ADVANCE ADOPTION OF A FLEXIBLE WORK PROGRAM	434,141.
FILM INDEPENDENT, INC. 9911 W. PICO BOULEVARD, 11TH FLOOR LOS ANGELES, CA 90035	NONE 170(B)(1)(A)(VI)	TO DEVELOP SCIENCE SCRIPTS AND SUPPORT PRODUCING TEAMS DEVELOPING SLOAN-WORTHY FEATURE FILMS	110,000.
FOUNDATION CENTER 79 FIFTH AVENUE NEW YORK, NY 10003	NONE 170(B)(1)(A)(VI)	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	65,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVENUE, N. J6-330 SEATTLE, WA 98109	NONE 170(B)(1)(A)(VI)	TO ENGAGE MAJOR U.S. RELIGIOUS DENOMINATIONS IN CONVERSATIONS ABOUT SYNTHETIC BIOLOGY	77,672.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	SUPPORT FOR SLOAN AWARDS FOR EXCELLENCE IN TEACHING SCIENCE AND MATHEMATICS IN NEW YORK CITY PUBLIC HIGH SCHOOLS	143,750.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC SERVICE AWARDS PROGRAM	250,000.
FUND FOR THE CITY OF NEW YORK, INC. 121 AVENUE OF THE AMERICAS 6TH FLOOR NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO LAUNCH DATAKIND, AN ORGANIZATION TO BETTER CONNECT DATA SCIENTISTS WITH VOLUNTEER OPPORTUNITIES AND ENCOURAGE BEST DATA PRACTICES AMONG NONPROFITS	384,798.
GEORGE MASON UNIVERSITY 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	NONE 170(B)(1)(A)(II)	TO PIONEER NEW METHODS FOR CAPTURING AND HIGHLIGHTING ONLINE SCHOLARLY MATERIALS IN WAYS THAT ARE USEFUL TO RESEARCH COMMUNITIES	246,028.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	FOR AN INTENSE TWO-YEAR PROCESS OF WORKSHOPS, MEETINGS, PLENARIES, RESEARCH, PILOT DIGITIZATION, PROTOTYPE DEVELOPMENT, AND COMMUNITY BUILDING THAT WILL RESULT IN THE LAUNCH OF THE DIGITAL PUBLIC LIBRARY OF AMERICA	500,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE RESEARCH IN ECONOMICS	193,250.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	TO HELP SOCIAL SCIENCE JOURNALS PROCESS AND PUBLISH THE DATA ASSOCIATED WITH RESEARCH ARTICLES	500,324.
PRESIDENT AND FELLOWS OF HARVARD COLLEGE MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE 170(B)(1)(A)(II)	MICROBES BY PUBLIC TRANSPORTATION SURFACES 249739 2012	99,739.
ICPO-INTERPOL 200, QUAI CHARLES DE GAULLE 69006 LYON FRANCE	NONE FOREIGN -OTHER	TO PROVIDE PARTIAL SUPPORT TO DEVELOP INTERPOL'S RADIOLOGICAL AND NUCLEAR TERRORISM PREVENTION PROGRAM	350,000.
INDIANA UNIVERSITY 107 S INDIANA AVE BLOOMINGTON, IN 47405	NONE 170(B)(1)(A)(II)	TO DESIGN A PROTOTYPE SYSTEM THAT DEMONSTRATES NON-CONSUMPTIVE, COMPUTATIONAL ACCESS TO A RESTRICTED FULL-TEXT CORPUS	203,659.
INSTITUTE OF INTERNATIONAL EDUCATION INC 809 UNITED NATIONS PLAZA NEW YORK, NY 10017	NONE 170(B)(1)(A)(II)	TO PROVIDE LIFE-SAVING FELLOWSHIPS AND ACADEMIC PLACEMENTS FOR PERSECUTED SCHOLARS SO THEY CAN CONTINUE THEIR WORK BEFORE RETURNING TO THEIR NATIVE COUNTRIES WHEN IT IS SAFE TO DO SO	250,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
JOHNS HOPKINS UNIVERSITY 3400 N CHARLES ST BALTIMORE, MD 21218-2688	NONE 170(B)(1)(A)(II)	TO DEVELOP A HOSTED PLATFORM FOR MANAGING AND LINKING SCIENTIFIC DATA BY COMBINING AND EXTENDING TOOLS THAT WERE DEVELOPED WITHIN THE CONTEXT OF THE SLOAN DIGITAL SKY SURVEY ARCHIVE AND THE VIRTUAL ASTRONOMICAL OBSERVATORY	182,458.
KECK GRADUATE INSTITUTE 535 WATSON DRIVE CLAREMONT, CA 91811	NONE 170(B)(1)(A)(II)	TO SUPPORT THE INTRODUCTION OF A PSM AFFILIATION FEE AS A SUSTAINABLE FINANCIAL MODEL FOR PSM QUALITY CONTROL AND WEBSITE PROVISION IN THE FUTURE	175,000.
LA THEATRE WORKS 681 VENICE BOULEVARD VENICE, CA 90291	NONE 170(B)(1)(A)(VI)	TO RECORD FOUR NEW SLOAN-COMMISSIONED OR SUPPORTED SCIENCE PLAYS FOR BROADCAST ON PUBLIC RADIO AND DISTRIBUTED TO SCHOOLS, LIBRARIES, ONLINE RETAIL PARTNERS AND REGIONAL THEATRES, AND FOR DEVELOPMENT OF "RELATIVITY" APPS, EBOOKS, AND WEBSITE MATERIAL	352,941.
LOUGHBOROUGH UNIVERSITY (UK) LOUGHBOROUGH LE11 3TU LEICESTERSHIRE UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO STUDY HOW A GLOBAL SYSTEM OF LEGAL ENTITY IDENTIFIERS CAN HELP FINANCIAL REGULATORS MONITOR COUNTERPARTY RISKS, CONDUCT ORDERLY RESOLUTIONS, AND ENHANCE FINANCIAL STABILITY	104,212.
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	TO COMMISSION, DEVELOP, AND PRODUCE SCIENCE AND TECHNOLOGY PLAYS	369,816.
MANHATTAN THEATRE CLUB 311 WEST 43RD STREET, 8TH FLOOR NEW YORK, NY 10036	NONE 170(B)(1)(A)(VI)	FOR A FINAL INSTALLMENT OF PRODUCTION SUPPORT FOR "THE OTHER PLACE," A SCIENCE PLAY BEING PRODUCED AT MANHATTAN THEATRE CLUB'S BROADWAY SPACE	50,000.



FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MARINE BIOLOGICAL LABORATORY 7 M B L ST WOODS HOLE, MA 02543	NONE 170(B)(1)(A)(VI)	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE INDOOR ENVIRONMENT	157,466.
UNIVERSITY OF MARYLAND, COLLEGE PARK COLLEGE PARK COLLEGE PARK, MD 20742	NONE 170(B)(1)(A)(II)	TO COMPILE, STUDY, AND OPENLY DISTRIBUTE A NATIONALLY STANDARDIZED DATABASE OF GOVERNMENT HEALTH INSPECTORS' RESTAURANT RATINGS	62,845.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO ASSESS WHETHER AND TO WHAT EXTENT THE LOSS OF DOMESTIC MANUFACTURING DUE TO TRADE WITH CHINA AFFECTS THE PRODUCTIVITY OF OTHER U.S. FIRMS IN THE SAME GEOGRAPHICAL AREA	127,322.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO EXAMINE THE IMPACTS OF ONLINE WORKING PAPER REPOSITORIES ON THE DIFFUSION OF SCHOLARLY IDEAS	199,839.
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	NONE 170(B)(1)(A)(II)	TO PROVIDE SEED GRANTS TO LAUNCH TWELVE NEW SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES WITH SMALL RESOURCE BASES	200,000.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO CREATE AND ANALYZE DATASETS THAT COMBINE THE HEALTH AND RETIREMENT STUDY (HRS) WITH DATA FROM THE CENSUS BUREAU FROM THE FIRMS WHERE HRS RESPONDENTS HAVE WORKED	2,499,874.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO MODEL HOW U.S. LABOR MARKETS FOR SCIENTISTS AND ENGINEERS RESPOND TO IMMIGRATION AND OTHER FACTORS	101,700.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT	193,790.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO ADVANCE MEASUREMENT OF INCOME, SPENDING, ASSETS AND DEBT BY CREATING AND ANALYZING A NEW DATABASE OF HIGH-QUALITY, DAILY DATA ON ACTUAL TRANSACTIONS AND ACCOUNT BALANCES OF INDIVIDUALS	261,581.
THE REGENTS OF THE UNIVERSITY OF MICHIGAN 515 E JEFFERSON ST ANN ARBOR, MI 48109-1274	NONE 170(B)(1)(A)(II)	TO DEVELOP AND PROMOTE DATA-SHARING STANDARDS IN THE SOCIAL SCIENCES	66,325.
THE PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE 38 COLLEGE STREET MIDDLEBURY, VT 05753	NONE 170(B)(1)(A)(II)	TO ENABLE THE MONTEREY INSTITUTE OF INTERNATIONAL STUDIES EXPAND THE SCIENCE-BASED COURSES OFFERED IN ITS MASTERS DEGREE IN NONPROLIFERATION AND TERRORISM STUDIES	76,015.
THE PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE 38 COLLEGE STREET MIDDLEBURY, VT 05753	NONE 170(B)(1)(A)(II)	TO ENABLE THE MONTEREY INSTITUTE OF INTERNATIONAL STUDIES TO PROVIDE NONPROLIFERATION EDUCATION AND TRAINING FOR DIPLOMATS, GOVERNMENT OFFICIALS AND MID-CAREER PROFESSIONALS AT INTERNATIONAL ORGANIZATIONS	75,969.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
MOZILLA FOUNDATION 650 CASTRO STREET SUITE 300 MOUNTAIN VIEW, CA 94041-2072	NONE 170(B)(1)(A)(VI)	TO IMPROVE THE QUALITY OF SOFTWARE PRODUCED BY SCIENTISTS, AND TO DRIVE THE DEVELOPMENT OF TOOLS, PRACTICES, AND DIVERSE COMMUNITY AROUND DIGITALLY NETWORKED SCIENCE	436,700.
MUSEUM OF MATHEMATICS 134 WEST 29TH STREET SUITE 709/710 NEW YORK, NY 10001	NONE 170(B)(1)(A)(VI)	TO EQUIP SCIENCE FESTIVALS WITH PORTABLE, INTERACTIVE, AND HANDS-ON MATHEMATICAL ACTIVITIES	101,461.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO DEVELOP, ANALYZE, AND PROMOTE STANDARDS FOR THE CITATION AND ATTRIBUTION OF DATA SETS BY RESEARCH COMMUNITIES	81,258.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO STUDY GLOBAL COMPETITION FOR TALENT BY COMPARING THE HIGH-SKILLED IMMIGRATION POLICIES OF INDUSTRIALIZED NATIONS	300,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON SYNTHETIC BIOLOGY	200,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO SUSTAIN AND STRENGTHEN THE ROLE OF THE SCIENCE AND ENTERTAINMENT EXCHANGE--AND OF SCIENCE AND TECHNOLOGY--IN HOLLYWOOD	93,500.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE FORUM ON MICROBIAL THREATS	130,000.
NATIONAL ACADEMY OF SOCIAL INSURANCE 1776 MASSACHUSETTS AVENUE, NW SUITE 615 WASHINGTON, DC 20036-1904	NONE 170(B)(1)(A)(VI)	TO HELP AMERICANS UNDERSTAND HOW THEY CAN ENHANCE THEIR LONG-TERM RETIREMENT SECURITY BY WORKING LONGER AND DELAYING SOCIAL SECURITY BENEFITS	118,348.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND NEW OBLIGATIONS INCURRED IN THE SLOAN MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FROM JULY 1, 2010 THROUGH JULY 1, 2011	794,463.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND NEW OBLIGATIONS IN THE MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FROM JULY 1, 2011 TO JULY 1, 2012	1,534,035.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO ENABLE THE NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEERING TO CONTINUE ADMINISTERING THE SLOAN MINORITY PH.D. PROGRAM AND SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM FOR AN ADDITIONAL THREE YEARS	183,880.
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER 440 HAMILTON AVENUE, SUITE 302 WHITE PLAINS, NY 10601-1813	NONE 170(B)(1)(A)(VI)	TO FUND OBLIGATIONS IN THE MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP FOR THE COHORT NAMED FROM JULY 1, 2012 TO JULY 1, 2013	2,564,800.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO ADVANCE UNDERSTANDING OF HOUSEHOLD FINANCIAL BE DEEP CARBON OBSERVATORY WITH STUDIES DIFFERENTIATING A BIOGENIC FROM BIOGENIC SOURCES OF HYDROCARBONS	92,955.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO ADVANCE UNDERSTANDING OF HOUSEHOLD FINANCIAL BEHAVIOR AND POLICY	593,400.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC. 1050 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02138-5398	NONE 170(B)(1)(A)(VI)	TO SUPPORT FELLOWSHIPS FOR PH.D. STUDENTS WORKING ON THE ECONOMICS OF WORKING	154,014.
NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT 1145 17TH STREET, NW WASHINGTON, DC 20036-4688	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL FUNDING FOR A TELEVISION DOCUMENTARY, 3D FEATURE FILM, 3D GIANT SCREEN FILM, EDUCATIONAL RESOURCES, AND DIGITAL OUTREACH FOCUSED ON JAMES CAMERON'S HISTORIC DIVE AND SCIENTIFIC EXPEDITION TO THE DEEPEST PART OF THE OCEAN	500,000.
NATIONAL OPINION RESEARCH CENTER 1155 EAST 60TH STREET CHICAGO, IL 60637	NONE 170(B)(1)(A)(VI)	TO CONDUCT AN INVENTORY OF THE UNIVERSITY PROGRAMS ASSOCIATED WITH THE ALFRED P. SLOAN FOUNDATION'S MINORITY PH.D. PROGRAM (MPHD) FOR UNDERREPRESENTED MINORITY GRADUATE STUDENTS, TO SURVEY	100,000.
NATIONAL OPINION RESEARCH CENTER 1155 EAST 60TH STREET CHICAGO, IL 60637	NONE 170(B)(1)(A)(VI)	TO IMPROVE PUBLIC UNDERSTANDING OF AGING AND WORK, BY INCREASING QUALITY AND QUANTITY OF COVERAGE OF THE ECONOMICS OF THE AGING WORKFORCE	273,684.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NATIONAL PUBLIC RADIO, INC. 635 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20001-3753	NONE 170(B)(1)(A)(VI)	FOR THREE YEARS TO ENHANCE BUSINESS AND ECONOMICS COVERAGE ON PLANET MONEY AND A ONE-YEAR PILOT TO EXPAND MULTIMEDIA STORYTELLING AT THE SCIENCE DESK	500,000.
THE NEW YORK ACADEMY OF MEDICINE 1216 FIFTH AVENUE NEW YORK, NY 10029	NONE 170(B)(1)(A)(VI)	TO EXPERIMENT WITH THE DESIGN AND IMPLEMENT THE SLOAN AWARDS FOR AN AGE FRIENDLY-WORKPLACE IN NEW YORK CITY	100,000.
NEW YORK GENOME CENTER, INC. 590 MADISON AVE NEW YORK, NY 10022	NONE 170(B)(1)(A)(VI)	TO PROVIDE PARTIAL SUPPORT FOR THE NEW YORK GENOME CENTER	2,000,000.
NEW YORK HALL OF SCIENCE 47-01 111TH STREET CORONA, NY 11368	NONE 170(B)(1)(A)(VI)	TO CREATE AN INTERACTIVE EBOOK FOR IPAD THAT INCORPORATES COMPELLING NARRATIVES FROM THE INNOCENCE PROJECT WITH SCIENTIFIC THEMES OF DNA USED AS EVIDENCE AND COGNITIVE AND PERCEPTION BIASES	133,000.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY	158,134.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	315,781.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO ANALYZE THE ECONOMICS OF LABOR MARKETS FOR INFORMATION TECHNOLOGY WORKERS USING ADMINISTRATIVE DATASETS	253,271.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO PROVIDE SUMMER EXPERIENCES FOR A DIVERSE SET OF YOUNG WOMEN IN HIGH SCHOOL THAT WILL BOLSTER THEIR ENTHUSIASM AND APTITUDE FOR STUDYING MATHEMATICS IN COLLEGE	321,004.
NEW YORK UNIVERSITY 70 WASHINGTON SQUARE NORTH NEW YORK, NY 10012-1019	NONE 170(B)(1)(A)(II)	TO IMPROVE MEASUREMENT AND MODELING OF THE EVOLVING LABOR MARKET BEHAVIORS, EXPECTATIONS, AND PREFERENCES OF MIDDLE AND UPPER-MIDDLE INCOME HOUSEHOLDS HEADED BY OLDER AMERICANS	487,109.
ARIZONA BOARD OF REGENTS, NORTHERN ARIZONA UNIVERS 1298 SOUTH KNOLES DRIVE ARD BUILDING 56, SUITE 240 FLAGSTAFF, AZ 86011-4130	NONE 170(B)(1)(A)(II)	TO ANALYZE AND MODEL THE ESTABLISHMENT OF MICROBIAL COMMUNITIES OVER TIME ON DIFFERENT OFFICE SURFACE MATERIALS IN DIFFERENT CLIMATES	100,000.
UNIVERSITY OF OREGON 1585 E 13TH AVE EUGENE, OR 97403-1226	NONE 170(B)(1)(A)(II)	TO FUND THE CENTER FOR MICROBIAL ECOLOGY OF INDOOR ENVIRONMENTS	300,000.
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO PROMOTE AND ADVANCE INTERNATIONAL COMPARATIVE STUDIES OF HOUSEHOLD FINANCE	222,297.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO MEASURE THE DRIVERS AND DYNAMICS OF HIGH SKILLED IMMIGRATION	312,958.
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OX1 2JD OXFORD UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO DOCUMENT THE WAYS IN WHICH BIG DATA IS MADE AVAILABLE FROM ITS PUBLIC AND PRIVATE ORIGINS THROUGH OPEN AND CLOSED PATHWAYS FOR SOCIAL SCIENCE RESEARCH	177,581.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P221 FRANKLIN BUILDING PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	TO SUPPORT A FINAL GRANT TO THE SLOAN WORK & FAMILY RESEARCH NETWORK TO ENSURE ITS LONG TERM SUSTAINABILITY	101,928.
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET P221 FRANKLIN BUILDING PHILADELPHIA, PA 19104-6205	NONE 170(B)(1)(A)(II)	TO FACILITATE RESEARCH ON HOUSEHOLD DECISION-MAKING BY SYSTEMATICALLY DOCUMENTING DATA, INCLUDING CHOICE ARCHITECTURE INFORMATION, ABOUT STATE HEALTH INSURANCE EXCHANGES	139,666.
PHILANTHROPY NEW YORK, INC. 79 FIFTH AVENUE 4TH FLOOR NEW YORK, NY 10003-3076	NONE 170(B)(1)(A)(VI)	TO SUPPORT WORK IN 2013 ON BEHALF OF THE NONPROFIT AND CHARITABLE COMMUNITY	28,000.
UNIVERSITY OF PUERTO RICO P.O. BOX 23300 U.P.R. STATION 00931-3300 AV JUAN PONCE DE LEÓN SAN JUAN PUERTO RICO	NONE FOREIGN EDUCATIONAL	TO EXAMINE THE MICROBIOMES OF HOMES ACROSS CULTURES	275,000.

ATTACHMENT 21



FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
PURDUE UNIVERSITY 1281 WIN HENTSCHEL BLVD. WEST LAFAYETTE, IN 47906	NONE 170(B)(1)(A)(II)	TO FUND THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM AT PURDUE UNIVERSITY FOR AN ADDITIONAL THREE YEARS	48,956.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO UNDERSTAND THE ROLE OF EMPLOYERS IN FACILITATING OR IMPEDING CONTINUED EMPLOYMENT OF OLDER WORKERS FOLLOWING ONSET OF A WORK-LIMITING DISABILITY	150,303.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO IMPROVE OUR UNDERSTANDING OF THE ROLE OF LOCAL LABOR DEMAND IN AFFECTING THE WORK AND RETIREMENT PATTERNS OF OLDER AMERICANS	144,638.
RAND CORPORATION 1700 MAIN STREET P.O. BOX 2138 SANTA MONICA, CA 90407-2137	NONE 170(B)(1)(A)(VI)	TO OBTAIN A COMPREHENSIVE CHARACTERIZATION OF THE ROLE OF FIRMS IN LABOR FORCE TRANSITIONS OF OLDER WORKERS IN THE UNITED STATES WITH COMPARATIVE ANALYSES TO THE ROLE OF FIRMS IN GERMANY	286,099.
RENSSELAER POLYTECHNIC INSTITUTE 110 8TH STREET TROY, NY 12180-3590	NONE 170(B)(1)(A)(II)	TO DEVELOP THE DATA SCIENCE AND MANAGEMENT DIMENSIONS OF THE DEEP CARBON OBSERVATORY	450,000.
UNIVERSITY OF RHODE ISLAND 70 LOWER COLLEGE ROAD KINGSTON, RI 02882	NONE 170(B)(1)(A)(II)	TO BUILD A DEEP CARBON OBSERVATORY (DCO) ENGAGEMENT TEAM AND LAUNCH AND SUPPORT A SUITE OF COMMUNITY BUILDING, ENGAGEMENT, AND COMMUNICATIONS STRATEGIES ON BEHALF OF THE DEEP CARBON OBSERVATORY	449,381.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10021-6399	NONE 170(B)(1)(A)(II)	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP CARBON OBSERVATORY (DCO) PROGRAM INITIATED IN 2009	680,000.
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY 83 SOMERSET ST. NEW BRUNSWICK, NJ 08901-1281	NONE 170(B)(1)(A)(II)	TO STUDY PATHWAYS AND PATTERNS OF COURSE-TAKING AND CAREER DEVELOPMENT IN SCIENCE AND TECHNOLOGY	275,907.
THE SLOAN CONSORTIUM, INC. P.O. BOX 1238 NEWBURYPORT, MA 01950	NONE 170(B)(1)(A)(VI)	FOR SUPPORT TO TRANSITION SLOAN-C INTO A SELF-SUSTAINING SOCIETY FOR ONLINE EDUCATION	500,000.
SMITHSONIAN INSTITUTION P.O. BOX 37012 SIB 494 MRC 035 WASHINGTON, DC 20013	NONE 170(B)(1)(A)(VI)	TO HELP THE ENCYCLOPEDIA OF LIFE DEVELOP NEW FEATURES AND STAKEHOLDERS	250,000.
SOUNDVISION PRODUCTIONS 2991 SHATTUCK AVENUE SUITE 304 BERKELEY, CA 94705	NONE 170(B)(1)(A)(VI)	FOR SUPPORT FOR BURN: AN ENERGY JOURNAL TO EXPAND THE PUBLIC'S ENERGY LITERACY THROUGH PUBLIC RADIO SPECIALS, MONTHLY STORIES BROADCAST ON MARKETPLACE, AND SHARED PRODUCTIONS WITH NATIONAL GEOGRAPHIC, AS WELL AS ONLINE CONTENT AND OUTREACH	473,397.
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 90089	NONE 170(B)(1)(A)(II)	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND TECHNOLOGY FILMS BY TOP FILM STUDENTS	238,900.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
BOARD OF CONTROL FOR SOUTHERN REGIONAL EDUCATION 592 10TH STREET, NW ATLANTA, GA 30318-5790	NONE 170(B)(1)(A)(II)	TO CONTRIBUTE TO THE NATIONAL EFFORT TO INCREASE THE AWARD OF DOCTORAL DEGREES TO MEMBERS OF UNDERREPRESENTED MINORITIES IN STEM FIELDS, WITH A SPECIAL FOCUS ON THE PREPARATION OF GRADUATE STUDENTS FOR CAREERS IN HIGHER EDUCATION, BY PROVIDING PROFESSIONAL	555,000.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO DEVELOP PRACTICAL TECHNIQUES FOR ALLOWING RESEARCHERS TO EXTRACT AGGREGATE STATISTICS FROM LARGE DATASETS WHILE PROTECTING THE PRIVACY OF INFORMATION CONTAINED IN INDIVIDUAL ENTRIES	317,029.
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UNIVERSITY STANFORD, CA 94305	NONE 170(B)(1)(A)(II)	TO STUDY INTERNET MARKETS USING DETAILED DATA ABOUT CONSUMER AND FIRM BEHAVIOR FROM EBAY	257,325.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	TO DETERMINE THE CAPABILITY OF U.S. SHALE GAS TO CONTRIBUTE SIGNIFICANTLY TO NATURAL GAS SUPPLY OVER THE NEXT TWENTY YEARS, GIVEN VARIOUS ASSUMPTIONS ABOUT NATURAL GAS PRICES	181,626.
UNIVERSITY OF TEXAS, AUSTIN 110 INNER CAMPUS AUSTIN, TX 78712	NONE 170(B)(1)(A)(II)	TO FOLLOW UP THE ORIGINAL NATIONALLY REPRESENTATIVE HIGH SCHOOL AND BEYOND (HSB) STUDY TO PRODUCE A VALUABLE NEW DATA INFRASTRUCTURE AND RESEARCH FINDINGS ABOUT THE FOUNDATION FOR WORKING LONGER	801,077.
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	TO STUDY THE ECONOMICS OF KNOWLEDGE CONTRIBUTION AND DISTRIBUTION	276,258.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO 1265 MILITARY TRAIL M5S 1A1 TORONTO ONTARIO CANADA	NONE FOREIGN EDUCATIONAL	TO DESIGN IMPROVED TESTING METHODS FOR COMMON BUILDING MATERIALS	100,000.
TRIBECA FILM INSTITUTE INC 375 GREENWICH STREET NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO AWARD THE ANNUAL SLOAN STUDENT GRAND JURY PRIZE TO THE BEST-OF-THE-BEST STUDENT FILM FROM ALL FILM SCHOOL PARTNERS AND TO DEVELOP EACH WINNING SCREENPLAY TOWARD PRODUCTION	108,000.
W E UPJOHN UNEMPLOYMENT TRUSTEE CORPORATION 300 SOUTH WESTNEDGE AVENUE KALAMAZOO, MI 49007	NONE 170(B)(1)(A)(VI)	TO STUDY WAYS OF IMPROVING ECONOMIC MEASUREMENTS, STATISTICS, AND INDICATORS RELATED TO GLOBALIZATION	171,660.
THE URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE 170(B)(1)(A)(II)	TO IMPROVE THE DETAIL AND UTILITY OF THE INTERNAL REVENUE SERVICE'S PUBLIC USE FILES	137,798.
THE URBAN INSTITUTE 2100 M STREET, NW WASHINGTON, DC 20037	NONE 170(B)(1)(A)(II)	TO SUPPORT RESEARCH ON EMPLOYMENT PROSPECTS FOR LESS-EDUCATED OLDER WORKERS	227,086.
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT 800 DRILLFIELD DR BLACKSBURG, VA 24061-0123	NONE 170(B)(1)(A)(II)	TO DETERMINE THE EFFECTS OF PIPE MATERIALS, WATER FLOW, AND CHEMISTRY ON THE BUILDING PLUMBING MICROBIOME	100,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY OF WASHINGTON 1410 NE CAMPUS PKWY SEATTLE, WA 98195	NONE 170(B)(1)(A)(II)	TO ASSESS IMPROVEMENTS RESULTING FROM AND ANALYZING DATA COLLECTED BY THE PROJECT TO ASSESS CLIMATE IN ENGINEERING (PACE)	283,455.
WELLESLEY COLLEGE 106 CENTRAL STREET WELLESLEY, MA 02181	NONE 170(B)(1)(A)(II)	TO EXAMINE HOW FIRMS SHAPE THE IMMIGRATION OF SCIENTISTS, ENGINEERS, AND OTHER HIGHLY SKILLED WORKERS TO THE UNITED STATES	112,731.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE 170(B)(1)(A)(IV)	TO RESEARCH AND PRODUCE FOUR HOURS OF DOCUMENTARIES ON THE ROLE OF SCIENCE AND TECHNOLOGY IN HISTORY FOR THE AMERICAN EXPERIENCE WITH ANCILLARY OUTREACH INCLUDING TWO INTERACTIVE GAMES AND MARKETING CAMPAIGN	1,000,000.
WGBH EDUCATIONAL FOUNDATION ONE GUEST STREET BOSTON, MA 02135	NONE 170(B)(1)(A)(IV)	FOR PRODUCTION AND BROADCAST OF A THREE-HOUR NOVA SPECIAL ON THE GEOLOGICAL HISTORY OF NORTH AMERICA WITH ENHANCED DIGITAL CONTENT, OUTREACH, EDUCATION AND PROMOTION	500,000.
WIKIMEDIA FOUNDATION, INC. 149 NEW MONTGOMERY STREET, 3RD FLOOR SAN FRANCISCO, CA 94105	NONE 170(B)(1)(A)(VI)	TO HELP WIKIPEDIA DEVELOP AND SUSTAIN ITS EDUCATIONAL MISSION WHILE CONSTANTLY IMPROVING QUALITY, DIVERSITY, AND ACCESS TO KNOWLEDGE FOR PEOPLE EVERYWHERE	1,000,000.
UNIVERSITY OF WISCONSIN, MADISON 500 LINCOLN DRIVE MADISON, WI 53706-1380	NONE 170(B)(1)(A)(II)	TO EXPAND THE SCHOLARLY UNDERSTANDING OF EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS, AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS, AND CLASSROOM OBSERVATIONS OF STUDENTS AND FACULTY AT SEVEN COLLEGES	489,234.

ATTACHMENT 21

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
WNYC PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	NONE 170(B)(1)(A)(VI)	TO MAKE SCIENCE AND TECHNOLOGY A REGULAR, INTEGRAL FEATURE ON STUDIO 360	250,000.
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS 1300 PENNSYLVANIA AVENUE N.W. WASHINGTON, DC 20004-3027	NONE 170(B)(1)(A)(VI)	TO CONTINUE WORK TO IDENTIFY AND ADDRESS RISKS ASSOCIATED WITH SYNTHETIC BIOLOGY	844,850.
AMERICAN CHEMICAL SOCIETY 1155 SIXTEENTH STREET, NW WASHINGTON, DC 20036	NONE 170(B)(1)(A)(VI)	TO FUND A SURVEY OF GRADUATE STUDENT LIFE AND CAREER PROSPECTS IN THE CHEMICAL SCIENCES	12,500.
AMERICAN PHYSICAL SOCIETY 1 PHYSICS ELLIPSE COLLEGE PARK, MD 20740	NONE 170(B)(1)(A)(VI)	TO FUND THE EDWARD A. BOUCHET LECTURESHIP AWARD FOR THREE YEARS WHILE THE AMERICAN PHYSICAL SOCIETY RAISES ENDOWMENT FUNDING FOR IT	6,000.
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D 9500 GILMAN DRIVE LA JOLLA, CA 92093	NONE 170(B)(1)(A)(II)	TO STUDY TRAINING AND INNOVATION IN THE SCIENCE AND ENGINEERING WORKFORCE	113,940.
COLD SPRING HARBOR LABORATORY PO BOX 534 COLD SPRING HARBOR, NY 11724-0534	NONE 170(B)(1)(A)(VI)	TO RUN A REGIONAL CONFERENCE THAT HELPS NEW RESEARCH AND TEACHING FACULTY OBTAIN FEDERAL FUNDING FOR THEIR SCIENTIFIC PROJECTS	20,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION P.O. BOX 928 LAWRENCE, KS 66044-0928	NONE 170(B)(1)(A)(IV)	TO PARTIALLY SUPPORT THE 2013 NORTH AMERICAN DDI CONFERENCE	6,500.
MONTANA TECH OF THE UNIVERSITY OF MONTANA 1300 WEST PARK STREET BUTTE, MT 59701-8997	NONE 170(B)(1)(A)(II)	TO FUND FOR AN ADDITIONAL THREE YEARS THE RECRUITMENT AND RETENTION PORTION OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP AT MONTANA TECH	24,065.
UNIVERSITY OF MONTANA 32 CAMPUS DR MISSOULA, MT 59812	NONE 170(B)(1)(A)(II)	TO FUND AN ADDITIONAL THREE YEARS OF THE NON-SCHOLARSHIP COMPONENT OF THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP AT THE UNIVERSITY OF MONTANA	19,400.
NEW YORK COUNTY DISTRICT ATTORNEY 163 W 125TH ST #733 NEW YORK, NY 01027	NONE 170(B)(1)(A)(V)	TO SUPPORT A PILOT STUDY TO EXAMINE DIGITAL COURT DOCUMENTS TO DETECT AND MEASURE THE PREVALENCE OF PERJURY AND DEVELOP OPTIONS TO MITIGATE IT	60,000.
OPEN KNOWLEDGE FOUNDATION 37 PANTON STREET CB2 1HL CAMBRIDGE UNITED KINGDOM	NONE FOREIGN PUBLIC CHARITY	ENGLAND TO PROTOTYPE INTEROPERABILITY BETWEEN CITIZEN SCIENCE PLATFORMS	79,350.
POLYTECHNIC INSTITUTE OF NEW YORK UNIVERSITY SIX METROTECH CENTER BROOKLYN, NY 11201	NONE 170(B)(1)(A)(II)	TO LAUNCH AND DOCUMENT AN INTERNATIONAL SEMINAR SERIES ON FINANCE ENGINEERING AND REGULATION	20,000.

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENTATTACHMENT 21 (CONT'D)

<u>RECIPIENT NAME AND ADDRESS</u>	<u>RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT</u>	<u>PURPOSE OF GRANT OR CONTRIBUTION</u>	<u>AMOUNT</u>
UNIVERSITY COLLEGE LONDON SENATE HOUSE MALET STREET WC1E 7H4 LONDON UNITED KINGDOM	NONE FOREIGN EDUCATIONAL	TO PLAN AND CONDUCT THE INAUGURAL SUMMER SCHOOL  ASSOCIATES OF THE DEEP CARBON OBSERVATORY	80,000.
THE TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 154 PRINCETON, NJ 08540	NONE 170(B)(1)(A)(II)	TRANSFER OF AN ALFRED P. SLOAN RESEARCH FELLOWSHIP FOR DR. GARNET KIN-LIC CHAN IN CHEMISTRY, ORIGINALLY AWARDED AT CORNELL UNIVERSITY	36,711.
TOTAL CONTRIBUTIONS APPROVED			<u>50,265,237.</u>



**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Information about Schedule D (Form 1041) and its separate instructions is at  
[www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No. 1545-0092

**2012**

Name of estate or trust

ALFRED P. SLOAN FOUNDATION

Employer identification number

13-1623877

Note: Form 5227 filers need to complete **only** Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b>					

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	95,359,636.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( 327,276.)
<b>5</b> <b>Net short-term gain or (loss).</b> Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . . ▶	<b>5</b>	95,032,360.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b>					

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> <b>Net long-term gain or (loss).</b> Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . . ▶	<b>12</b>	

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

<b>Part III Summary of Parts I and II</b>		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
<b>13</b>	<b>Net short-term gain or (loss)</b> . . . . .	<b>13</b>		95,032,360.
<b>14</b>	<b>Net long-term gain or (loss):</b>			
a	Total for year . . . . .	<b>14a</b>		
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . . .	<b>14b</b>		
c	28% rate gain . . . . .	<b>14c</b>		
<b>15</b>	<b>Total net gain or (loss).</b> Combine lines 13 and 14a . . . . .	<b>15</b>		95,032,360.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

<b>Part IV Capital Loss Limitation</b>		<b>16</b>
<b>16</b>	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of: a The loss on line 15, column (3) or b \$3,000 . . . . .	( )

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b>	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	<b>17</b>		
<b>18</b>	Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>		
<b>19</b>	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>19</b>		
<b>20</b>	Add lines 18 and 19 . . . . .	<b>20</b>		
<b>21</b>	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . . .	<b>21</b>		
<b>22</b>	Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>		
<b>23</b>	Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>		
<b>24</b>	Enter the <b>smaller</b> of the amount on line 17 or \$2,400 . . . . .	<b>24</b>		
<b>25</b>	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>		
<b>26</b>	Subtract line 25 from line 24 . . . . .	<b>26</b>		
<b>27</b>	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30; go to line 31. <input type="checkbox"/> <b>No.</b> Enter the smaller of line 17 or line 22 . . . . .	<b>27</b>		
<b>28</b>	Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>		
<b>29</b>	Subtract line 28 from line 27 . . . . .	<b>29</b>		
<b>30</b>	Multiply line 29 by 15% (.15) . . . . .	<b>30</b>		
<b>31</b>	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>		
<b>32</b>	Add lines 30 and 31 . . . . .	<b>32</b>		
<b>33</b>	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>		
<b>34</b>	<b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>		