GRANT THORNTON LLP 757 THIRD AVE NEW YORK, NY 10017

INSTRUCTIONS FOR FILING
ALFRED P. SLOAN FOUNDATION
FORM 990PF - RETURN OF PRIVATE FOUNDATION
FOR THE PERIOD ENDED DECEMBER 31, 2013

SIGNATURE...

THE ORIGINAL RETURN SHOULD BE SIGNED (USING FULL NAME AND TITLE) AND DATED BY AN AUTHORIZED OFFICER OF THE ORGANIZATION.

FILING...

THE SIGNED RETURN SHOULD BE FILED AS SOON AS POSSIBLE WITH...

DEPARTMENT OF THE TREASURY
INTERNAL REVENUE SERVICE CENTER
OGDEN, UT 84201-0027

OVERPAYMENT OF TAX...

THE RETURN SHOWS AN OVERPAYMENT OF \$2,430,574. OF WHICH NONE SHOULD BE REFUNDED TO YOU AND \$2,430,574. HAS BEEN APPLIED TO YOUR 2014 ESTIMATED TAX.

TO DOCUMENT THE TIMELY FILING OF YOUR TAX RETURN(S), WE SUGGEST THAT YOU OBTAIN AND RETAIN PROOF OF MAILING. PROOF OF MAILING CAN BE ACCOMPLISHED BY SENDING THE TAX RETURN(S) BY REGISTERED OR CERTIFIED MAIL (METERED BY THE U.S. POSTAL SERVICE) OR THROUGH THE USE OF AN IRS APPROVED DELIVERY METHOD PROVIDED BY AN IRS DESIGNATED PRIVATE DELIVERY SERVICE.

AMENDED RETURN

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

OMB No. 1545-0052

Department of the Treasury Internal Revenue Service

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

_		lendar year 2013 or tax year beginning			, 2013,	and ending	_		, 20
	Name	of foundation					A	Employer identif	ication number
_		RED P. SLOAN FOUNDATION						13-162387	7
	Numb	er and street (or P.O. box number if mail is not delivered	ed to street address) Room/suite		В	Telephone numb	er (see instructions)		
								(21	2) 649-1649
	630	FIFTH AVENUE				2200			
	City o	r town, state or province, country, and ZIP or foreign po	stal code						
							С	If exemption application pending, check here	ation is
	NEW	YORK, NY 10111						p	
G	Che	ck all that apply: Initial return		Initial return	of a former p	ublic charity	/ D	1. Foreign organiza	tions, check here
		Final return	X	Amended re	turn			2. Foreign organiza	
		Address change		Name chang				85% test, check h computation	
H	Che	eck type of organization: X Section 501(c)(3) exe	mpt private f	oundation		F	If private foundation	n status was terminated
1	S	ection 4947(a)(1) nonexempt charitable trust	Oth	er taxable pr	ivate foundat	tion		under section 507(b	
I	Fair	market value of all assets at J Acco	unting m			rual	F	If the foundation is	in a 60-month termination
	end		ther (spe		BRID ACC	RUAL	_	under section 507(b)(1)(B), check here
	16)	\$ 1,888,720,791. (Part I,	column (d) must be or	cash basis.)				
F	art	Analysis of Revenue and Expenses (The	(a) Re	evenue and	(b) Net inve	estment	(c)	Adjusted net	(d) Disbursements for charitable
		total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in		enses per	incom		(0)	income	purposes
_		column (a) (see instructions).)	k	oooks					(cash basis only)
	1	Contributions, gifts, grants, etc., received (attach schedule)							
	2	Check Life the foundation is not required to attach Sch. B							
	3	Interest on savings and temporary cash investments		,157,115.		7,143.			
	4	Dividends and interest from securities	4	,561,352.	14,78	0,215.			
	5 a	Gross rents							
	b	Net rental income or (loss)							
ne		Net gain or (loss) from sale of assets not on line 10	80	,747,979.					
Revenue	D	Gross sales price for all assets on line 6a 101,785,986.							
ş	7	Capital gain net income (from Part IV, line 2) .			120,52	5,813.			
_	8	Net short-term capital gain							
	9	Income modifications							
	10 a	Gross sales less returns and allowances							
		Less: Cost of goods sold							
	С	Gross profit or (loss) (attach schedule)		050 022	10 20	0 070			
	11	Other income (attach schedule) ATCH 1	100	859,033. ,325,479.		0,278.			
_	12	Total. Add lines 1 through 11		,325,479. ,392,727.	178,19				1 000 221
	13	Compensation of officers, directors, trustees, etc.				5,067.			1,900,321
es	14	Other employee salaries and wages		,500,288. ,017,403.		6,396. 2,275.			1,221,901
ens	15	Pension plans, employee benefits	3	210,793.		4,863.			77,196
ğ		Legal fees (attach schedule) ATCH 2		147,990.		7,170.			38,485
ΘĒ	b	Accounting fees (attach schedule)ATCH 3	Ę	,281,188.		8,376.			30,403
à	C	Other professional fees (attach schedule) . *.	<u> </u>	, 201, 100.	5,22	0,0,0.			
str	1/	Interest	1	,500,275.	76	0,096.			275
₫	18 40	Taxes (attach schedule) (see instructions)ATCH 5		, , , , , , , , , , , , , , , , , , , ,	, 0	-, -, -, -,			273
퉏	19	Depreciation (attach schedule) and depletion.	1	,923,254.	2.3	9,010.			1,681,830
۷ p	21	Occupancy		764,123.		5,739.			639,372
ă	21 22	Printing and publications		120,790.		0,447.			106,341
пg	22 23 24	Other expenses (attach schedule) ATCH 6.	1	,256,278.		5,910.			1,827,216
ati	24	Total operating and administrative expenses.			, ,				. ,
bei	4	Add lines 13 through 23	21	,115,109.	24,55	5,349.			9,023,266
0	25	Contributions, gifts, grants paid		,240,399.	, , , ,	,			82,091,585
	26 26	Total expenses and disbursements. Add lines 24 and 25		,355,508.	24,55	5,349.		C	
-	27	Subtract line 26 from line 12:		,	. = , 30	,			, , , , , ,
		Excess of revenue over expenses and disbursements	-6	,030,029.					
		Net investment income (if negative, enter -0-)		, ,	153,63	8,100.			
		Adjusted net income (if negative, enter -0-).			,				

amounts only. (See instructions.) 1 Cash - non-interest-bearing 2 Savings and temporary cash investments 3 Accounts receivable ► Less: allowance for doubtful accounts ► 4 Pledges receivable ► Less: allowance for doubtful accounts ► 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) 7 Other notes and loans receivable (see instructions) 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10 a Investments - corporate stock (attach schedule) ATCH 7 c Investments - corporate bonds (attach schedule) ATCH 8 11 Investments - and, buildings, and equipment basis Less: accumulated depreciation ► (attach schedule) 12 Investments - onther (attach schedule) ATCH 9 13 Investments - onther (attach schedule) ATCH 9 14 Land, buildings, and equipment: basis Less: accumulated depreciation ► (attach schedule) 15 Total assets (to be completed by all filers - see the	Part II		Palance Shoots	Attached schedules and amounts in the description column should be for end-of-year		E	End of year		
2 Sevings and temporary cash investments		aitii	Datatice Sticets	amounts only. (See instructions.)	(a) Book Value	(b) Book Value		(c) Fair Market Value	
Accounts receivable Less: allowance for doubtful accounts Less: allowance for d		1	Cash - non-interest-beari	ing					
Less: allowance for doubtful accounts 4. Pledges cerebable 5. Grants receivable 6. Receivable due from officers, directors, frustees, and other disqualified persons (attack schedule) (see instructions) 7. Other notes and loans receivable (stach schedule) 10. a Investments - U.S. and state government deligations (panch schedule) 10. a Investments - corporate stock (stach schedule) 11. Investments - mortgage loans 12. Investments - mortgage loans 13. Investments - mortgage loans 14. Investments - mortgage loans 15. Total assets (to be completed by all files - see the Instructions, Also, see page 1, left ii) 16. Total assets (to be completed by all files - see the Instructions, Also, see page 1, left ii) 17. Accounts payable and account dependes 18. Total inabilities (add lines 17 through 22) 18. Total inabilities (add lines 17 through 22) 19. Deferreas payable (attach schedule) 20. Total liabilities (add lines 17 through 22) 21. Total liabilities (add lines 17 through 22) 22. Total liabilities and net assets fund be disquilities persons 23. Total liabilities and net assets fund be a line 27 through 31 24. Total liabilities and net assets fund be a line 30 and 31 25. Total liabilities and net assets fund be a line 30 and 31 26. Total liabilities and net assets fund beliance (see instructions) 27. Total liabilities and net assets fund belia					147,046,851.	137,329,87	71.	210,548,039.	
Peledges receivable Less: allowance for doubtful accounts S Grants receivable		3	Accounts receivable ▶						
Less: allowance for doubtful accounts ▶ 6 Grants receivable 6 Receivables due from officers, directors, fursitees, and other disqualified persons (attach schedule) (see instructions) 7 Other notes and loans receivable (attach schedule) ► Less: allowance for doubtful accounts ▶ 8 Inventione's for sale or use government belighten (statch schedule) ► Less: allowance for doubtful accounts ▶ 8 Inventione's for sale or use government oblighten (statch schedule) ★ 104 investments - scroprate statch (attach schedule) ★ 17CH 7 c. Investments - Use and state government belighten (statch schedule) ★ 104 investments - scroprate books (attach sch			Less: allowance for dou	btful accounts ▶					
5 Grants receivable de from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) 7 Other notes and loans receivable (attach schedule) ► Less: allowence for doubtul accounts ► 8 Prepared openses and deferred charges 9 Prepared openses and deferred charges 10 a Inventories for sale or use 9 Prepared openses and deferred charges 10 a Investments - U.S. and state government obligations (attach schedule) ATCH 8 11 Investments - corporate bonds (attach schedule) ATCH 8 12 Investments - corporate bonds (attach schedule) ATCH 9 13 Investments - orthogoge loans 14 Land, buddings, and Less's accumulated depreciation 15 Other lassest (obs completed by all filers see the instructions. Allos ose plays) it is not to the instructions. Allos ose plays I it is not instructions. Allos ose plays I it is not instructions. Allos ose plays I it is not capital surplus, of land, budg, and depulment bund. 16 Total lassifilities (add lines 17 through 22) 17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue. 20 Cher liabilities (describe ► ATCH 10) 21 Total liabilities and not assets/fund balances (see instructions). 22 Total liabilities and not assets/fund balances (see instructions). 23 Total liabilities and not assets/fund balances (see instructions). 24 Unrestricted. 25 Temporarily restricted 26 Foundations that follow SFAS 117, check here National State National Sta									
Receivables due from officers, directors, trustees, and other disqualified persons (althor scheduly) (see instructions)			Less: allowance for dou	btful accounts ▶					
disqualified persons (attach schedule) See instructions		5	Grants receivable						
7 Other notes and loans receivable (attach schedule)		6	Receivables due from	officers, directors, trustees, and other					
Less: allowance for doubtful accounts			disqualified persons (at	tach schedule) (see instructions)					
Investments - Los and state government obligations (ethan schedule), a 1 1 1 1 1 1 1 1 1		7	Other notes and loans r	receivable (attach schedule)					
10 a Investments - Corporate brock (attach schedule) 10			Less: allowance for dou	btful accounts ▶					
10 a Investments - Corporate brock (attach schedule) 10)ts	8	Inventories for sale or us	e					
10 a Investments - Corporate brock (attach schedule) 10	SSE	9	Prepaid expenses and d	eferred charges					
Investments - corporate bonds (attach schedule) ATCH 8 164,129,041 107,344,623 104,982,138		10 a	Investments - U.S. and stat	e government obligations (attach schedule)					
1 Investments - land, buldings									
and equipment: basis Less accomplated depreciation 12			Investments - corporate	bonds (attach schedule) ATCH 8	164,129,041.	107,344,62	23.	104,982,138.	
		11	and equipment: basis						
13 Investments - other (attach schedule) ATCH 9 897,010,229. 953,874,466. 1,427,677,514. 14 Land, buildings, and equipment: basis Less: accumulated depreciation (association (assoc			Less: accumulated deprecia (attach schedule)	ation					
Land, buildings, and experient: Desire depreciation Cattach schedule		12	,	loans					
gaulpriment: basis: Less-accumulated depreciation 15 Other assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		13	Investments - other (atta	ach schedule) ATCH 9	897,010,229.	953,874,46	56.	1,427,677,514.	
15 Other assets (describle		14	equipment: basis	-					
Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1) 1,277,952,777 1,277,998,148 1,888,720,791									
17 Accounts payable and accrued expenses 18 Grants payable Grants payable S77,991,294. 62,454,443.		15	Other assets (describe	>)					
17 Accounts payable and accrued expenses 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, fustees, and other disqualified persons 21 Mortgages and other notes payable (attach schedule) 22 Other liabilities (describe ▶		16							
18 Grants payable	_				1,277,952,777.	1,277,998,14	18.	1,888,720,791.	
19 Deferred revenue 2 Loans from officers, directors, trustees, and other disqualified persons Mortgages and other notes payable (attach schedule) 5,767,489 8,078,050 22 Other liabilities (describe ► ATCH 10) 5,767,489 8,078,050 23 Total liabilities (add lines 17 through 22) 63,758,783 70,532,493 Foundations that follow SFAS 117, check here ► X and complete lines 24 through 26 and lines 30 and 31 1,214,193,994 1,207,465,655 25 Temporarily restricted 26 Permanently restricted 27 Temporarily restricted 28 Permanently restricted 29 Retained earnings, accumulated income, endowment, or other funds 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances (see instructions) 1,214,193,994 1,207,465,655 1,277,998,148 1,207,465,655 1,277,998,148 1,277,998,148 1,277,998,148 1,277,998,148 1,214,193,994 1,214,193,194		17	Accounts payable and a	accrued expenses					
20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable (attach schedule) 22 Other liabilities (describe ► ATCH 10) 5,767,489. 8,078,050. 23 Total liabilities (add lines 17 through 22) . 63,758,783. 70,532,493. Foundations that follow SFAS 117, check here ► X and complete lines 24 through 26 and lines 30 and 31. 24 Unrestricted . 1,214,193,994. 1,207,465,655. 25 Temporarily restricted . Permanently restricted Foundations that do not follow SFAS 117, . ► Check here and complete lines 27 through 31. 27 Capital stock, trust principal, or current funds . 29 Retained earnings, accumulated income, endowment, or other funds . 1,214,193,994. 1,207,465,655. 30 Total net assets or fund balances (see instructions) . 1,277,952,777 1,277,998,148. Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . 1,214,193,994. 2 Enter amount from Part I, line 27a . 2 -6,030,029. 3 Other increases not included in line 2 (itemize) ► ATCH 11 . 5 698,310.					57,991,294.	62,454,44	43.		
Total liabilities (add lines 17 through 22)	ies	19	Deferred revenue						
Total liabilities (add lines 17 through 22)	ilit			• •					
Total liabilities (add lines 17 through 22)	.iak								
Foundations that follow SFAS 117, check here	1	22	Other liabilities (describe	P ►ATCH_10)	5,767,489.	8,078,09	50.		
Foundations that follow SFAS 117, check here				47.4	62 550 502	F0 F00 44			
and complete lines 24 through 26 and lines 30 and 31. 24 Unrestricted 1,214,193,994 1,207,465,655 25 Temporarily restricted 26 Permanently restricted Foundations that do not follow SFAS 117,	_				63,/58,/83.	/0,532,45	93.		
24 Unrestricted				· · · · · · · · · · · · · · · · · · ·					
Tour librations of Changes in Net Assets or Fund Balances Total liabilities and net assets/fund balances (see instructions) Total net assets or fund balances at beginning of year - Part III, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) Enter amount from Part I, line 27a Other increases not included in line 2 (itemize) Temporarily restricted Permanently restricted Permanently restricted Permanently restricted Permanently restricted Permanently restricted 1, 214, 193, 994 1, 207, 465, 655 1, 277, 952, 777 1, 277, 998, 148 Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1, 214, 193, 994 2, 6, 030, 029 3 Other increases not included in line 2 (itemize) Add lines 1, 2, and 3 Decreases not included in line 2 (itemize) ATCH 11 S 698, 310			· ·	<u> </u>	1 214 102 004	1 207 465 65			
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Instructions). 1,277,952,777. 1,277,998,148. Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return). 1,214,193,994. 2 Enter amount from Part I, line 27a 2 −6,030,029. 3 Other increases not included in line 2 (itemize) ▶ 3 4 Add lines 1, 2, and 3 4 1,208,163,965. 5 Decreases not included in line 2 (itemize) ▶ ATCH 11 5 698,310.	Ne				1,214,100,004.	1,207,403,00			
Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 1,214,193,994 2 Enter amount from Part I, line 27a 2 -6,030,029 3 Other increases not included in line 2 (itemize) ▶ 3 4 Add lines 1, 2, and 3 4 1,208,163,965 5 Decreases not included in line 2 (itemize) ▶ ATCH 11 5 698,310					1 277 952 777	1 277 998 12	1 2		
1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)1 1,214,193,9942 Enter amount from Part I, line 27a2 -6,030,0293 Other increases not included in line 2 (itemize) ▶34 Add lines 1, 2, and 34 1,208,163,9655 Decreases not included in line 2 (itemize) ▶ATCH 115 698,310	P					±, Δ, 1, , , , , , , , , , , , , , , , ,			
end-of-year figure reported on prior year's return) 1 1,214,193,994. 2 Enter amount from Part I, line 27a 2 -6,030,029. 3 Other increases not included in line 2 (itemize) ► 3 4 Add lines 1, 2, and 3 4 1,208,163,965. 5 Decreases not included in line 2 (itemize) ► ATCH 11 5 698,310.	_					must agree with			
2 Enter amount from Part I, line 27a 2 -6,030,029. 3 Other increases not included in line 2 (itemize) ► 3 4 Add lines 1, 2, and 3 4 1,208,163,965. 5 Decreases not included in line 2 (itemize) ► ATCH 11 5 698,310.	'						1	1 214 193 994	
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4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ► ATCH 11 5 698,310								0,030,029.	
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	5	Dec	reases not included in	o line 2 (itemize) ► ATCH 11			_		
							_		

Form 990-PF (2013) Page **3**

Par	(a) List and	and Losses for Tax on Investigation and Losses for Losses for Tax on Investigation and Losses for Losses for Tax on Investigation and Losses for Loss	e.g., real estate,	(b) How acquired P - Purchase D - Donation		(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEI	DULE		D - Donation	, , , ,	
b						
С						
d						
е						
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (lo (e) plus (f) minu	,
а						
b						
С						
d						
е						
	Complete only for assets	showing gain in column (h) and owned	d by the foundation on 12/31/69		Gains (Col. (h) g	
(i)	F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	col.	(k), but not less t Losses (from co	
а						
b						
С						
d						
е						
2 Ca	apital gain net income or		gain, also enter in Part I, line 7 (loss), enter -0- in Part I, line 7	2	120,	525,813.
	, ,	or (loss) as defined in sections 12 t I, line 8, column (c) (see instr	22(5) and (6):			
	•			3		0
Part			duced Tax on Net Investment I			
		` ,	e section 4940(a) tax on net investi		me)	
Was If "Ye	s," the foundation does	the section 4942 tax on the distrib not qualify under section 4940(e).		<u> </u>		Yes X No
1 E		ount in each column for each year:	; see the instructions before making	any entr		
Caler	(a) Base period years ndar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets		(d) Distribution ra (col. (b) divided by	atio col. (c))
	2012	84,429,583.	1,686,424,268.			0.050064
	2011	82,811,756.	1,705,440,140.			0.048557
	2010	81,999,899.	1,614,406,033.			0.050793
	2009	77,884,158.	1,471,290,040.			0.052936
	2008	82,001,137.	1,729,493,738.			0.047413
	otal of line 1, column (d)			2		0.249763
	_	for the 5-year base period - divide	the total on line 2 by 5, or by the			
n	umber of years the found	dation has been in existence if less	than 5 years	3		0.049953
4 E	nter the net value of non	charitable-use assets for 2013 from	m Part X, line 5	4	1,784,	136,146.
5 M	lultiply line 4 by line 3			5	89,	122,953.
6 E	nter 1% of net investmer	nt income (1% of Part I, line 27b)		6	1,	536,381.
7 A	dd lines 5 and 6			7	90,	659,334.
8 E	nter qualifying distributio	ns from Part XII, line 4	n Part VI, line 1b, and complete t	8		114,851.

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Part VI instructions.

	about (2013) ADDAM FOUNDATION 13 102.			aye -				
	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see i	nstru	ction	s)				
1 a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1							
	Date of ruling or determination letter: (attach copy of letter if necessary - see instructions)		536,3					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check								
here ► X and enter 1% of Part I, line 27b								
С	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).							
_	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2							
3	Add lines 1 and 2 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4		536,3	0				
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	1.5	36,3	81.				
6	Credits/Payments:							
а	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 3,966,955.							
_	Exempt foreign organizations - tax withheld at source 6b							
C								
d	Backup withholding erroneously withheld 6d							
7	Total credits and payments. Add lines 6a through 6d . See General Explanation Attachment 1. 7	3,5	966,9	55.				
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached							
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed							
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	2,4	130,5	74.				
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ 2,430,574. Refunded ▶ 11							
Pai	t VII-A Statements Regarding Activities							
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate		Yes	No				
	or intervene in any political campaign?	1a		X				
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the							
	definition)?	1b		X				
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or							
	distributed by the foundation in connection with the activities.							
С	Did the foundation file Form 1120-POL for this year?	1c		X				
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:							
	(1) On the foundation. ►\$0 (2) On foundation managers. ►\$0							
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on							
	foundation managers. > \$0							
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X				
	If "Yes," attach a detailed description of the activities.							
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,			37				
	or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	v	Х				
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X					
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Λ	Х				
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		Λ				
	If "Yes," attach the statement required by General Instruction T.							
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:							
	By language in the governing instrument, or By language in the governing instrument, or By language in the governing instrument as that as mandatary dispetions that conflict.							
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state legislation that effectively amends the governing instrument?	6	X					
7	with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X					
7	Enter the states to which the foundation reports or with which it is registered (see instructions)							
8a	CA, DE, NY,							
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		37					
	of each state as required by General Instruction G? If "No," attach explanation.	8b	X					
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or							
	4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete			v				
	Part XIV	9		Х				
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and			Х				
	addresses	10		_^				

Par	t VII-A	Statements Regarding Activities (continued)			
11	•	time during the year, did the foundation, directly or indirectly, own a controlled entity within the g of section 512(b)(13)? If "Yes," attach schedule (see instructions). ATCH 12	11	Х	
12	Did the	foundation make a distribution to a donor advised fund over which the foundation or a disqualified	40		Х
		nad advisory privileges? If "Yes," attach statement (see instructions)	1	v	
13	Website	foundation comply with the public inspection requirements for its annual returns and exemption application? address	13	X	
14	The boo	ks are in care of ▶ CHRISTOPHER SIA Telephone no. ▶ 212-649	-164	9	
	Located	at ▶630 FIFTH AVENUE NEW YORK, NY ZIP+4 ▶ 10111-0	242		
15	Section	4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		▶	
16		time during calendar year 2013, did the foundation have an interest in or a signature or other authority		Yes	No
10	-	· · · · · · · · · · · · · · · · · · ·	16		Х
		ank, securities, or other financial account in a foreign country?	10		
		e instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of			
Dar	t VII-B	gn country ► Statements Regarding Activities for Which Form 4720 May Be Required			
rai				V	N.
_		rm 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1 a	•	he year did the foundation (either directly or indirectly):			
		age in the sale or exchange, or leasing of property with a disqualified person?			
		ow money from, lend money to, or otherwise extend credit to (or accept it from) a			
	disq	ualified person? Yes X No			
	(3) Furr	nish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay	compensation to, or pay or reimburse the expenses of, a disqualified person?			
		sfer any income or assets to a disqualified person (or make any of either available for benefit or use of a disqualified person)?			
		ee to pay money or property to a government official? (Exception. Check "No" if the			
		ndation agreed to make a grant to or to employ the official for a period after nination of government service, if terminating within 90 days.)			
		, , , , , , , , , , , , , , , , , , , ,			
D	-	answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	4.		Х
		53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		Λ
		ations relying on a current notice regarding disaster assistance check here			
С		foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that			v
_		t corrected before the first day of the tax year beginning in 2013?	1c		X
2		on failure to distribute income (section 4942) (does not apply for years the foundation was a private g foundation defined in section 4942(j)(3) or 4942(j)(5)):			
а	At the	end of tax year 2013, did the foundation have any undistributed income (lines 6d and			
	6e, Part	XIII) for tax year(s) beginning before 2013? Yes X No			
	If "Yes,"	list the years			
b	Are the	re any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2)			
	(relating	to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years	listed, answer "No" and attach statement - see instructions.)	2b		
С	If the pr	ovisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
	_				
3 a		foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any ti	me during the year?			
b		did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or			
		fied persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
		sioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
		-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the			
		on had excess business holdings in 2013.)	3b		
42		foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
		foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its			
		le purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		Х
	Jiidiilab	is parpose that had not been removed from joopardy before the first day of the tax year beginning in 2015:	, TD		

Par	t VII-B Statements Regarding Activities for	or Which Form 4	720 May Be Requ	ired (continued)			
5a	During the year did the foundation pay or incur any amou	nt to:					
	(1) Carry on propaganda, or otherwise attempt to influer	nce legislation (section	4945(e))?	Yes X No	0		
	(2) Influence the outcome of any specific public ele	ction (see section 4	1955); or to carry or	n,			
	directly or indirectly, any voter registration drive?				0		
	(3) Provide a grant to an individual for travel, study, or other				0		
	(4) Provide a grant to an organization other than a						
	section 509(a)(1), (2), or (3), or section 4940(d)(2)? (s	-			_		
					,		
	(5) Provide for any purpose other than religious, ch						
	purposes, or for the prevention of cruelty to children of						
b	b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in						
	Regulations section 53.4945 or in a current notice regard	-	,		<u>5b</u>	X	
	Organizations relying on a current notice regarding disast	er assistance check h	ere		· []		
С	If the answer is "Yes" to question 5a(4), does the	foundation claim ex	emption from the ta	x _			
	because it maintained expenditure responsibility for the gr	rant? ATCH 13	3	X Yes No	٥		
	If "Yes," attach the statement required by Regulations sec	tion 53.4945-5(d).					
6a	Did the foundation, during the year, receive any fund	ds, directly or indire	ctly, to pay premium	S			
	on a personal benefit contract?			Yes X No	ا ٥		
b	Did the foundation, during the year, pay premiums, direct				6b		Х
	If "Yes" to 6b, file Form 8870.	.,					
7 a	At any time during the tax year, was the foundation a pa	rty to a prohibited tax	shelter transaction?	Yes X No			
	If "Yes," did the foundation receive any proceeds or have				. 7b		
	t VIII Information About Officers, Directors						
	and Contractors		•				
1	List all officers, directors, trustees, foundation n	nanagers and theil (b) Title, and average	r compensation (see (c) Compensation	(d) Contributions to			
	(a) Name and address	hours per week	`(If not paid,	employee benefit plans	(e) Expen	se accor	
		devoted to position	enter -0-)	and deferred compensation			
л т.с	Н 14		2 552 220	616,570.			0
AIC	п 14		3,553,339.	010,370.			
2	Compensation of five highest-paid employees	(other than thos	se included on line	e 1 - see instructi	ions). If n	one,	enter
	"NONE."	43.70		(d) Contributions to			
(a	Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	employee benefit plans and deferred	(e) Expen	se acco	
		devoted to position		compensation	Other a	iiowance	,,,
ATC	н 15		1,841,585.	379,970.			0
					<u> </u>		
							27
I of a	I number of other employees paid over \$50 000				î.		2.7

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Emploand Contractors (continued)	oyees,
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NON	E."
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
ATCH 16	4,363,089
Total number of others receiving over \$50,000 for professional services	13
<u></u>	13
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SUPPORT FOR BASIC RESEARCH	
SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	
	394,605
2 PUBLIC UNDERSTANDING OF SCIENCE	
SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	122 200
3 ECONOMIC PERFORMANCE AND QUALITY OF LIFE	132,399
SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	
	112,389
4 DIGITAL INFORMATION TECHNOLOGY	,
SEE GENERAL EXPLANATION FOR ADDITIONAL INFORMATION	
	25,070
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part	Minimum Investment Return (All domestic foundations must complete this part. Foreign see instructions.)	gn fou	undations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		
а	Average monthly fair market value of securities	1a	1,809,638,987.
b	Average of monthly cash balances	1b	1,666,745.
С	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,811,305,732.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,811,305,732.
4	Subtract line 2 from line 1d Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see		
	instructions)	4	27,169,586.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,784,136,146.
6	Minimum investment return. Enter 5% of line 5	6	89,206,807.
Par	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating found and certain foreign organizations check here ▶ ☐ and do not complete this part.)	dation	
1	Minimum investment return from Part X, line 6	1	89,206,807.
2 a	Tax on investment income for 2013 from Part VI, line 5 2a 1,536,381.		
b	Income tax for 2013. (This does not include the tax from Part VI.)		
С	Add lines 2a and 2b	2c	1,536,381.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	87,670,426.
4	Recoveries of amounts treated as qualifying distributions	4	858,238.
5	Add lines 3 and 4	5	88,528,664.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	88,528,664.
Par	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
' a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	91,114,851.
b	Program-related investments - total from Part IX-B	1b	71,111,031.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,	10	
_	Durnoege	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a		3a	
a h	Suitability test (prior IRS approval required)	3b	
4	Cash distribution test (attach the required schedule) Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	91,114,851.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.	•)
J	Enter 1% of Part I, line 27b (see instructions)	5	1,536,381.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	89,578,470.
U	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when c	_	
	qualifies for the section 4940(e) reduction of tax in those years.	aicuid	ung whether the roundation

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Pa	rt XIII Undistributed Income (see instru	uctions)			
		(a)	(b)	(c)	(d)
1	Distributable amount for 2013 from Part XI,	Corpus	Years prior to 2012	2012	2013
	line 7				88,528,664.
2	Undistributed income, if any, as of the end of 2013:			00 000 150	
	Enter amount for 2012 only			28,878,157.	
b	Total for prior years: 20 11 ,20 10 ,20 09				
3	Excess distributions carryover, if any, to 2013:				
	From 2008				
	From 2009				
	From 2010				
	From 2011				
	From 2012	0			
	Total of lines 3a through e	O .			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 91,114,851.				
_	Applied to 2012, but not more than line 2a			28,878,157.	
				20/0/0/13/1	
b	Applied to undistributed income of prior years (Election required - see instructions)				
С	Treated as distributions out of corpus (Election				
	required - see instructions)				62,236,694.
	Applied to 2013 distributable amount Remaining amount distributed out of corpus				02,230,031.
5	Excess distributions carryover applied to 2013				
	(If an amount appears in column (d), the same				
6	amount must be shown in column (a).) Enter the net total of each column as				
Ü	indicated below:				
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract				
	line 4b from line 2b				
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
•	amount - see instructions Undistributed income for 2012. Subtract line				
-	4a from line 2a. Taxable amount - see				
	instructions				
f	Undistributed income for 2013. Subtract lines				
	4d and 5 from line 1. This amount must be distributed in 2014				26,291,970.
7	Amounts treated as distributions out of corpus				
•	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not				
	applied on line 5 or line 7 (see instructions)				
9	Excess distributions carryover to 2014.	0			
	Subtract lines 7 and 8 from line 6a	U			
	Analysis of line 9:				
	Excess from 2009				
	Excess from 2010				
	Excess from 2011 Excess from 2012				
	Excess from 2013				

Рa	rt XIV Private Op	erating Foundations	(see instructions ar	nd Part VII-A, questi	on 9)	NOT APPLICABL
1 a	If the foundation has	received a ruling or d	etermination letter that	it is a private opera	ating	
	foundation, and the ruling	g is effective for 2013, e	nter the date of the ruling			
b	Check box to indicate wh				4942(j)(3) or 4942(j)(5)
2 2	Enter the lesser of the ad-	Tax year		Prior 3 years		(a) Total
2 a	justed net income from Part	(a) 2013	(b) 2012	(c) 2011	(d) 2010	- (e) Total
	I or the minimum investment return from Part X for each					
	year listed					
b	85% of line 2a					
С	Qualifying distributions from Part					
	XII, line 4 for each year listed					
d	Amounts included in line 2c not					
	used directly for active conduct of exempt activities					
е	Qualifying distributions made					
	directly for active conduct of exempt activities. Subtract line					
	2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
а	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section					
	4942(j)(3)(B)(i)					
b	"Endowment" alternative test- enter 2/3 of minimum invest-					
	ment return shown in Part X ,					
_	line 6 for each year listed					
С	"Support" alternative test - enter: (1) Total support other than					
	gross investment income					
	(interest, dividends, rents, payments on securities					
	loans (section 512(a)(5)),					
	or royalties) (2) Support from general					
	public and 5 or more exempt organizations as					
	provided in section 4942					
	(j)(3)(B)(iii) (3) Largest amount of sup-					
	port from an exempt organization					
	(4) Gross investment income					
Pa		ntary Information (only if the found	ation had \$5,000	or more in assets
	<u>*</u>	during the year - s	· · · · · · · · · · · · · · · · · · ·			
	Information Regarding					
а	List any managers of before the close of any					ved by the foundation
		tan joan (out only in th		.σ.σα φσ,σσσ). (σ.	σο σοσιιστί σοτ (α/(=/ι/	
h	N/A List any managers of	the foundation who	own 10% or more of	the stock of a corn	oration (or an equal)	v large portion of the
D	ownership of a partner					y large portion of the
				_		
	N/A					
2	Information Regarding	g Contribution, Grant	, Gift, Loan, Scholarsh	ip, etc., Programs:		
	Check here ▶ ☐ if t	he foundation only	makes contributions	to preselected chari	table organizations	and does not accep
	unsolicited requests for		9 . 9	ants, etc. (see instruct	tions) to individuals of	or organizations unde
	other conditions, comp					
а	The name, address, a	nd telephone number	or e-mail address of t	he person to whom ap	plications should be ad	dressed:
_	ATCH 17	Pare Carra albandal barran		and and a state that	alianda Parkada	
b	The form in which app	lications should be sub	omitted and informatio	on and materials they	snould include:	
	ATCH 18					
С	Any submission deadli	nes:				
اب	ATCH 19 Any restrictions or li	mitations on awards	cuch on his googra	phical areas shorts	ble fields kinds of	inctitutions or other
a	factors:	iiiialions on awards,	such as by geogra	philoai areas, charita	DIE HEIUS, KINUS OF	maniunons, or other
	מייטוד מ					

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Total	Part XV Supplementary Information (continued)									
a Peid during the year ATCH 21 Total	3 Grants and Contributions Paid During the Year or Approved for Future Payment									
a Peid during the year ATCH 21 Total		If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or	Amount					
Total		or substantial contributor	recipient	contribution						
Total	a Paid during the year									
Total										
b Approved for future payment ATCH 22	ATCH 21									
b Approved for future payment ATCH 22										
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ATCH 22		<u> </u>	<u> </u>	<u></u> 3a	82,091,585.					
	b Approved for future payment									
	ATICIT 22									
Tatal	AICH ZZ									
Takel 55 164 196										
Total 5.5. 16.4. 10.6										
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Part XVI-	A Analysis of Income-Produ	cing Activ	/ities			
Enter gross	amounts unless otherwise indicated.	Unrela	ated business income	Excluded by	y section 512, 513, or 514	(e)
1 Drogram	convice revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
-	service revenue:					(See instructions.)
_						
	_					
d	_					
f						
-	and contracts from government agencies					
	ship dues and assessments			14	16 157 115	
	n savings and temporary cash investments			14	16,157,115. 4,561,352.	
	s and interest from securities			14	4,301,332.	
	al income or (loss) from real estate:					
	financed property					
	lebt-financed property					
	l income or (loss) from personal property					
	vestment income			18	80,747,979.	
	oss) from sales of assets other than inventory			10	00,747,979.	
	me or (loss) from special events					
	rofit or (loss) from sales of inventory			01	795.	
	venue: a ROYALTIES NT REFUNDS			01	858,238.	
				01	030,230.	
e	A -l -l				102,325,479.	
	. Add columns (b), (d), and (e)					102,325,479.
	dd line 12, columns (b), (d), and (e) neet in line 13 instructions to verify calcu					102,323,173.
	B Relationship of Activities		complishment of Ev	emnt Purn	0000	
Line No. ▼	Explain below how each activit accomplishment of the foundation	-	•		-	· · · · · ·

13-1623877 Information Regarding Transfers To and Transactions and Relationships With Noncharitable Part XVII **Exempt Organizations**

		-	•	ngage in any of the foll ection 501(c)(3) organiz	_	-			Yes	No
		zations?	(0.1.0. 1.1.0 0.0	, o o . (o) (o) o . g a			orating to pon			i
	•		ng foundation to a	noncharitable exempt	organization	of:				i
		·	-	•	_			1a(1)		Х
										Х
(2) Other assets b Other transactions:										
(1) Sales of assets to a noncharitable exempt organization										X
										X
										X
										X
				or fundraising solicitation						X
				, other assets, or paid e						X
				complete the following						
				s given by the reporting						
	value			ment, show in column						
(a) Lir	ne no.	(b) Amount involved	(c) Name of nor	charitable exempt organization		escription of transf	fers, transactions, a	nd sharing arra	angeme	nts
		N/A			N/A	L				
		=	-	ated with, or related to			-			٦
			•	er than section 501(c)(3	3)) or in sect	ion 527?		L Y	es X	」No
<u> b </u>	If "Yes	s," complete the follo	wing schedule.			1				
		(a) Name of organization	n	(b) Type of organiza	ition	((c) Description of r	elationship		
				I this return, including accompar			to the best of my k	nowledge and	belief, it	is true,
Sign	- 1	ci, and complete. Declaration of	ı preparer (otner than taxp	ayer) is based on all information of	wnich preparer ha	as any knowledge.	<u></u>	u. 150 "		
_								the IRS discu- the preparer		
Here	Sig	nature of officer or trustee		Date	Title				Yes	No
							(330	· · · · · · · · ·	L	
		Print/Type preparer's na	me	Preparer's signature	-	Date	Check	if PTIN		
Paid		DANIEL ROMANO				6-23-2	016 self-emplo	」 "│	0418	2
Prep	arer		ANT THORNTON	I T.T.D				36-60555		
	Only	,	7 THIRD AVE				Firm's EIN	00000	<i>J</i> 0	
J36	Unity			, TIR FLOOR	1	0017_2012	01	2_500 0	1 0 0	
		IN E	W YORK, NY			0017-2013	Phone no. 21	2-599-0 Form 99		(2012)
								rorm 99	U-L L	(2013)

ALFRED P. SLOAN FOUNDATION

					114 4 LO 1 1	P	ENT INCOM	
Kind of F	Property		Desc	ription		or D		Date sold
Gross sale	Depreciation	Cost or	FMV	Adj. basis	Excess of	۲	Gain	
price less expenses of sale	allowed/ allowable	other basis	as of 12/31/69	as of 12/31/69	FMV over adj basis		or (loss)	
expenses or sale	allowable	Dasis	12/31/09	12/31/09	adj basis	T	(1000)	
		BAUPOST VALU	JE IV				VAR	VA
							7,854,352.	
		PZENA INVEST	TMENT				VAR	VA
							6,708,137.	
		SILCHESTER I	INTL				VAR	VA
							385,617.	
		DOUBLELINE (CAPITAL				VAR	VA
							-286,364.	
		GMO QUALITY	FUND III				VAR	VA
							7,844,884.	
		COLCHESTER (GLOBAL				VAR	V
							134,565.	
		GMO BENCHMAR	RK FREE				VAR	V
							691,835.	
		PRIMARY CASE	Ŧ				VAR	V
							7,083.	
		ILLUMINATION	N ASSET				VAR	V
							1,990,191.	
		HIGHFIELDS (CAP IV				VAR	V
							4,028,854.	
		CENTERBRIDGE	E CREDIT				VAR	V
							1,092,599.	
		LONGREACH CA	APITAL I				VAR	V
							4,079.	
						L		

Kind of Property		Description Date					Date sold	
Gross sale	Depreciation	Cost or	FMV	Adj. basis	Excess of	D	acquired Gain	
price less expenses of sale	allowed/ allowable	other basis	as of 12/31/69	as of 12/31/69	FMV over adj basis		or (loss)	
·								
		PROA PARTNE	RS PTE				VAR 1,777,791.	VAR
		CLIFTON GRO	UP				VAR	VAR
							985,811.	
		RGM CAPITAL					VAR	VAR
							2,192,675.	
		LONE KAURI					VAR	VAR
							2,864,000.	
		ATALAYA ASS	ET INC FD				VAR	VAR
							111,839.	V
		DEGOLIDGE GA	DIMAI III				777	777
		RESOURCE CA	PIIAL IV				VAR 5,030,616.	VAR
		VARDE PARTN	ERS IX				VAR 4,574,299.	VAR
							1,3,1,2,5	
		BAIN BROOKS	IDE CAP				VAR	VAR
							3,604,799.	
		FARALLON CA	PITAL				VAR	VAR
							1,839,782.	
		BAIN CAPITA	L VII				VAR	VAR
							2,666,195.	
		ACACIA CRED	IT 9-B				VAR	VAR
							3,067,429.	
		ACACIA CRED	TT 10 7				VAR	VAR
		ACACIA CRED	II IU-A				-298,269.	AAV
		+				_	<u> </u>	

Kind of Property			Description Date Date				Date sold	
Gross sale	Depreciation	Cost or	FMV	Adj. basis	Excess of	D		
price less expenses of sale	allowed/ allowable	other basis	as of 12/31/69	as of 12/31/69	FMV over adj basis		or (loss)	
expenses or sale	allowable	Dasis	12/31/69	12/31/69	auj basis		(1033)	
		BERKSHIRE C.	AP VII				VAR	VAR
							336.	
		PARTHENON I	NVEST II				VAR	VAR
							2,431,235.	
		GENERAL CAT	ALYST IV				VAR	VAR
							-2,637.	
		TELEGRAPH H	TT.T. TT				VAR	VAR
							727,755.	VIIIC
		FIRELAKE ST	RATEGIC I				VAR -4300015.	VAR
		IGNITION VE	NTURE III				VAR	VAR
							61,991.	
		AG SUPERFUN	D				VAR	VAR
							990,791.	
		BERKSHIRE C.	APITAL VI				VAR	VAR
							-70,382.	
		TPG-AXON CA	PTTAL				VAR	VAR
		110 121011 011					3,044.	V-1-2-C
		CENTED AT CAR	ALMOM TIT				777	7770
		GENERAL CAT.	ALISI III				VAR 1,789,557.	VAR
		TOURMALET M	ATAWIN				VAR 400,000.	VAR
							400,000.	
		GOLDEN GATE	II ANNEX				VAR	VAR
							656,745.	
ISA								

ALFRED P. SLOAN FOUNDATION

CA	CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME								
Kind of F	Property		Desc	ription		or D	acquired	Date sold	
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj. basis as of	Excess of FMV over	Ĭ	Gain or		
expenses of sale	allowable	basis	12/31/69	12/31/69	adj basis		(loss)		
							113.0		
		RESOURCE CAR	PITAL III				VAR 225,608.	VAF	
							223,000.		
		BROADREACH F	REALTY I				VAR	VAF	
							765,148.		
							177.0	777 T	
		SPINNAKER GI	OBAL OPP				VAR 185,533.	VAR	
							103,333.		
		ACACIA CREDI	IT 9-A				VAR	VAR	
							456,820.		
		WYPER CAPITA	. T				VAR	777.0	
		WIPER CAPILE	УГ				-166,780.	VAR	
							100,700.		
		TIGER ASIA					VAR	VAR	
							1,949,258.		
		DODGE & COX					VAR	VAR	
		DODGE & COM					2.	VIII	
		SHORT TERM C	CASH				VAR	VAR	
							4,371.		
		OZ OVERSEAS					VAR	VAR	
							-49,804.		
		MARSICO CAPI	TAL				VAR 1,040.	VAR	
							1,040.		
		REGIMENT CAP	PITAL IV				VAR	VAR	
							1,847,273.		
		PARK WEST					VAR	VAR	
		PARK WEST					4,403,647.	AAV	
						Ш			

ALFRED P. SLOAN FOUNDATION

C	CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME							
	Property			ription		or D	Date acquired	Date sold
Gross sale	Depreciation allowed/	Cost or other	FMV as of	Adj. basis as of	Excess of FMV over		Gain or	
price less expenses of sale	allowable	basis	12/31/69	12/31/69	adj basis		(loss)	
							143.0	777.
		PRIVATVARDE					VAR -98,543.	VAF
							70,313.	
		GAOLING-HILI	LHOUSE				VAR	VAF
							6,840,572.	
		GINALE III						
		SUMMIT VENTU	JRES VI				VAR 2,822,615.	VAF
							2,022,013.	
		GAIN FROM K-	-1'S NOT ON	BOOKS			VAR	VAF
							39777834.	
rotal gain(L	0SS)						120525813.	
2011112 011111(12								
						\perp		

Form 990PF - General Explanation Attachment 1

Alfred P. Sloan Foundation Reason for Amended Return

Form 990-PF is being amended for the Alfred P. Sloan Foundation ("Foundation") for tax year ended December 31, 2013 to reflect a change in the 2013 revenue and expense items, resulting excise tax liability and qualified distributions.

While preparing the 2014 Form 990-PF, management discovered inadvertent errors in the 2013 Form 990-PF. The inadvertent errors related to the reporting of the revenue and expense items from the Foundation's investments in limited partnerships. The Foundation also inadvertently reported 2012 audited financial statement values on the 2013 Form 990-PF, Part I, Column B, lines 1 through 11 in place of the 2013 audited financial statement values.

In addition to the changes to Part I, Column B, The Foundation analyzed if the 2013 operational and administrative expenses were allocated correctly between investment expenses, allowed as a deduction in Column B, and disbursements for charitable purpose, in Column D. The Foundation concluded that he allocation of salaries and benefits was not a proper reflection in terms of efforts spent generating the investment revenue.

As a result of the review, The Foundation's tax liability for year end 2013 decreased by \$477,474, all of which The Foundation is requesting to have credited to future years and The Foundation's 2013 Undistributed Income increased to \$26,291,970. The chart below is a summary of changes reflected in the amended 2013 Form 990-PF.

	Originally Filed	Amended	Change
Part I, Col B, Line 12 Total Investment Revenue	\$211,037,758	\$178,193,449	\$(32,844,309)
Part I, Col B, Line 26 Total Investment Expenses	\$(9,652,216)	\$(24,555,349)	\$(14,903,133)
Part I, Col B, Line 27b Net Investment Income	\$201,385,542	\$153,638,100	\$(47,747,442)
Part VI, Line 1b, Investment Tax	\$2,013,855	\$1,536,381	\$(477,474)
Part VI, Line 7 Payments and Credits	\$(3,961,565)	\$(3,966,955)	\$(5,390)
Part VI, Line 11 Overpayment Credit	\$1,947,710	\$2,430,574	\$482,864

	Originally Filed	Amended	Change
Part I, Col D, Line 26 Total Disbursements for Charitable Purpose	\$92,091,807	\$91,114,851	\$(976,955)
Part XIII, Undistributed Income, Line 6f	\$23,973,912	\$26,291,970	\$2,318,058

SUPPORT FOR BASIC RESEARCH PART IX-A, LINE 1

THE FOUNDATION BELIEVES THAT A CAREFULLY REASONED AND SYSTEMATIC UNDERSTANDING OF THE FORCES OF NATURE AND SOCIETY, WHEN APPLIED INVENTIVELY AND WISELY, CAN LEAD TO A BETTER WORLD FOR ALL. GRANTMAKING INITIATIVES IN STEM RESEARCH SEEK TO EXPAND THAT UNDERSTANDING BY FUNDING ORIGINAL, HIGH-QUALITY RESEARCH IN SCIENCE, TECHNOLOGY, ENGINEERING, AND MATHEMATICS. ALSO FUNDED THROUGH THIS AREA ARE PROGRAMS THAT EXAMINE THE ETHICAL AND SOCIETAL RISKS ASSOCIATED WITH THE PURSUIT OF SCIENTIFIC KNOWLEDGE AND REGULATORY MEASURES DESIGNED TO MITIGATE THOSE RISKS.

SUPPORT FOR PUBLIC UNDERSTANDING OF SCIENCE PART IX-A, LINE 2

GRANTMAKING IN THIS PROGRAM AIMS TO ENHANCE PEOPLE'S LIVES BY PROVIDING A BETTER UNDERSTANDING OF THE INCREASINGLY SCIENTIFIC AND TECHNOLOGICAL ENVIRONMENT IN WHICH WE LIVE, AND TO CONVEY SOME OF THE CHALLENGES AND REWARDS OF THE SCIENTIFIC AND TECHNOLOGICAL ENTERPRISE AND OF THE LIVES OF THE MEN AND WOMEN WHO UNDERTAKE IT. THE PROGRAM SUPPORTS THE USE OF BOOKS, FILM, RADIO, TELEVISION, THEATER, AND OTHER EFFORTS TO REACH A WIDE GENERAL AUDIENCE.

SUPPORT FOR ECONOMIC PERFORMANCE AND QUALITY OF LIFE PART IX-A, LINE 3

THE ALFRED P. SLOAN FOUNDATION BELIEVES THAT A THEORY-BASED, EMPIRICALLY-TESTED UNDERSTANDING OF THE U.S. ECONOMY AND THE U.S. WORKFORCE IS ESSENTIAL TO IMPROVING THE LIVES OF ALL AMERICANS. IN THIS PROGRAM AREA, THE FOUNDATION FUNDS GRANTS TO SUPPORT HIGH-QUALITY RESEARCH AND PROGRAMS TO ENHANCE U.S. ECONOMIC PERFORMANCE AND THE QUALITY OF AMERICAN LIFE.

SUPPORT FOR DIGITAL TECHNOLOGY PART IX-A, LINE 4

THIS PROGRAM SEEKS TO BETTER OUR UNDERSTANDING OF THE RELATIONSHIP BETWEEN TECHNOLOGY, INFORMATION, AND SOCIETY, PRIMARILY THROUGH RESEARCH ON AND THE DEVELOPMENT OF DIGITAL INFORMATION TECHNOLOGY FOR THE CONDUCT OF SCHOLARLY RESEARCH AND PUBLIC ENGAGEMENT WITH KNOWLEDGE. GRANTMAKING IN THIS PROGRAM FOCUSES ON THREE DISTINCT SUB-AREAS:

DATA AND COMPUTATIONAL RESEARCH GRANTS RELATED TO HOW INFORMATION TECHNOLOGY ENABLES NEW FORMS OF DATA-INTENSIVE RESEARCH.

SCHOLARLY COMMUNICATION

GRANTS RELATED TO HOW INFORMATION TECHNOLOGY MAY CHANGE THE DISSEMINATION AND EVALUATION OF SCHOLARSHIP.

UNIVERSAL ACCESS TO KNOWLEDGE GRANTS RELATED TO DIGITIZING KNOWLEDGE AND INCREASING ACCESS TO THAT KNOWLEDGE.

SUMMARY OF DIRECT CHARITABLE ACTIVITIES PART IX-A

DURING THE COURSE OF THE YEAR, THE FOUNDATION CONDUCTS A NUMBER OF CONFERENCES AND SEMINARS IN LINE WITH ITS PROGRAM INTERESTS. IN ADDITION, IT ALSO PUBLISH AND DISSEMINATE THE RESULTS OF SUCH RESEARCH, REPORTS OF EDUCATIONAL CONFERENCES, OR SIMILAR EDUCATIONAL MATERIAL THROUGH ITS GRANTEES. IT IS IMPOSSIBLE TO QUANTIFY THE NUMBER OF BENEFICIARIES SERVED.

FORM 990PF, PART I - OTHER INCOME

	REVENUE	
	AND	NET
	EXPENSES	INVESTMENT
DESCRIPTION	PER BOOKS	INCOME
GRANT REFUNDS:		
FELLOWSHIP PROGRAMS	20,776.	
OTHER THAN FELLOWSHIP PROGRAMS	160,527.	
RECV'D WITH GRANT TRANSFERS	676,935.	
ROYALTY INC: BOOKS, VIDEOS, SOFTWARE	795.	795.
INCOME FROM LP INV NOT INCLUDED ABOVE		18,389,483.
TOT	ALS <u>859,033.</u>	18,390,278.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT <u>INCOME</u>	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
CLIFTON BUDD & DEMARIA CSC UNITED STATES CORP		165. 994.			1,602. 994.
PATTERSON BELKNAP		63,618.			63,447.
PATRICIA M. CARDI		11,153.			11,153.
SIMPSON THATCHER		134,306.	134,306.		
CT LIEN SOLUTIONS		557.	557.		
	TOTALS	210,793.	134,863.		77,196.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT <u>INCOME</u>	ADJUSTED NET <u>INCOME</u>	CHARITABLE PURPOSES
GRANT THORNTON LLP O'CONNEL AND COMPANY		146,810. 1,180.	46,580. 590.		37,895. 590.
	TOTALS	147,990.	47,170.		38,485.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT _INCOME_	ADJUSTED NET <u>INCOME</u>	CHARITABLE PURPOSES
AVIN CUMD CODAUCC HAUD	6,742.	6,742.		
AKIN, GUMP, STRAUSS, HAUER BACKSTOP SOLUTIONS GROUP, LLC	42,350.	42,350.		
BANK OF NEW YORK MELLON	566,590.	566,590.		
BLOOMBERG	40,092.	40,092.		
BURGISS GROUP	18,300.	18,300.		
CAMBRIDGE ASSOCIATES	52,250.	52,250.		
COLCHESTER GLOBAL	305,609.	305,609.		
AMERICAN EXPRESS	500.	500.		
ARBOR RESEARCH & TRADING	7,500.	7,500.		
GRANT'S INTEREST RATE OBSERVER	1,855.	1,855.		
MARSICO CAPITAL	31.	31.		
NED DAVIS RESEARCH	31,250.	31,250.		
NEW YORK STOCK EXCHANGE, INC	1,091.	1,091.		
PROA PARTNERS	433,806.	433,806.		
PZENA INVESTMENT MANAGEMENT	502,285.	502,285.		
SILCHESTER INTERNATIONAL	484,486.	431,674.		
MISCELLANEOUS	221.	221.		
CHECKFUNDMANAGER LLC	8,195.	8,195.		
CLIFTON MCP HEDGE	14,271.	14,271.		
GAOLING HILLHOUSE	72,487.	72,487.		
ILLUMINCATION ASSET MGT		2,375,922.		
LONE KAURI	146,666.	146,666.		
RGM CAPITAL	159,873.	159,873.		
SATELLITE OVERSEAS FUND	2,511.	2,511.		
YARDENI RESEARCH	5,833.	5,833.		
OTHER PROFESSIONAL FEES	472.	472.		
TOTALS	5,281,188.	5,228,376.		

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET _INCOME_	CHARITABLE PURPOSES
PROVISION FOR EXCISE TAX DELAWARE SECRETARY OF STATE LP FOREIGN TAXES FROM K-1S	1,500,000. 275.	760,096.		275.
TOTALS	1,500,275.	760,096.		275.

FORM 990PF, PART I - OTHER EXPENSES

		REVENUE			
		AND	NET	ADJUSTED	
		EXPENSES	INVESTMENT	NET	CHARITABLE
DESCRIPTION		PER BOOKS	INCOME	INCOME	PURPOSES
HONORARIA		176,551.	6,021.		861,960.
PROGRAM OUTREACH		142,693.			142,693.
PROGRAM RELATED EXPENSES		20,000.			20,000.
BOOKS AND SUBSCRIPTIONS		55,920.	1,035.		54,199.
COMPUTER SYSTEM SUPPORT		282,600.	45,213.		235,088.
ELECTRICITY		14,614.	5,896.		8,718.
FURNITURE AND EQUIPMENT		13,497.	2,429.		11,508.
INSURANCE		79,956.	12,897.		67,059.
SUPPLIES AND SERVICES		278,419.	29,976.		251,389.
TELEPHONE AND POSTAGE		101,030.	17,737.		92,226.
PLACEMENT FEES		34,171.			34,171.
TRAINING		56,827.	8,624.		48,205.
LP EXPENSES FROM K-1S			13,146,082.		
	TOTALS	1,256,278.	13,275,910.		1,827,216.

ALFRED P. SLOAN FOUNDATION 13-1623877

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>	
DOMESTIC EQUITIES	79,448,438.	145,512,350.	
TOTALS	79,448,438.	145,512,350.	

ALFRED P. SLOAN FOUNDATION 13-1623877

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION		ENDING BOOK VALUE	ENDING <u>FMV</u>
FIXED INCOME		107,344,623.	104,982,138.
TO	TALS	107,344,623.	104,982,138.

ALFRED P. SLOAN FOUNDATION 13-1623877

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

DECODIDETON	ENDING	ENDING
DESCRIPTION	BOOK VALUE	<u>FMV</u>
REAL ESTATE	54,715,303.	61,575,745.
PRIVATE EQUITY	189,638,555.	254,644,529.
GLOBAL SOVEREIGN BOND FUND	54,468,846.	113,597,746.
DOMESTIC EQUITY	73,240,724.	129,038,930.
LONG/SHORT EQUITY	104,807,567.	159,387,038.
INTERNATIONAL EQUITY	100,476,971.	240,745,909.
INDEPENDENT RETURN	376,526,500.	468,687,617.
TOTALS	953,874,466.	1,427,677,514.

FORM 990PF, PART II - OTHER LIABILITIES

ENDING BOOK VALUE DESCRIPTION

928,555. DEFERRED COMPENSATION 766,181. ACCRUED FEDERAL EXCISE TAX

6,270,079. ACCRUED POST RETIREMENT BENEFIT

ACCRUED EXPENSES PAYABLE 113,235.

8,078,050. TOTALS

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION AMOUNT

AMOUNTS NOT YET RECOGNIZED AS A COMPONENT OF NET PERIODIC BENEFIT COST

698,310.

TOTAL

698,310.

ATTACHMENT 12

FORM 990PF, PART VII-A, LINE 11A-TRANSFERS FROM CONTROLLED ENTITY STATEMENT

TOTAL AMOUNT

CONTROLLED ENTITY'S NAME: SLOAN PROJECTS LLC

CONTROLLED ENTITY'S NAME: SLOAN PROJECTS LLC
CONTROLLED ENTITY'S ADDRESS: 630 FIFTH AVENUE, SUITE 2200

CITY, STATE & ZIP: NEW YORK, NY 10111

EIN: 27-0358378

TRANSFER AMOUNT:

EXPLANATION OF TRANSFER FROM CONTROLLED ENTITY:

SLOAN PROJECTS IS A WHOLLY OWNED SUBSIDIARY. THERE WAS NO TRANSFER AMOUNT.

ATTACHMENT 13

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: ARIUS ASSOCIATION

GRANTEE'S ADDRESS: TAFERNSTRASSE 11 CH-5405

CITY, STATE & ZIP: BADEN CH-5405 FOREIGN COUNTRY: SWITZERLAND GRANT DATE: 06/11/2013

GRANT AMOUNT: 150,000.

GRANT PURPOSE: EFFORTS TO HELP INITIATE WORKING GROUPS ON REGIONAL

REPOSITORIES FOR SPENT NUCLEAR FUEL

AMOUNT EXPENDED: 45,000.

ANY DIVERSION? NO

DATES OF REPORTS: 5/23/2014 VERIFICATION DATE: 05/23/2014

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: BROOKE BOREL GRANTEE'S ADDRESS: 289 9TH STREET

CITY, STATE & ZIP: BROOKLYN, NY 11215

GRANT DATE: 11/20/2013

GRANT AMOUNT: 40,000.

GRANT PURPOSE: SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON THE

HISTORY OF THE BED BUG AND ITS IMPACT

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: 7/22/2014 VERIFICATION DATE: 07/22/2014

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: CATHERINE PRICE

GRANTEE'S ADDRESS: 1937 MOUNT VERNON STREET CITY, STATE & ZIP: PHILADELPHIA, PA 19130

GRANT DATE: 02/27/2013

GRANT AMOUNT: 50,675.

GRANT PURPOSE: FOR SUPPORT FOR TRAVEL AND RESEARCH ON A BOOK ABOUT THE

SCIENCE AND HISTORY OF VITAMINS

AMOUNT EXPENDED: 50,675.

ANY DIVERSION? NO

DATES OF REPORTS: 12/18/2013, 12/04/2013

VERIFICATION DATE: 12/04/2013

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: CENTER FOR OPEN SCIENCE GRANTEE'S ADDRESS: 2857 STRATFORD GLEN WAY CITY, STATE & ZIP: CHARLOTTESVILLE, VA 22911

FOREIGN COUNTRY: FRANCE
GRANT DATE: 06/11/2013
GRANT AMOUNT: 500,000.

GRANT PURPOSE: HELP MOVE THE OPEN SCIENCE FRAMEWORK TO VERSION 1.0

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

AND FOSTER A COMMUNITY.

AMOUNT EXPENDED: 269,673.

ANY DIVERSION? NO

DATES OF REPORTS: 6/1/2014 VERIFICATION DATE: 06/01/2014

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: CHRINON LIMITED

GRANTEE'S ADDRESS: ASTON HOUSE, CORNWALL AVE N3 1LF

CITY, STATE & ZIP: LONDON

FOREIGN COUNTRY: UNITED KINGDOM GRANT DATE: 06/11/2013

GRANT AMOUNT: 644,943.

GRANT PURPOSE: TO LINK OPEN DATA ABOUT CORPORATE LEGAL ENTITIES TO

COMPANY-RELATED FILINGS, LICENSES, ETC.

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: CHRISTIE WILCOX
GRANTEE'S ADDRESS: 738 EKEKELA PLACE
CITY, STATE & ZIP: HONOLULU, HI 96817

GRANT DATE: 11/20/2013

GRANT AMOUNT: 25,000.

GRANT PURPOSE: SUPPORT FOR THE RESEARCH AND WRITING OF A COMPREHENSIVE

SCIENCE BLOGGING GUIDE FOR ALL WRITERS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: DAVID MINDELL
GRANTEE'S ADDRESS: BUILDING E51-185
CITY, STATE & ZIP: CAMBRIDGE, MA 02139

GRANT DATE: 11/20/2013

GRANT AMOUNT: 50,000.

GRANT PURPOSE: SUPPORT FOR RESEARCH AND WRITING OF A BOOK ABOUT

AUTOMATION AND ROBOTICS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: FINANCE FLOWS
GRANTEE'S ADDRESS: 21 WEST STREEST

CITY, STATE & ZIP: NEW YORK, NY 10006

GRANT DATE: 04/11/2013

GRANT AMOUNT: 20,000.

GRANT PURPOSE: TO ESTABLISH A NON-PROFIT DESIGNED TO LAUNCH A TWO

YEAR FELLOWSHIP WORK PROGRAM

AMOUNT EXPENDED: 20,000.

ANY DIVERSION? NO

DATES OF REPORTS: 6/4/2013 VERIFICATION DATE: 06/04/2013

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: FINANCIAL STABILITY BOARD

GRANTEE'S ADDRESS: CENTRALBAHNPLATZ 2

CITY, STATE & ZIP: BASEL

FOREIGN COUNTRY: SWITZERLAND GRANT DATE: 11/25/2013

GRANT AMOUNT: 125,000.

GRANT PURPOSE: TO FUND INITIAL MEETINGS AND OPERATIONS FOR DIRECTORS

OF A GLOBAL LEGAL ENTITY

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: 9/2/2014 VERIFICATION DATE: 09/02/2014

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: KATHERINE EBAN FINKELSTEIN

GRANTEE'S ADDRESS: 45 PARK PLACE

CITY, STATE & ZIP: BROOKLYN, NY 11217

GRANT DATE: 11/20/2013

GRANT AMOUNT: 50,000.

GRANT PURPOSE: SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON THE

DANGERS OF AMERICA'S USE OF GENERIC DRUGS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: STUART FIRESTEIN

GRANTEE'S ADDRESS: 460 RIVERSIDE DRIVE #31

CITY, STATE & ZIP: NEW YORK, NY 10027

GRANT DATE: 11/20/2013

GRANT AMOUNT: 40,000.

GRANT PURPOSE: SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON

FAILURE AND ITS ROLE IN PUBLIC SCIENCE

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: GINO SEGRE

GRANTEE'S ADDRESS: UNIVERSITY OF PENN

CITY, STATE & ZIP: PHILADELPHIA, PA 19104

GRANT DATE: 11/20/2013

GRANT AMOUNT: 16,100.

GRANT PURPOSE: SUPPORT FOR THE RESEARCH AND WRITING OF A BIOGRAPHY OF

PHYSICIST ENRICO FERMI

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: IPCO-INTERPOL

GRANTEE'S ADDRESS: 200, QUAI CHARLES DE GAULLE 69006

CITY, STATE & ZIP: LYON
FOREIGN COUNTRY: FRANCE
GRANT DATE: 11/30/2011

GRANT AMOUNT: 1,600,000.

GRANT PURPOSE: TO PROVIDE PARTIAL SUPPORT TO DEVELOP INTERPOL'S

RADIOLOGICAL AND NUCLEAR TERROR PREV. PROG.

AMOUNT EXPENDED: 564,374.

ANY DIVERSION? NO

DATES OF REPORTS: 11/4/2013, 10/1/2013

VERIFICATION DATE: 11/04/2013

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: ROBERT KANIGEL

GRANTEE'S ADDRESS: 37 RICHDALE AVENUE #314 CB2 1HL

CITY, STATE & ZIP: CAMBRIDGE

FOREIGN COUNTRY: UNITED KINGDOM GRANT DATE: 02/27/2013

GRANT AMOUNT: 50,000.

GRANT PURPOSE: TO RESEARCH AND WRITE A BIOGRAPHY OF JANE JACOBS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: OPEN KNOWLEDGE FOUNDATION GRANTEE'S ADDRESS: 37 PANTON STREET CB2 1HL

CITY, STATE & ZIP: CAMBRIDGE

FOREIGN COUNTRY: UNITED KINGDOM GRANT DATE: 12/05/2013

GRANT AMOUNT: 79,350.

GRANT PURPOSE: TO PROTOTYPE INTEROPERABILITY BETWEEN CITIZEN SCIENCE

PLATFORMS

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: SOCIAL SCIENCE RESEARCH NETWORK

GRANTEE'S ADDRESS:

CITY, STATE & ZIP: ROCHESTER, NY 14618

GRANT DATE: 12/16/2013

GRANT AMOUNT: 20,000.

GRANT PURPOSE: TO DEVELOP A STANDARDIZED AND REUSABLE TOOL SET FOR

TESTING EXPERIMENTAL RECOMMENDATION ALGORITHM

AMOUNT EXPENDED:

ANY DIVERSION? NO

DATES OF REPORTS: VERIFICATION DATE:

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

GRANTEE'S NAME: TIAA-CREF INSTITUTE

GRANTEE'S ADDRESS:

CITY, STATE & ZIP: NEW YORK, NY 10017

GRANT DATE: 04/10/2013

GRANT AMOUNT: 104,650.

GRANT PURPOSE: TO CONVENE A SELECT GROUP OF POLICYMAKERS, THINK TANKS,

ACADEMIC RESEARCHERS & PRESS.

AMOUNT EXPENDED: 104,650.

ANY DIVERSION? NO

DATES OF REPORTS: 6/16/2014 VERIFICATION DATE: 06/16/2014

RESULTS OF VERIFICATION:

GRANT FUNDS EXPENDED FOR PURPOSES VERIFIED BY REPORTS.

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL L JOSKOW 630 FIFTH AVENUE 2200 NEW YORK, NY 10111	TRUSTEE/PRESIDENT 40.00	789,625.	79,228.	0
WILLIAM B PETERSEN 630 FIFTH AVENUE NEW YORK, NY 10111	SNR VP/CHIEF INVST. OFFICER 40.00	996,955.	94,139.	0
CHRISTOPHER T SIA 630 FIFTH AVENUE NEW YORK, NY 10111	TREASURER/CHIEF TECH OFFICER 40.00	213,500.	89,476.	0
LEISLE LIN 630 FIFTH AVENUE NEW YORK, NY 10111	SNR VP FIN. & OPS./SECRETARY 40.00	357,225.	94,114.	0
GAIL M PESYNA 630 FIFTH AVENUE	VP OF HR & PROGRAM MANAGEMENT 40.00	296,360.	81,429.	0

ATTACHMENT 14

NEW YORK, NY 10111

ATTACHMENT	14	(CONT'D)
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NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DORON WEBER 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT OF PROGRAMS 40.00	307,875.	97,264.	0
DANIEL GOROFF (AS OF 3/20/2013) 630 FIFTH AVENUE NEW YORK, NY 10111	VICE PRESIDENT OF PROGRAMS 40.00	312,299.	80,920.	0
FREDERICK HENDERSON 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0
FREEMAN A HRABOWSKI III 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0
JAMES M POTERBA 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0
KEVIN BURKE 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0

ATTACHMENT	14	(CONT'D)
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NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MARTA TIENDA 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0
MARY SCHMIDT CAMPBELL 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	19,500.	0	0
PETER S KIM 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	19,500.	0	0
RICHARD BERNSTEIN 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	27,500.	0	0
ROBERT LITTERMAN 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	25,000.	0	0
SANDRA O MOOSE 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/CHAIRMAN 2.00	30,000.	0	0

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	<u>COMPENSATION</u>	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SHEILA E WIDNALL (THRU 6/30/2013) 630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE 2.00	11,000.	0	0
MICHAEL PURUGGANAN (AS OF 7/1/2013) 630 FIFTH AVENUE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	11,000.	0	0
CYNTHIA BARNHART (AS OF 7/1/2013) 630 FIFTH AVENUE 2200 NEW YORK, NY 10111	TRUSTEE 2.00	11,000.	0	0
	GRAND TOTALS	3,553,339.	616,570.	0

ALFRED P. SLOAN FOUNDATION 13-1623877

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

990FF, PART VIII - COMPENSATION	OF THE FIVE HIGHEST FAID I		ATTACHMENT 15	
NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	
JOSEPH S. BOHRER C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	592,585.	62,466.	0
ELIZABETH BOYLAN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	264,400.	78,487.	0
KATHLEEN E. CHRISTENSEN C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	284,610.	96,603.	0
PAULA J. OLSIEWSKI C/O ALFRED P. SLOAN FOUNDATION 630 FIFTH AVENUE NEW YORK, NY 10111	PROGRAM DIRECTOR 40.00	272,044.	48,275.	0
TRAVIS SHORE C/O ALFRED P. SLOAN FOUNDATION 630 FITH AVENUE NEW YORK, NY 10111	INVESTMENT DIRECTOR 40.00	427,946.	94,139.	0
	TOTAL COMPENSATION	1,841,585.	379,970.	

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

	ATTACHMENT 16		
NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION	
ILLUMINATION ASSET MANAGEMENT 11755 WILSHIRE BOULEVARD SUITE 1600 LOS ANGELES, CA 90025	INVESTMENT MGMT FEES	2,375,922.	
BANK OF NEW YORK MELLON 135 SANTILLI HWY EVERETT, MA 02149	INVESTMENT CUSTODY	566,590.	
PZENA INVESTMENT MANAGEMENT LLC 120 W 45TH ST #20 NEW YORK, NY 10036	INVESTMENT MGMT FEES	502,285.	
SILCHESTER INTERNATIONAL INVESTORS 780 3RD AVE #4201 NEW YORK, NY 10017	INVESTMENT MGMT FEES	484,486.	
PROA 1 SCOTTS RD SINGAPORE	INVESTMENT MGMT FEES	433,806.	
TOTAL COMPENSATI	ON	4,363,089.	

ATTACHMENT 17

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

MR. PAUL L. JOSKOW - GRANTS 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 212-649-1649

MS. GAYLE MYERSON - FELLOWSHIPS 630 FIFTH AVENUE, SUITE 2200 NEW YORK, NY 10111 212-649-1649

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

GRANTS:

INFORMATION ABOUT THE APPLICANT, THE WORK THE APPLICANT PROPOSES TO DO, THE COSTS AND DURATION OF THE WORK, AND THE TAX STATUS OF THE ORGANIZATION THAT WOULD ADMINISTER THE GRANT. THE APPLICATION SHOULD NOT EXCEED 20 DOUBLE-SPACED PAGES. PROPOSALS BY E-MAIL ARE ACCEPTED.

FELLOWSHIPS:

CANDIDATES ARE NOMINATED BY DEPARTMENT HEADS OR OTHER SENIOR SCHOLARS. DIRECT APPLICATIONS ARE NOT ACCEPTED. NOMINATION FORMS ARE AVAILABLE ON REQUEST ADDRESSED TO MS. GAYLE MYERSON OR ONLINE TO WWW.SLOAN.ORG/FELLOWSHIPS. IN ADDITION TO THIS FORM, THE NOMINATOR SHOULD SUBMIT A LETTER DESCRIBING THE CANDIDATE'S QUALIFICATIONS AND MUST SEE THAT THE FOUNDATION RECEIVES 3 SUPPORTING LETTERS DIRECTLY FROM OTHER SCHOLARS, PREFERABLY NOT AT THE SAME INSTITUTION. A CV, A LIST OF THE CANDIDATE'S PUBLICATIONS, A ONE PAGE STATEMENT AS WELL AS ONE COPY OF EACH OF NO MORE THAN TWO REPRESENTATIVE PUBLICATIONS SHOULD ACCOMPANY THE NOMINATION FORM AND LETTER.

ATTACHMENT 19

990PF, PART XV - SUBMISSION DEADLINES

GRANTS: NONE

FELLOWSHIPS: NOMINATIONS ARE DUE BY SEPTEMBER 15 FOR THOSE AWARDS WHICH ARE AWARDED THE FOLLOWING SEPTEMBER.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

GRANTS:

THE FOUNDATION MAKES GRANTS IN SUPPORT OF RESEARCH AND EDUCATION IN SCIENCE, TECHNOLOGY, AND ECONOMICS. EXCEPT IN SPECIAL CIRCUMSTANCES THE FOUNDATION DOES NOT MAKE GRANTS TO INDIVIDUALS, TO INSTITUTIONS OUTSIDE THE UNITED STATES, TO FOR-PROFIT INSTITUTIONS, OR IN AREAS RELATED TO RELIGION, MEDICAL RESEARCH, OR THE HUMANITIES. THE FOUNDATION DOES NOT MAKE GRANTS AIMED AT PRE-COLLEGE STUDENTS AND DOES NOT MAKE GRANTS FOR ENDOWMENTS OR FUNDRAISING DRIVES. THE FOUNDATION DOES NOT MAKE GRANTS TO POLITICAL CAMPAIGNS, TO SUPPORT POLITICAL ACTIVITIES OR TO LOBBY FOR OR AGAINST PARTICULAR PIECES OF LEGISLATION.

FELLOWSHIPS:

THE ALFRED P. SLOAN FOUNDATION RESEARCH FELLOWSHIPS SEEK TO STIMULATE FUNDAMENTAL RESEARCH BY EARLY-CAREER SCIENTISTS AND SCHOLARS OF OUTSTANDING PROMISE. THESE TWO-YEAR FELLOWSHIPS ARE AWARDED YEARLY TO RESEARCHERS WHO HOLD A TENURE TRACK (OR EQUIVALENT) POSITION AT A COLLEGE, UNIVERSITY, OR OTHER DEGREE GRANTING INSTITUTION IN THE UNITED STATES OR CANADA IN RECOGNITION OF DISTINGUISHED PERFORMANCE AND A UNIOUE POTENTIAL TO MAKE SUBSTANTIAL CONTRIBUTIONS TO THEIR FIELD. CANDIDATES FOR SLOAN RESEARCH FELLOWSHIPS MAY BE NO MORE THAN SIX YEARS FROM COMPLETION OF THE PH.D. AS OF THE YEAR OF NOMINATION UNLESS SPECIAL CIRCUMSTANCES SUCH AS MILITARY SERVICE, A CHANGE OF FIELD OR CHILD REARING ARE INVOLVED OR UNLESS THEY HAVE HELD A FACULTY APPOINTMENT FOR LESS THAN TWO YEARS. THEY ARE REQUIRED TO HOLD A PH.D. (OR EQUIVALENT) IN CHEMISTRY, PHYSICS, MATHEMATICS, ECONOMICS, COMPUTER SCIENCE, NEUROSCIENCE, OCEAN SCIENCES, OR COMPUTATIONAL AND EVOLUTIONARY MOLECULAR BIOLOGY OR IN A RELATED INTERDISCIPLINARY FIELD.

ATTACHMENT 21

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ALASKA, ANCHORAGE	NONE	TO FUND FOR AN ADDITIONAL THREE YEARS THE	32,000.
3211 PROVIDENCE DRIVE	PC	RECRUITMENT AND RETENTION PORTION OF THE SLOAN	
ANCHORAGE, AK 99508		INDIGENOUS GRADUATE PARTNERSHIP PROGRAM AT THE	
		UNIVERSITY OF ALASKA.	
AMERICAN ACADEMY OF ARTS AND SCIENCES	NONE	TO PROVIDE FURTHER FUNDING FOR THE GLOBAL NUCLEAR	104,128.
136 IRVING STREET	PC	FUTURE INITIATIVE.	
CAMBRIDGE, MA 02138			
AMERICAN ASSEMBLY	NONE	TO DEVELOP A VISION AND PROTOTYPE FOR A	210,000.
475 RIVERSIDE DRIVE, SUITE 456	PC	LARGE-SCALE ONLINE DATABASE OF UNIVERSITY COURSE	
NEW YORK, NY 10115		SYLLABI.	
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC	NONE	TO SUPPORT A ONE-DAY SYMPOSIUM ON MICROBIOLOGY OF	57,595.
1200 NEW YORK AVENUE, NW	PC	THE BUILT ENVIRONMENT.	
WASHINGTON, DC 20005			
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC	NONE	TO PILOT A PUBLIC POLICY FELLOWSHIP PROGRAM FOR	124,604.
1200 NEW YORK AVENUE, NW	PC	PLACING BEHAVIORAL AND SOCIAL SCIENTISTS IN THE	
WASHINGTON, DC 20005		FEDERAL GOVERNMENT.	
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC	NONE	TO ADMINISTER A PUBLIC POLICY FELLOWSHIP FOR	350,000.
1200 NEW YORK AVENUE, NW	PC	PLACING BEHAVIORAL AND SOCIAL SCIENTISTS IN THE	
WASHINGTON, DC 20005		FEDERAL GOVERNMENT.	

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND				
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
AMERICAN COUNCIL ON EDUCATION	NONE	TO DEEPEN UNDERSTANDING WITHIN HIGHER EDUCATION	400,000.	
ONE DUPONT CIRCLE, NW	PC	AS TO HOW INSTITUTIONS CAN SUPPORT SENIOR FACULTY		
WASHINGTON, DC 20036-1193		CHOOSING TO WORK.		
AMERICAN ECONOMIC ASSOCIATION INC	NONE	TO LAUNCH A STUDY REGISTRY FOR RANDOMIZED	62,402.	
2014 BROADWAY, STE 305	PC	CONTROLLED TRIALS IN ECONOMICS.		
NASHVILLE, TN 37203				
AMERICAN FILM INSTITUTE INC.	NONE	TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS	96,000.	
2021 NORTH WESTERN AVENUE	PC	TO CREATE MORE REALISTIC AND DRAMATIC STORIES		
LOS ANGELES, CA 90027		ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE		
		STEREOTYPES.		
AMERICAN INDIAN COLLEGE FUND	NONE	TO INCREASE THE NUMBER OF FACULTY WHO POSSESS	100,000.	
8333 GREENWOOD BLVD.	PC	GRADUATE DEGREES IN MATHEMATICS, NATURAL SCIENCE,		
DENVER, CO 80221		AND ENGINEERING AT TRIBAL COLLEGES AND		
		UNIVERSITIES.		
AMERICAN UNIVERSITY	NONE	TO SUPPORT THE DEVELOPMENT OF BEST PRACTICES FOR	16,662.	
4400 MASSACHUSETTS AVENUE, NW	PC	ORPHAN WORKS THAT WILL EMPOWER LIBRARIES,		
WASHINGTON, DC 20016-8061		ARCHIVES, AND OTHER ORGANIZATIONS IN THEIR		
		DIGITIZATION EFFORTS.		
ARIUS ASSOCIATION	NONE	TO CONTINUE EFFORTS TO HELP INITIATE WORKING	45,000.	
TAFERNSTRASSE 11	NC	GROUPS ON REGIONAL REPOSITORIES FOR SPENT NUCLEAR		
BADEN CH-5405		FUEL AND RADIOACTIVE WASTES IN ARAB REGIONS AND		
SWITZERLAND		SOUTH EAST ASIA.		

ATTACHMENT 21

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ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ARIZONA STATE UNIVERSITY	NONE	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE	358,642.
ARIZONA STATE	PC	OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK	
TEMPE, AZ 85287-0705		REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT.	
ARTSTOR, INC.	NONE	TO SUPPORT A PLANNING MEETING ON POTENTIAL USES	17,451.
151 E 61ST ST	PC	OF ARTSTOR IN IMAGE-BASED NATURAL SCIENCES.	
NEW YORK, NY 10065-8112			
ASSOCIATION OF AMERICAN COLLEGES AND UNIVERSITIES	NONE	TO HOST A WORKSHOP AND DISTRIBUTE A SOURCEBOOK	31,606.
1818 R STREET NW	PC	THAT WILL ASSIST FOUNDATION LEADERS AND	
WASHINGTON, DC 20009		PRACTITIONERS TO PROMOTE ALIGNMENT BETWEEN STEM	
		CLASSROOM AND LABORATORY PRACTICE.	
ASSOCIATION OF PUBLIC AND LAND-GRANT UNIVERSITIES	NONE	TO EXAMINE THE NEED AND VALUE OF ESTABLISHING A	74,865.
1307 NEW YORK AVENUE, N.W.	PC	ROBUST NETWORK AND COMMUNICATION AMONG	
WASHINGTON, DC 20005-4722		UNIVERSITY-BASED STEM EDUCATION CENTERS TO	
		CONTRIBUTE TO TRANSFORMING UNDERGRADUATE	
		SCIENCE,	
		TECHNOLOGY, ENGINEERING AND MATHEMATICS	
		EDUCATION.	
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO SUPPORT THE SLOAN DIGITAL SKY SURVEY IV, WHICH	500,000.
UNIVERSITY OF WASHINGTON	PC	WILL STUDY THE HISTORY OF THE MILKY WAY, THE	
SEATTLE, WA 98195		EVOLUTION OF GALAXIES, AND THE EXPANSION OF THE	
		UNIVERSE AND DARK ENERGY OVER THE LAST 12 BILLION	
		YEARS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BAYLOR COLLEGE OF MEDICINE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	100,000.
1 BAYLOR PLAZA	PC	RESEARCH IN ECONOMICS.	
HOUSTON, TX 77030			
BIPARTISAN POLICY CENTER	NONE	TO BUILD BROAD-BASED SUPPORT FROM MULTIPLE	233,224.
1225 I STREET, NW	PC	STAKEHOLDER GROUPS ON OPTIONS TO ADDRESS THE	
WASHINGTON, DC 20005		NATION'S NUCLEAR WASTE CHALLENGES.	
BOSTON COLLEGE TRUSTEES	NONE	FOR A RENEWAL GRANT FOR THE BOSTON COLLEGE CENTER	849,334.
140 COMMONWEALTH AVENUE	PC	ON AGING AND WORK.	
CHESTNUT HILL, MA 02467			
UNIVERSITY OF BRITISH COLUMBIA	NONE	TO ENABLE THE BAY VIEW ALLIANCE TO ACCELERATE THE	228,574.
VANCOUVER, BC V6T 1Z4	PC	RATE OF ADAPTATION, EXPLORATION, AND EFFECTIVE	
CANADA		INTEGRATION OF METHODS OF INSTRUCTION THAT BETTER	
		SUPPORT.	
THE BROOKINGS INSTITUTION	NONE	FOR AN ANNUAL AND INDEPENDENT FORUM THAT WILL	151,336.
1775 MASSACHUSETTS AVENUE, NW	PC	IDENTIFY, ANALYZE, DISCUSS, AND PROMOTE OPTIONS	
WASHINGTON, DC 20036		FOR INTERNATIONAL MONETARY REFORM.	
THE BROOKINGS INSTITUTION	NONE	TO PUBLISH THROUGH BPEA FINANCIAL RESEARCH AND	150,000.
1775 MASSACHUSETTS AVENUE, NW	PC	ECONOMIC DATA THAT IS ACCESSIBLE, RELIABLE, AND	
WASHINGTON, DC 20036		INFLUENTIAL.	

ATTACHMENT 21

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE BROOKINGS INSTITUTION	NONE	TO DEMONSTRATE NEW WAYS AN ECONOMICS JOURNAL CAN	75,000.
1775 MASSACHUSETTS AVENUE, NW	PC	HELP CURATE, VISUALIZE, AND UPDATE THE EMPIRICAL	
WASHINGTON, DC 20036		DATA LINKED TO ITS ARTICLES.	
THE BROOKINGS INSTITUTION	NONE	TO INVESTIGATE THE DIVERGENCE OF RETIREMENT AND	190,337.
1775 MASSACHUSETTS AVENUE, NW	PC	MORTALITY TRENDS BETWEEN HIGH- AND LOW-INCOME	
WASHINGTON, DC 20036		WORKERS AND DETERMINE THE IMPACT OF THE	
		INTERACTION OF THESE TWO TRENDS ON THE INCOME	
		DISTRIBUTION OF THE AGED AND THE OPTIMAL DESIGN	
		OF PUBLIC PENSION FORMULAS.	
BROOKLYN ACADEMY OF MUSIC INC	NONE	TO PRODUCE A FEATURE-LENGTH DOCUMENTARY ON ROBERT	300,000.
30 LAFAYETTE AVENUE	PC	WILSON AND PHILIP GLASS'S HISTORIC OPERA,	
BROOKLYN, NY 11217		EINSTEIN ON THE BEACH, AND DISTRIBUTE IT FOR	
		INTERNATIONAL THEATRICAL RELEASE AND DOMESTIC	
		TELEVISION BROADCAST.	
BUSINESS-HIGHER EDUCATION FORUM	NONE	TO LAUNCH AND SCALE NEW CURRICULAR AND	115,820.
2025 M STREET NW SUITE 800	PC	PEDAGOGICAL MODELS OF INDUSTRY-HIGHER EDUCATION	
WASHINGTON, DC 20036-0000		COLLABORATION AIMED AT INCREASING THE RECRUITMENT	
		AND PERSISTENCE OF STEM STUDENTS.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELE	7 NONE	TO ANALYZE THE NEONATAL INTENSIVE CARE UNIT ROOM	200,000.
1995 UNIVERSITY AVENUE	PC	ENVIRONMENT AS A SOURCE OF MICROORGANISMS	
BERKELEY, CA 94720		COLONIZING THE GASTROINTESTINAL TRACT OF	
		PREMATURE INFANTS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO PLAN, COORDINATE, AND FACILITATE	201,832.
1995 UNIVERSITY AVENUE	PC	INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY.	
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO SUPPORT THE DEVELOPMENT OF INTERACTIVE	1,156,626.
1995 UNIVERSITY AVENUE	PC	EXPLORATION, COLLABORATION, AND PUBLICATION	
BERKELEY, CA 94720		CAPABILITIES WITHIN THE IPYTHON NOTEBOOK SOFTWARE	
		PLATFORM.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	FOR A THIRD YEAR OF FUNDING TO CONTINUE TO	192,282.
1995 UNIVERSITY AVENUE	PC	DEVELOP SOLUTIONS TO COPYRIGHT LAW OBSTACLES	
BERKELEY, CA 94720		FACED BY DIGITAL LIBRARY INITIATIVES SUCH AS THE	
		DIGITAL PUBLIC LIBRARY OF AMERICA (DPLA).	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO PRODUCE A SUITE OF MATURE R PRODUCTS THAT	179,267.
1995 UNIVERSITY AVENUE	PC	ALLOW RESEARCHERS TO EASILY ACCESS DISPARATE DATA	
BERKELEY, CA 94720		SOURCES, AND DEVELOP THE R SCIENTIFIC COMMUNITY	
		THROUGH TRAINING AND ENGAGEMENT.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO DEVELOP A STRATEGIC BUSINESS PLAN FOR A	285,000.
1995 UNIVERSITY AVENUE	PC	SUSTAINABLE INFRASTRUCTURE FOR SOCIALLY	
BERKELEY, CA 94720		RESPONSIBLE RESEARCH AND DEVELOPMENT IN SYNTHETIC	
		BIOLOGY.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO DEVELOP A STRATEGIC BUSINESS PLAN FOR A	174,367.
1995 UNIVERSITY AVENUE	PC	SUSTAINABLE INFRASTRUCTURE FOR SOCIALLY	1/4,30/.
BERKELEY, CA 94720		RESPONSIBLE RESEARCH AND DEVELOPMENT IN SYNTHETIC	
DERREBEI, CA 91/20		BIOLOGY.	
		DIOHOGI.	

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO INVESTIGATE AND PROMOTE TRANSPARENCY STANDARDS	250,000.
1995 UNIVERSITY AVENUE	PC	FOR SOCIAL SCIENCE RESEARCH.	
BERKELEY, CA 94720			
·			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO CONDUCT PRELIMINARY RESEARCH ON THE CHEMICAL	100,000.
1995 UNIVERSITY AVENUE	PC	EMISSIONS FROM HUMAN OCCUPANCY OF INDOOR SPACES.	
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
1995 UNIVERSITY AVENUE	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
BERKELEY, CA 94720		AND NEW CAREER PATHS.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO ESTABLISH AND SUPPORT THE MICROBIOMES OF BUILT	217,006.
1 SHIELDS AVENUE	PC	ENVIRONMENTS NETWORK (MICROBENET).	
DAVIS, CA 95616			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO ENABLE INTERNATIONAL ECONOMIC COMPARISONS BY	145,650.
1 SHIELDS AVENUE	PC	SUPPORTING THE PENN WORLD TABLE'S NEXT	
DAVIS, CA 95616		GENERATION.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO FURTHER ACCELERATE THE SCHOOL'S PROGRESS IN	25,000.
1 SHIELDS AVENUE	PC	ACHIEVING FACULTY CAREER FLEXIBILITY. FUNDS	
DAVIS, CA 95616		GRANTED TO INSTITUTION AS A WINNER OF THE 2012	
		ALFRED P. SLOAN AWARD FOR FACULTY CAREER	
		FLEXIBILITY IN MEDICAL SCHOOLS.	

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ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO DEVELOP A WEB-BASED FRAMEWORK FOR THE	245,721.
1 SHIELDS AVENUE	PC	VISUALIZATION OF SCIENTIFIC DATA GENERATED BY	
DAVIS, CA 95616		STANDARD DATA PIPELINES.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO PROVIDE RENEWED SUPPORT FOR THE MICROBIOLOGY	450,000.
1 SHIELDS AVENUE	PC	OF THE BUILT ENVIRONMENT NETWORK.	
DAVIS, CA 95616			
THE REGENTS OF THE UNIVERSITY OF	NONE	TO CONDUCT NEWLY-DESIGNED FIELD EXPERIMENTS ON	212,428.
CALIFORNIA, IRVINE	PC	AGE DISCRIMINATION IN U.S. LABOR MARKETS,	212,420.
	PC		
IRVINE, CA 92717		ELIMINATING POTENTIAL BIASES IN EXISTING STUDIES,	
		SO AS TO PROVIDE POLICYMAKERS WITH A FIRMER BASIS	
		FOR	
		UNDERSTANDING AGE DISCRIMINATION IN HIRING.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	TO CONDUCT ETHNOGRAPHIC RESEARCH OF SCIENTIFIC	401,063.
405 HILGARD AVENUE	PC	INFORMATION AND DATA PRACTICES.	
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	132,200.
405 HILGARD AVENUE	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	TO ADVANCE THE DEEP CARBON OBSERVATORY TOWARD ITS	600,000.
405 HILGARD AVENUE	PC	DECADAL GOALS IN THE STUDY OF THE PHYSICS AND	
LOS ANGELES, CA 90095		CHEMISTRY OF CARBON AT EXTREME CONDITIONS.	

0170665-00002 AMEND

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN F	NONE	TO EXAMINE THE HOUSE DUST FUNGAL MICROBIOME.	175,000.
513 PARNASSUS AVENUE	PC	300000 2013	
SAN FRANCISCO, CA 94143			
CARNEGIE ENDOWMENT FOR INTERNATIONAL PEACE	NONE	TO ENCOURAGE AND FACILITATE UNDERSTANDING OF HOW	100,000.
1779 MASSACHUSETTS AVENUE, NW	PC	TO DISTINGUISH BETWEEN LEGITIMATE AND	
WASHINGTON, DC 20036		ILLEGITIMATE NUCLEAR ACTIVITY.	
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO CONTINUE TO SPUR DEVELOPMENT OF INSTRUMENTS	250,000.
1530 P STREET, N.W.	PC	NEEDED FOR THE SUCCESS OF THE DEEP CARBON	
WASHINGTON, DC 20005		OBSERVATORY.	
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO SUPPORT THE DEEP CARBON OBSERVATORY	1,400,000.
1530 P STREET, N.W.	PC	INTERNATIONAL SECRETARIAT.	
WASHINGTON, DC 20005			
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO PROVIDE SEED FUNDS TO CREATE AN INTERNATIONAL	150,000.
1530 P STREET, N.W.	PC	CONSORTIUM ON DIAMOND RESEARCH AS PART OF THE	
WASHINGTON, DC 20005		DEEP CARBON OBSERVATORY.	
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO ADVANCE UNDERSTANDING OF RESERVOIRS AND FLUXES	1,250,000.
1530 P STREET, N.W.	PC	OF EARTH'S DEEP CARBON AND THUS CONTRIBUTE TO	
WASHINGTON, DC 20005		MEETING THE DECADAL GOALS OF THE DEEP CARBON	
		OBSERVATORY.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO INITIATE INTEGRATIVE AND SYNTHETIC RESEARCH	134,000.
1530 P STREET, N.W.	PC	NEEDED FOR THE DEEP CARBON OBSERVATORY TO REALIZE	
WASHINGTON, DC 20005		ITS FULL POTENTIAL BY 2019.	
CARNEGIE MELLON UNIVERSITY	NONE	TO ENCOURAGE TOP FILM STUDENTS TO WRITE	96,761.
5000 FORBES AVENUE	PC	SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY AND TO	
PITTSBURGH, PA 15213-3890		HELP THEIR CAREERS 284360	
CARNEGIE MELLON UNIVERSITY	NONE	TO CONDUCT AND PROMOTE RESEARCH ON THE CREDIT	176,449.
5000 FORBES AVENUE	PC	RATING INDUSTRY AND ITS REGULATION.	
PITTSBURGH, PA 15213-3890			
CARNEGIE MELLON UNIVERSITY	NONE	TO DEVELOP TECHNIQUES FOR DISCOVERING INTER	124,000.
5000 FORBES AVENUE	PC	COMPANY RELATIONSHIPS BY PERFORMING TEXT ANALYSIS	
PITTSBURGH, PA 15213-3890		AND NATURAL LANGUAGE PROCESSING ON UNSTRUCTURED	
		TEXT IN SEC DISCLOSURE REPORTS.	
CARNEGIE MELLON UNIVERSITY	NONE	TO STUDY THE ROLE OF TRANSPARENT DEVELOPMENT	330,408.
5000 FORBES AVENUE	PC	ENVIRONMENTS IN THE PRODUCTION OF SCIENTIFIC	
PITTSBURGH, PA 15213-3890		SOFTWARE.	
CARNEGIE MELLON UNIVERSITY	NONE	TO ENCOURAGE TOP FILM STUDENTS TO WRITE	110,000.
5000 FORBES AVENUE	PC	SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY.	
PITTSBURGH, PA 15213-3890			

ATTACHMENT 21
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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CATHERINE PRICE LLC	NONE	FOR SUPPORT FOR TRAVEL AND RESEARCH ON A BOOK	50,675.
1937 MOUNT VERNON STREET	NC	ABOUT THE SCIENCE AND HISTORY OF VITAMINS.	
PHILADELPHIA, PA 19130			
CENTER FOR OPEN SCIENCE	NONE	TO HELP MOVE THE OPEN SCIENCE FRAMEWORK (OSF) TO	331,400.
2857 STRATFORD GLEN WAY	NC	VERSION 1.0, AND TO FOSTER THE DEVELOPMENT OF AN	
CHARLOTTESVILLE, VA 22911		OPEN SOURCE/OPEN SCIENCE COMMUNITY.	
		CAMPAIGN.	
THE CHEMICAL HERITAGE FOUNDATION	NONE	TO CREATE A CHEMISTRY SET IPAD APP FOR FREE	285,740.
315 CHESTNUT STREET	PC	DOWNLOAD THAT RECREATES THE EXPERIENCE OF WORKING	
PHILADELPHIA, PA 19106		WITH A REAL CHEMISTRY SET.	
THE UNIVERSITY OF CHICAGO	NONE	TO CONSTRUCT AND CALIBRATE MODELS FOR ANALYZING	331,890.
5801 SOUTH ELLIS AVENUE	PC	HOW THE FINANCIAL SECTOR AND ITS REGULATION CAN	
CHICAGO, IL 60637		INFLUENCE THE MACROECONOMY.	
THE UNIVERSITY OF CHICAGO	NONE	TO CHARACTERIZE THE SURFACE, AIR, WATER AND	250,000.
5801 SOUTH ELLIS AVENUE	PC	HUMAN-ASSOCIATED MICROBIAL COMMUNITIES IN TWO	
CHICAGO, IL 60637		HOSPITALS TO MONITOR CHANGES FOLLOWING THE	
		INTRODUCTION OF PATIENTS AND STAFF.	
THE UNIVERSITY OF CHICAGO	NONE	TO HOLD A CONFERENCE ON ANALYZING THE COSTS AND	100,119.
5801 SOUTH ELLIS AVENUE	PC	BENEFITS OF FINANCIAL REGULATION.	
CHICAGO, IL 60637			

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHRINON LIMITED	NONE	TO LINK OPEN DATA ABOUT CORPORATE LEGAL ENTITIES	644,943.
ASTON HOUSE, CORNWALL AVE N3 1LF	NC	TO COMPANY-RELATED FILINGS, LICENSES, AND OTHER	
LONDON		GOVERNMENT DOCUMENTS.	
UNITED KINGDOM			
COLD SPRING HARBOR LABORATORY	NONE	TO PROVIDE START-UP FUNDS FOR COLD SPRING HARBOR	2,000,000.
PO BOX 534	PC	LABORATORY'S (CSHL) NEW DNA CENTER IN NEW YORK	
COLD SPRING HARBOR, NY 11724-0534		CITY.	
REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO ANALYZE THE POLITICAL COALITIONS SEEKING TO	137,197.
1800 GRANT ST STE 400	PC	INFLUENCE SHALE GAS DEVELOPMENT IN THE UNITED	
DENVER, CO 80203		STATES.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING	153,474.
1800 GRANT ST STE 400	PC	SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE	
DENVER, CO 80203		INDOOR ENVIRONMENT.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO ASSESS THE MICROBIOLOGY OF MUNICIPAL WATER	202,738.
1800 GRANT ST STE 400	PC	DELIVERY SYSTEMS IN THE U.S.	
DENVER, CO 80203			
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO ORGANIZE AND CONVENE THREE ANNUAL MEETINGS ON	100,000.
1800 GRANT ST STE 400	PC	THE MICROBIOLOGY OF THE BUILT ENVIRONMENT.	
DENVER, CO 80203			

ATTACHMENT 21
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ATTACHMENT 21 (CONT'D)

RELATIONSHIP	TΩ	SUBSTANTIAL	CONTRIBITOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO EXPAND THE SCHOLARLY UNDERSTANDING OF	128,091.
1800 GRANT ST STE 400	PC	EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS,	
DENVER, CO 80203		AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM	
		MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS,	
		AND CLASSROOM OBSERVATIONS OF STUDENTS AND	
		FACULTY AT SEVEN COLLEGES.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO EXAMINE HOW AND WHY HOUSE-ASSOCIATED MICROBIAL	100,000.
1800 GRANT ST STE 400	PC	COMMUNITIES VARY ACROSS HOMES THROUGHOUT THE	
DENVER, CO 80203		UNITED STATES.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO INVESTIGATE THE GAS-PARTICLE-SURFACE CHEMISTRY	170,000.
1800 GRANT ST STE 400	PC	OF ORGANIC CHEMICALS IN INDOOR ENVIRONMENTS.	
DENVER, CO 80203		200000	
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	TO WRITE SCREENPLAYS AND PRODUCE SHORT FILMS	73,945.
1210 AMSTERDAM AVENUE	PC	ABOUT SCIENCE AND TECHNOLOGY.	
NEW YORK, NY 10027			
COOLIDGE CORNER THEATRE FOUNDATION INC	NONE	TO SUPPORT COOLIDGE CORNER THEATRE'S SCIENCE ON	250,606.
290 HARVARD STREET	PC	SCREEN PROGRAM AND EXPAND ITS REACH TO ANOTHER 40	
BROOKLINE, MA 02446		THEATRES NATIONWIDE.	
CORNELL UNIVERSITY	NONE	TO SUPPORT A PILOT STUDY TO CHARACTERIZE CHANGES	50,000.
410 THURSTON AVENUE	PC	IN INDOOR AIRBORNE MICROBIOTA OF HOMES AFTER	
ITHACA, NY 14853		WEATHERIZATION.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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AND				
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT	
CORNELL UNIVERSITY	NONE	TO EXPAND THE UNDERSTANDING OF AGE DISCRIMINATION	174,458.	
410 THURSTON AVENUE	PC	IN EMPLOYMENT THROUGH COMPREHENSIVE EXAMINATION		
ITHACA, NY 14853		OF AGE DISCRIMINATION IN EMPLOYMENT ACT (ADEA)		
		CHARGES.		
CORPORATION FOR NATIONAL RESEARCH INITIATIVES	NONE	TO DEVELOP AND DEMONSTRATE AN OPEN-SOURCE	194,147.	
1895 PRESTON WHITE DRIVE, SUITE 100	PC	SOFTWARE PLATFORM THAT FACILITATES		
RESTON, VA 20191-5434		INTEROPERABILITY AMONG DISPARATE INFORMATION		
		SYSTEMS.		
COUNCIL FOR ECONOMIC EDUCATION	NONE	TO PROMOTE ECONOMICS EDUCATION IN NEW YORK AREA	75,000.	
SUITE 2600	PC	SCHOOLS BY RECOGNIZING INNOVATIVE TEACHERS AND		
NEW YORK, NY 10168-0000		PROMOTING THEIR METHODS.		
		SYSTEMS		
COUNCIL OF GRADUATE SCHOOLS	NONE	TO ENHANCE THE SKILLS OF FUTURE FACULTY IN THE	135,000.	
ONE DUPONT CIRCLE, NW	PC	ASSESSMENT OF STUDENT LEARNING IN STEM FIELDS.		
WASHINGTON, DC 20036-1173		400000		
COUNCIL ON FOREIGN RELATIONS, INC.	NONE	TO CONDUCT A PROGRAM OF RESEARCH AND PUBLICATION	400,000.	
58 EAST 68TH STREET	PC	ON ENERGY, ECONOMICS, AND INTERNATIONAL SECURITY,		
NEW YORK, NY 10065		ESPECIALLY RELATED TO OIL AND GAS.		
COUNCIL ON FOUNDATIONS	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	45,000.	
2121 CRYSTAL DRIVE, SUITE 700	PC	CHARITABLE COMMUNITY.		
ARLINGTON, VA 22202				

ATTACHMENT 21

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COUNCIL ON LIBRARY AND INFORMATION RESOURCES	NONE	TO CUIDDON TWO COULDING OF DATA CUIDATION	420,243.
	PC	TO SUPPORT TWO COHORTS OF DATA CURATION	420,243.
1707 L STREET NW	PC	POSTDOCTORAL FELLOWS, IN ORDER TO DEVELOP	
WASHINGTON, DC 20036-2124		EMERGING LEADERS IN THE FIELD AND ENCOURAGE	
		PERMANENT STAFFING SOLUTIONS WITHIN ACADEMIC	
		LIBRARIES.	
TRUSTEES OF DARTMOUTH COLLEGE	NONE	TO INCREASE UNDERSTANDING OF HOW RECESSIONS,	294,382.
207 PARKHURST HALL	PC	INCLUDING THE GREAT RECESSION, AFFECT THE LABOR	
HANOVER, NH 03755		MARKET ACTIVITIES AND RETIREMENT OF OLDER	
		AMERICANS.	
TRUSTEES OF DARTMOUTH COLLEGE	NONE	TO INCORPORATE AND IMPROVE MATHOVERFLOW, AN OPEN	13,075.
207 PARKHURST HALL	PC	QUESTION-AND-ANSWER EXCHANGE SITE FOR	
HANOVER, NH 03755		MATHEMATICIANS.	
DIGITAL PUBLIC LIBRARY OF AMERICA, INC.	NONE	TO LAUNCH DIGITAL PUBLIC LIBRARY OF AMERICA	900,000.
P.O. BOX 426038	PC	(DPLA) AS AN INDEPENDENT, NATIONAL ORGANIZATION	
CAMBRIDGE, MA 02142		AND TO SUPPORT ITS EXECUTIVE DIRECTOR AND TWO KEY	
		STAFF TO BEGIN OPERATIONS AND SCALE UP FOR THE	
		FIRST	
		TWO YEARS.	
DDDVDI INVINDOTEV	NONE	TO DESCRIPT OR DEDUCTORS IN MODEL OF SHIP ACCRECATION	112 404
DREXEL UNIVERSITY	NONE	TO DEVELOP REPLICABLE MODELS AND ASSESSMENT	113,494.
3201 ARCH STREET	PC	INSTRUMENTS FOR PROFESSIONAL ADVANCEMENT PROGRAMS	
PHILADELPHIA, PA 19104-2875		TO INCREASE INSTITUTIONAL CAPACITY SUPPORTIVE OF	
		ACADEMIC LEADERS FROM GROUPS UNDERREPRESENTED IN	
		STEM.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ENSEMBLE STUDIO THEATRE, INC.	NONE	TO COMMISSION, DEVELOP, PRODUCE, AND DISSEMINATE	597,000.
549 WEST 52ND STREET	PC	NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE	
NEW YORK, NY 10019		COUNTRY.	
ENVIRONMENTAL DEFENSE FUND INCORPORATED	NONE	TO IMPROVE SCIENTIFIC UNDERSTANDING OF HOW AND	1,250,000.
257 PARK AVENUE SOUTH	PC	WHY METHANE LEAKS OCCUR AND SUPPORT IMPROVED	
NEW YORK, NY 10010		COST-EFFECTIVE STRATEGIES FOR MONITORING AND	
		REDUCING METHANE EMISSIONS.	
FAMILIES AND WORK INSTITUTE, INC.	NONE	TO SUPPORT DEVELOPMENT OF FLEXIBILITY ONLINE TO	434,141.
267 FIFTH AVENUE - 2ND FLOOR	PC	ADVANCE ADOPTION OF A FLEXIBLE WORK PROGRAM.	
NEW YORK, NY 10016			
FEDCAP REHABILITATION SERVICES INC	NONE	TO BUILD AN INTERACTIVE WEBSITE IN ORDER TO	105,000.
211 W 14TH ST	PC	LAUNCH AND ADMINISTER A TWO-YEAR FELLOWSHIP WORK	
NEW YORK, NY 10011-7102		PROGRAM RE-EMPLOYING OLDER (50+) SENIOR MANAGERS	
		IN MULTIPLE NEW YORK CITY METRO AREA INDUSTRIES.	
FILM INDEPENDENT, INC.	NONE	TO DEVELOP SCIENCE SCRIPTS AND SUPPORT PRODUCING	110,000.
9911 W. PICO BOULEVARD, 11TH FLOOR	PC	TEAMS DEVELOPING SLOAN-WORTHY FEATURE FILMS.	
LOS ANGELES, CA 90035		330000	
FOUNDATION CENTER	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	65,000.
79 FIFTH AVENUE	PC	CHARITABLE COMMUNITY.	
NEW YORK, NY 10003			

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FRED HUTCHINSON CANCER RESEARCH CENTER	NONE	TO ENGAGE MAJOR U.S. RELIGIOUS DENOMINATIONS IN	77,672.
1100 FAIRVIEW AVENUE, N.	PC	CONVERSATIONS ABOUT SYNTHETIC BIOLOGY.	
SEATTLE, WA 98109			
FUND FOR THE CITY OF NEW YORK, INC.	NONE	SUPPORT FOR SLOAN AWARDS FOR EXCELLENCE IN	143,750.
121 AVENUE OF THE AMERICAS	PC	TEACHING SCIENCE AND MATHEMATICS IN NEW YORK CITY	
NEW YORK, NY 10013		PUBLIC HIGH SCHOOLS.	
FUND FOR THE CITY OF NEW YORK, INC.	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE SLOAN PUBLIC	250,000.
121 AVENUE OF THE AMERICAS	PC	SERVICE AWARDS PROGRAM.	
NEW YORK, NY 10013			
FUND FOR THE CITY OF NEW YORK, INC.	NONE	TO LAUNCH DATAKIND, AN ORGANIZATION TO BETTER	384,798.
121 AVENUE OF THE AMERICAS	PC	CONNECT DATA SCIENTISTS WITH VOLUNTEER	
NEW YORK, NY 10013		OPPORTUNITIES AND ENCOURAGE BEST DATA PRACTICES	
		AMONG NONPROFITS.	
FUND FOR THE CITY OF NEW YORK, INC.	NONE	TO PROVIDE RENEWED SUPPORT FOR THE SLOAN AWARDS	285,000.
121 AVENUE OF THE AMERICAS	PC	FOR EXCELLENCE IN TEACHING MATHEMATICS AND	
NEW YORK, NY 10013		SCIENCE IN NEW YORK CITY PUBLIC HIGH SCHOOLS.	
GEORGE MASON UNIVERSITY	NONE	TO PIONEER NEW METHODS FOR CAPTURING AND	246,028.
4400 UNIVERSITY DRIVE	PC	HIGHLIGHTING ONLINE SCHOLARLY MATERIALS IN WAYS	
FAIRFAX, VA 22030		THAT ARE USEFUL TO RESEARCH COMMUNITIES.	

ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GEORGE MASON UNIVERSITY	NONE	TO IDENTIFY THE PRIMARY CAUSES OF AGE-RELATED	89,951.
4400 UNIVERSITY DRIVE	PC	DIFFERENCES IN TRAINING OUTCOMES AND DEVELOP AND	
FAIRFAX, VA 22030		EXAMINE INTERVENTIONS TO AMELIORATE AGE-RELATED	
		PERFORMANCE DISCREPANCIES.	
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS	NONE	FOR HIGH QUALITY ON-AIR AND ONLINE COVERAGE OF	780,000.
2775 SOUTH QUINCY STREET	PC	ECONOMIC AND FINANCIAL TOPICS ON PBS'S NEWSHOUR	
ARLINGTON, VA 22206		1500000	
GUIDESTAR USA, INC.	NONE	TO SUPPORT WORK ON BEHALF OF THE NONPROFIT AND	7,500.
4801 COURTHOUSE STREET, SUITE 220	PC	CHARITABLE COMMUNITY.	
WILLIAMSBURG, VA 23188			
VIMPROVA INTERNATIONAL BILM BEGINNI	MONTE	TO COMMISSION AND SPORT TOWN SCATTINGS AND	106 467
HAMPTONS INTERNATIONAL FILM FESTIVAL	NONE	TO COMMISSION AND SPOTLIGHT SCIENCE AND	186,467.
3 NEWTOWN MEWS	PC	TECHNOLOGY FILMS AND DEVELOP SCIENCE AND	
EAST HAMPTON, NY 11937		TECHNOLOGY SCREENPLAYS INTO PRODUCTION.	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	FOR AN INTENSE TWO-YEAR PROCESS OF WORKSHOPS,	500,000.
399 HARVARD STREET	PC	MEETINGS, PLENARIES, RESEARCH, PILOT	
CAMBRIDGE, MA 02138		DIGITIZATION, PROTOTYPE DEVELOPMENT, AND	
		COMMUNITY BUILDING THAT WILL RESULT IN THE LAUNCH	
		OF THE DIGITAL PUBLIC LIBRARY OF AMERICA.	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	500,324.
399 HARVARD STREET	PC	RESEARCH IN ECONOMICS.	
CAMBRIDGE, MA 02138			

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ATTACHMENT 21

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	99,739.
399 HARVARD STREET	PC	RESEARCH IN ECONOMICS.	
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO HELP SOCIAL SCIENCE JOURNALS PROCESS AND	260,000.
399 HARVARD STREET	PC	PUBLISH THE DATA ASSOCIATED WITH RESEARCH	
CAMBRIDGE, MA 02138		ARTICLES.	
ICPO-INTERPOL	NONE	TO PROVIDE PARTIAL SUPPORT TO DEVELOP INTERPOL'S	350,000.
200, QUAI CHARLES DE GAULLE	NC	RADIOLOGICAL AND NUCLEAR TERRORISM PREVENTION	
LYON 69006		PROGRAM.	
FRANCE			
ILLINOIS INSTITUTE OF TECHNOLOGY	NONE	TO DEVELOP AND DOCUMENT OPEN SOURCE SENSORS FOR	163,340.
3301 SOUTH DEARBORN STREET	PC	CHARACTERIZING THE BUILT ENVIRONMENT.	
CHICAGO, IL 60616-3793			
IMPACTSTORY	NONE	TO SUPPORT THE SCALING AND FURTHER DEVELOPMENT TO	250,000.
107 W CARR ST. APT B	PC	SUSTAINABILITY OF IMPACTSTORY, A NONPROFIT OPEN	
CARRBORO, NC 27510		ALTMETRICS PLATFORM THAT HELPS SCHOLARS EVALUATE,	
		SORT, CONSUME, AND REWARD WEB-NATIVE PRODUCTS.	
INDIANA UNIVERSITY	NONE	TO DESIGN A PROTOTYPE SYSTEM THAT DEMONSTRATES	203,659.
107 SOUTH INDIANA AVENUE	PC	NON-CONSUMPTIVE, COMPUTATIONAL ACCESS TO A	
BLOOMINGTON, IN 47405		RESTRICTED FULL-TEXT CORPUS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP	TΩ	SUBSTANTIAL	CONTRIBITOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INSTITUTE FOR ADVANCED STUDY	NONE	TO ORGANIZE AND LAUNCH EFFORTS TO REFORM	124,995.
1 EINSTEIN DR	PC	POSTSECONDARY MATHEMATICS EDUCATION.	
PRINCETON, NJ 08540			
INSTITUTE OF INTERNATIONAL EDUCATION INC	NONE	TO PROVIDE LIFE-SAVING FELLOWSHIPS AND ACADEMIC	250,000.
809 UNITED NATIONS PLAZA	PC	PLACEMENTS FOR PERSECUTED SCHOLARS SO THEY CAN	
NEW YORK, NY 10017		CONTINUE THEIR WORK BEFORE RETURNING TO THEIR	
		NATIVE COUNTRIES WHEN IT IS SAFE TO DO SO.	
JOHNS HOPKINS UNIVERSITY	NONE	TO DEVELOP A HOSTED PLATFORM FOR MANAGING AND	182,458.
3400 N CHARLES ST.	PC	LINKING SCIENTIFIC DATA BY COMBINING AND	
BALTIMORE, MD 21218-2688		EXTENDING TOOLS THAT WERE DEVELOPED WITHIN THE	
		CONTEXT OF THE SLOAN DIGITAL SKY SURVEY ARCHIVE	
		AND THE VIRTUAL ASTRONOMICAL OBSERVATORY.	
ROBERT KANIGEL	NONE	TO RESEARCH AND WRITE A BIOGRAPHY OF JANE JACOBS.	20,000.
175 RICHDALE AVENUE, #314	NC		
CAMBRIDGE, MA 02140			
KECK GRADUATE INSTITUTE	NONE	TO SUPPORT THE INTRODUCTION OF A PSM AFFILIATION	100,000.
535 WATSON DRIVE	PC	FEE AS A SUSTAINABLE FINANCIAL MODEL FOR PSM	
CLAREMONT, CA 91811		QUALITY CONTROL AND WEBSITE PROVISION IN THE	
		FUTURE.	
LA THEATRE WORKS	NONE	TO RECORD FOUR NEW SLOAN-COMMISSIONED OR	214,941.
		SUPPORTED SCIENCE PLAYS FOR BROADCAST ON PUBLIC	
		RADIO AND DISTRIBUTED TO SCHOOLS, LIBRARIES,	
		ONLINE RETAIL PARTNERS AND REGIONAL THEATRES,	
		AND FOR DEVELOPMENT OF "RELATIVITY" APPS, EBOOKS,	
		AND WEBSITE MATERIAL.	

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
681 VENICE BOULEVARD	PC		
VENICE, CA 90291			
LOUGHBOROUGH UNIVERSITY (UK)	NONE	TO STUDY HOW A GLOBAL SYSTEM OF LEGAL ENTITY	104,212.
LOUGHBOROUGH LE11 3TU	PC	IDENTIFIERS CAN HELP FINANCIAL REGULATORS MONITOR	
UNITED KINGDOM		COUNTERPARTY RISKS, CONDUCT ORDERLY RESOLUTIONS,	
		AND ENHANCE FINANCIAL STABILITY.	
MANHATTAN THEATRE CLUB	NONE	TO COMMISSION, DEVELOP, AND PRODUCE SCIENCE AND	183,295.
311 WEST 43RD STREET, 8TH FLOOR	PC	TECHNOLOGY PLAYS.	
NEW YORK, NY 10036			
MANHATTAN THEATRE CLUB	NONE	FOR A FINAL INSTALLMENT OF PRODUCTION SUPPORT FOR	50,000.
311 WEST 43RD STREET, 8TH FLOOR	PC	"THE OTHER PLACE," A SCIENCE PLAY BEING PRODUCED	
NEW YORK, NY 10036		AT MANHATTAN THEATRE CLUB'S BROADWAY SPACE.	
MANHATTAN THEATRE CLUB	NONE	FOR PRODUCTION SUPPORT FOR MANHATTAN THEATRE	125,000.
311 WEST 43RD STREET, 8TH FLOOR	PC	CLUB'S SCIENCE-COMEDY THE EXPLORERS CLUB.	
NEW YORK, NY 10036			
MADINE DIGIGAL LADODATION	NOVE	TO DROUTER TOOLS AND A DATE ADDITION FOR ANALYSTING	157 466
MARINE BIOLOGICAL LABORATORY	NONE	TO PROVIDE TOOLS AND A DATA ARCHIVE FOR ANALYZING	157,466.
7 M B L ST	PC	SEQUENCE DATA OF MICROBIAL COMMUNITIES IN THE	
WOODS HOLE, MA 02543		INDOOR ENVIRONMENT.	

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MARINE BIOLOGICAL LABORATORY	NONE	TO ADVANCE THE DEEP CARBON OBSERVATORY TOWARD ITS	600,000.
7 M B L ST	PC	DECADAL GOALS IN THE STUDY OF THE ABUNDANCE AND	
WOODS HOLE, MA 02543		DIVERSITY OF DEEP LIFE AND ITS ROLES AND	
		INTERACTIONS WITH THE DEEP CARBON.	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO ASSESS WHETHER AND TO WHAT EXTENT THE LOSS OF	127,322.
77 MASSACHUSETTS AVENUE	PC	DOMESTIC MANUFACTURING DUE TO TRADE WITH CHINA	
CAMBRIDGE, MA 02139		AFFECTS THE PRODUCTIVITY OF OTHER U.S. FIRMS IN	
		THE SAME GEOGRAPHICAL AREA.	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO EXAMINE THE IMPACTS OF ONLINE WORKING PAPER	199,839.
77 MASSACHUSETTS AVENUE	PC	REPOSITORIES ON THE DIFFUSION OF SCHOLARLY IDEAS.	
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO PROVIDE SEED GRANTS TO LAUNCH TWELVE NEW	20,000.
77 MASSACHUSETTS AVENUE	PC	SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES WITH	
CAMBRIDGE, MA 02139		SMALL RESOURCE BASES.	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO SHARE BEST PRACTICES IN EVALUATING TEACHING	1,263,554.
77 MASSACHUSETTS AVENUE	PC	AND LEARNING IN PROMOTION AND TENURE AT SOME OF	
CAMBRIDGE, MA 02139		THE NATION'S TOP RESEARCH UNIVERSITIES.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO CREATE AND ANALYZE DATASETS THAT COMBINE THE	999,999.
503 THOMPSON STREET	PC	HEALTH AND RETIREMENT STUDY (HRS) WITH DATA FROM	
ANN ARBOR, MI 48109-1274		THE CENSUS BUREAU FROM THE FIRMS WHERE HRS	
		RESPONDENTS HAVE WORKED.	

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ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO MODEL HOW U.S. LABOR MARKETS FOR SCIENTISTS	101,700.
503 THOMPSON STREET	PC	AND ENGINEERS RESPOND TO IMMIGRATION AND OTHER	
ANN ARBOR, MI 48109-1274		FACTORS.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE	261,581.
503 THOMPSON STREET	PC	OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK	
ANN ARBOR, MI 48109-1274		REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE	66,325.
503 THOMPSON STREET	PC	OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK	
ANN ARBOR, MI 48109-1274		REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO ADVANCE MEASUREMENT OF INCOME, SPENDING,	17,214.
503 THOMPSON STREET	PC	ASSETS AND DEBT BY CREATING AND ANALYZING A NEW	
ANN ARBOR, MI 48109-1274		DATABASE OF HIGH-QUALITY, DAILY DATA ON ACTUAL	
		TRANSACTIONS AND ACCOUNT BALANCES OF INDIVIDUALS.	
THE PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE	NONE	TO ENABLE THE MONTEREY INSTITUTE OF INTERNATIONAL	76,015.
38 COLLEGE STREET	PC	STUDIES EXPAND THE SCIENCE-BASED COURSES OFFERED	
MIDDLEBURY, VT 05753		IN ITS MASTERS DEGREE IN NONPROLIFERATION AND	
		TERRORISM STUDIES.	
THE PRESIDENT AND FELLOWS OF MIDDLEBURY COLLEGE	NONE	TO ENABLE THE MONTEREY INSTITUTE OF INTERNATIONAL	75,969.
38 COLLEGE STREET	PC	STUDIES TO PROVIDE NONPROLIFERATION EDUCATION AND	
MIDDLEBURY, VT 05753		TRAINING FOR DIPLOMATS, GOVERNMENT OFFICIALS AND	
		MID-CAREER PROFESSIONALS AT INTERNATIONAL	
		ORGANIZATIONS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MOZILLA FOUNDATION	NONE	TO IMPROVE THE QUALITY OF SOFTWARE PRODUCED BY	436,700.
650 CASTRO STREET	PC	SCIENTISTS, AND TO DRIVE THE DEVELOPMENT OF	
MOUNTAIN VIEW, CA 94041-2072		TOOLS, PRACTICES, AND DIVERSE COMMUNITY AROUND	
		DIGITALLY NETWORKED SCIENCE.	
MUSEUM OF MATHEMATICS	NONE	TO EQUIP SCIENCE FESTIVALS WITH PORTABLE,	101,461.
134 WEST 29TH STREET	PC	INTERACTIVE, AND HANDS-ON MATHEMATICAL	
NEW YORK, NY 10001		ACTIVITIES.	
MUSEUM OF THE MOVING IMAGE	NONE	TO MAINTAIN AND EXPAND A GO-TO SITE FOR THE SLOAN	208,170.
35TH AVENUE AT 36TH STREET	PC	FILM PROGRAM THAT SHOWCASES SLOAN-WINNING FILMS	
ASTORIA, NY 11106		AND FILMMAKERS, FEATURES ORIGINAL ARTICLES AND	
		STATUS UPDATES, AND SERVES AS A SCIENCE AND FILM	
		WEB HUB.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO DEVELOP, ANALYZE, AND PROMOTE STANDARDS FOR	81,258.
500 5TH STREET, NW	PC	THE CITATION AND ATTRIBUTION OF DATA SETS BY	
WASHINGTON, DC 20001		RESEARCH COMMUNITIES.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO STUDY GLOBAL COMPETITION FOR TALENT BY	100,000.
500 5TH STREET, NW	PC	COMPARING THE HIGH-SKILLED IMMIGRATION POLICIES	
WASHINGTON, DC 20001		OF INDUSTRIALIZED NATIONS.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON	93,500.
500 5TH STREET, NW	PC	SYNTHETIC BIOLOGY.	
WASHINGTON, DC 20001			

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL ACADEMY OF SCIENCES	NONE	TO SUSTAIN AND STRENGTHEN THE ROLE OF THE SCIENCE	65,000.
500 5TH STREET, NW	PC	AND ENTERTAINMENT EXCHANGEAND OF SCIENCE AND	
WASHINGTON, DC 20001		TECHNOLOGYIN HOLLYWOOD.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO STUDY POTENTIAL CHANGES IN HOW THE COMMON RULE	150,000.
500 5TH STREET, NW	PC	GOVERNS BEHAVIORAL AND SOCIAL SCIENCE RESEARCH ON	
WASHINGTON, DC 20001		HUMAN SUBJECTS.	
NATIONAL ACADEMY OF SOCIAL INSURANCE	NONE	TO HELP AMERICANS UNDERSTAND HOW THEY CAN ENHANCE	118,348.
1776 MASSACHUSETTS AVENUE, NW	PC	THEIR LONG-TERM RETIREMENT SECURITY BY WORKING	
WASHINGTON, DC 20036-1904		LONGER AND DELAYING SOCIAL SECURITY BENEFITS.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO FUND NEW OBLIGATIONS INCURRED IN THE SLOAN	794,463.
440 HAMILTON AVENUE, SUITE 302	PC	MINORITY PH.D. PROGRAM AND THE SLOAN INDIGENOUS	
WHITE PLAINS, NY 10601-1813		GRADUATE PARTNERSHIP PROGRAM FROM JULY 1, 2010	
		THROUGH JULY 1, 2011.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO FUND NEW OBLIGATIONS IN THE MINORITY PH.D.	736,503.
440 HAMILTON AVENUE, SUITE 302	PC	PROGRAM AND THE SLOAN INDIGENOUS GRADUATE	
WHITE PLAINS, NY 10601-1813		PARTNERSHIP PROGRAM FROM JULY 1, 2011 TO JULY 1,	
		2012.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO ENABLE THE NATIONAL ACTION COUNCIL FOR	183,880.
440 HAMILTON AVENUE, SUITE 302	PC	MINORITIES IN ENGINEERING TO CONTINUE	
WHITE PLAINS, NY 10601-1813		ADMINISTERING THE SLOAN MINORITY PH.D. PROGRAM	
		AND SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM	
		FOR AN ADDITIONAL THREE YEARS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP T	ГО	SUBSTANTIAL	CONTRIBUTOR
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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO FUND OBLIGATIONS IN THE MINORITY PH.D. PROGRAM	1,100,000.
440 HAMILTON AVENUE, SUITE 302	PC	AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP FOR	
WHITE PLAINS, NY 10601-1813		THE COHORT NAMED FROM JULY 1, 2012 TO JULY 1,	
		2013.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO COVER EXPECTED OBLIGATIONS TO BE INCURRED BY	1,200,000.
440 HAMILTON AVENUE, SUITE 302	PC	NACME TO PROVIDE PHASE 1 TRANSITIONAL SUPPORT FOR	
WHITE PLAINS, NY 10601-1813		THE SLOAN MPHD PROGRAM AS CAMPUS PROGRAMS COMPETE	
		FOR NEW MULTI-YEAR GRANTS FOR UNIVERSITY CENTERS	
		OF EXEMPLARY MENTORING OR PROGRAMS OF EXEMPLARY	
		MENTORING.	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO ADVANCE UNDERSTANDING OF HOUSEHOLD FINANCIAL	92,955.
1050 MASSACHUSETTS AVENUE	PC	BEHAVIOR AND POLICY.	
CAMBRIDGE, MA 02138-5398			
NATIONAL DIPLOM OF TOOLSON THE	More	TO CHARLES THE COLUMN TO THE CONTROL HOLLENG	205 600
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT FELLOWSHIPS FOR PH.D. STUDENTS WORKING	395,600.
1050 MASSACHUSETTS AVENUE	PC	ON THE ECONOMICS OF WORKING LONGER.	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO INVESTIGATE THE STRUCTURE AND PERFORMANCE OF	154,014.
1050 MASSACHUSETTS AVENUE	PC	LABOR MARKETS IN THE AFTERMATH OF THE GREAT	
CAMBRIDGE, MA 02138-5398		RECESSION.	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO BETTER UNDERSTAND THE RETIREMENT AND WORK	111,613.
1050 MASSACHUSETTS AVENUE	PC	PROSPECTS OF CURRENTLY ACTIVE COLLEGE WOMEN BY	111,013.
CAMBRIDGE, MA 02138-5398	FC	CONNECTING EVENTS IN THEIR EARLY ADULT LIVES TO	
CAPIDICIDGE, MA 02130-3370		THEIR LATER EMPLOYMENT HISTORIES.	
		IDDIK DALEK EMPLOIMENI DISTORIES.	

ATTACHMENT 21 (CONT'D)

RELATIONSHIP T	ГО	SUBSTANTIAL	CONTRIBUTOR
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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO STRENGTHEN THE THEORETICAL AND EMPIRICAL	283,659.
1050 MASSACHUSETTS AVENUE	PC	RESEARCH BASE ON HIGH-SKILLED IMMIGRATION.	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT A THREE-YEAR POST-DOCTORAL PROGRAM ON	100,000.
1050 MASSACHUSETTS AVENUE	PC	THE ECONOMICS OF AN AGING WORKFORCE.	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT THE NBER SUMMER INSTITUTE.	350,000.
1050 MASSACHUSETTS AVENUE	PC	NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT	
CAMBRIDGE, MA 02138-5398			
NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT	NONE	TO PROVIDE PARTIAL FUNDING FOR A TELEVISION	500,000.
1145 17TH STREET, NW	PC	DOCUMENTARY, 3D FEATURE FILM, 3D GIANT SCREEN	
WASHINGTON, DC 20036-4688		FILM, EDUCATIONAL RESOURCES, AND DIGITAL OUTREACH	
		FOCUSED ON JAMES CAMERON'S HISTORIC DIVE AND	
		SCIENTIFIC EXPEDITION TO THE DEEPEST PART OF THE	
		OCEAN.	
NATIONAL INFORMATION STANDARDS ORGANIZATION	NONE	TO STUDY, PROPOSE, AND DEVELOP COMMUNITY-BASED	105,314.
3600 CLIPPER MILL ROAD	PC	STANDARDS OR RECOMMENDED PRACTICES IN THE FIELD	
BALTIMORE, MD 21211		OF ALTERNATIVE METRICS FOR RESEARCH PRODUCTS.	
NATIONAL OPINION RESEARCH CENTER	NONE	TO CONDUCT AN INVENTORY OF THE UNIVERSITY	100,000.
1155 EAST 60TH STREET	PC	PROGRAMS ASSOCIATED WITH THE ALFRED P. SLOAN	
CHICAGO, IL 60637		FOUNDATION'S MINORITY PH.D. PROGRAM FOR	
		UNDERREPRESENTED MINORITY GRADUATE STUDENTS, AND	
		TO SURVEY PROGRAM PARTICIPANTS.	

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ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL OPINION RESEARCH CENTER	NONE	TO IMPROVE PUBLIC UNDERSTANDING OF AGING AND	273,684.
1155 EAST 60TH STREET	PC	WORK, BY INCREASING QUALITY AND QUANTITY OF	
CHICAGO, IL 60637		COVERAGE OF THE ECONOMICS OF THE AGING WORKFORCE.	
NATIONAL PUBLIC RADIO, INC.	NONE	TO ENHANCE BUSINESS AND ECONOMICS COVERAGE ON	250,000.
635 MASSACHUSETTS AVENUE, NW	PC	PLANET MONEY AND TO FUND A ONE-YEAR PILOT TO	
WASHINGTON, DC 20001-3753		EXPAND MULTIMEDIA STORYTELLING AT THE SCIENCE	
		DESK.	
NATIONAL PUBLIC RADIO, INC.	NONE	TO SUPPORT AN INNOVATIVE, ON-AIR, AND ONLINE	200,000.
635 MASSACHUSETTS AVENUE, NW	PC	MULTIMEDIA REPORTER AT THE SCIENCE DESK FOR TWO	
WASHINGTON, DC 20001-3753		YEARS BEFORE NPR COVERS THIS NEW, FULL-TIME	
		POSITION.	
THE NEW SCHOOL FOR SOCIAL RESEARCH	NONE	TO IDENTIFY, PROFILE, AND HELP INFORM CHOICES	710,000.
66 WEST 12TH STREET	PC	AMONG EXEMPLARY MATHEMATICS AND SCIENCE PROGRAMS	
NEW YORK, NY 10011		IN NEW YORK CITY SCHOOLS.	
NEW VENTURE FUND	NONE	TO SUPPORT THE ESTABLISHMENT OF THE SCIENCE	110,000.
734 15TH STREET, NW	PC	PHILANTHROPY ALLIANCE.	
WASHINGTON, DC 20005-1029			
THE NEW YORK ACADEMY OF MEDICINE	NONE	TO EXPERIMENT WITH THE DESIGN AND IMPLEMENT THE	100,000.
1216 FIFTH AVENUE	PC	SLOAN AWARDS FOR AN AGE FRIENDLY-WORKPLACE IN NEW	
NEW YORK, NY 10029		YORK CITY.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK GENOME CENTER, INC.	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE NEW YORK	1,000,000.
590 MADISON AVE	PC	GENOME CENTER.	
NEW YORK, NY 10022			
NEW YORK HALL OF SCIENCE	NONE	TO CREATE AN INTERACTIVE EBOOK FOR IPAD THAT	133,000.
47-01 111TH STREET	PC	INCORPORATES COMPELLING NARRATIVES FROM THE	
CORONA, NY 11368		INNOCENCE PROJECT WITH SCIENTIFIC THEMES OF DNA	
		USED AS EVIDENCE AND COGNITIVE AND PERCEPTION	
		BIASES.	
NEW YORK PUBLIC RADIO	NONE	TO MAKE SCIENCE AND TECHNOLOGY A REGULAR,	250,000.
160 VARICK STREET	PC	INTEGRAL FEATURE ON STUDIO.	
NEW YORK, NY 10013			
NEW YORK PUBLIC RADIO	NONE	TO SUPPORT THE PRODUCTION AND DISTRIBUTION OF	250,000.
160 VARICK STREET	PC	SCIENCE AND TECHNOLOGY COVERAGE ON STUDIO 360, AN	
NEW YORK, NY 10013		AWARD-WINNING ARTS AND CULTURE SHOW.	
NEW YORK PUBLIC RADIO	NONE	FOR PRODUCTION AND ENHANCED DISTRIBUTION OF	400,000.
160 VARICK STREET	PC	RADIOLAB, AN INNOVATIVE POPULAR SCIENCE-THEMED	
NEW YORK, NY 10013		RADIO SHOW, VIA MULTIPLE PLATFORMS.	
NEW YORK PUBLIC RADIO	NONE	FOR A PLANNING GRANT FOR WNYC'S NEW HEALTHCARE	125,000.
160 VARICK STREET	PC	REPORTING UNIT TO RESEARCH AND PILOT EPISODES	
NEW YORK, NY 10013		TARGETED AT NEW YORK HEALTHCARE POLICY AND THE	
		IMPACT OF THE AFFORDABLE CARE ACT ON CONSUMERS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY	NONE	FOR AN ANNUAL FEATURE FILM PRODUCTION GRANT OVER	158,134.
70 WASHINGTON SQUARE NORTH	PC	THREE YEARS TO ENABLE FILM STUDENTS TO SHOOT A	
NEW YORK, NY 10012-1019		FIRST FEATURE FILM ABOUT SCIENCE AND TECHNOLOGY.	
NEW YORK UNIVERSITY	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	157,891.
70 WASHINGTON SQUARE NORTH	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
NEW YORK, NY 10012-1019			
NEW YORK UNIVERSITY	NONE	TO ANALYZE THE ECONOMICS OF LABOR MARKETS FOR	190,746.
70 WASHINGTON SQUARE NORTH	PC	INFORMATION TECHNOLOGY WORKERS USING	
NEW YORK, NY 10012-1019		ADMINISTRATIVE DATASETS.	
NEW YORK UNIVERSITY	NONE	TO PROVIDE SUMMER EXPERIENCES FOR A DIVERSE SET	160,270.
70 WASHINGTON SQUARE NORTH	PC	OF YOUNG WOMEN IN HIGH SCHOOL THAT WILL BOLSTER	
NEW YORK, NY 10012-1019		THEIR ENTHUSIASM AND APTITUDE FOR STUDYING	
		MATHEMATICS IN COLLEGE.	
NEW YORK UNIVERSITY	NONE	TO IMPROVE MEASUREMENT AND MODELING OF THE	193,362.
70 WASHINGTON SQUARE NORTH	PC	EVOLVING LABOR MARKET BEHAVIORS, EXPECTATIONS,	
NEW YORK, NY 10012-1019		AND PREFERENCES OF MIDDLE AND UPPER-MIDDLE INCOME	
		HOUSEHOLDS HEADED BY OLDER AMERICANS.	
NEW YORK UNIVERSITY	NONE	TO STUDY FLOOD INSURANCE, INCLUDING THE UPTAKE,	160,000.
70 WASHINGTON SQUARE NORTH	PC	DISTRIBUTIONAL, AND INCENTIVE EFFECTS OF SUPER	
NEW YORK, NY 10012-1019		STORM SANDY.	

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY	NONE	TO CONDUCT PLANNING ACTIVITIES FOR FOUR PROJECTS	100,000.
70 WASHINGTON SQUARE NORTH	PC	AT THE CENTER FOR URBAN SCIENCE AND PROGRESS.	
NEW YORK, NY 10012-1019			
NEW YORK UNIVERSITY	NONE	TO LAUNCH A PILOT PROGRAM, THE PH.D. EXCELLENCE	150,000.
70 WASHINGTON SQUARE NORTH	PC	INITIATIVE, TO CHANGE THE FACE OF ECONOMICS	
NEW YORK, NY 10012-1019		DEPARTMENTS IN THE UNITED STATES BY IDENTIFYING,	
		TRAINING, AND MENTORING HIGH-ACHIEVING STUDENTS	
		OF COLOR, PREPARING THEM FOR RIGORS OF PH.D.	
		STUDY IN THE FIELD.	
NEW YORK UNIVERSITY	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
70 WASHINGTON SQUARE NORTH	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
NEW YORK, NY 10012-1019		AND NEW CAREER PATHS.	
NORTH CAROLINA STATE UNIVERSITY	NONE	TO USE RISK ASSESSMENT TOOLS TO ANALYZE THREE	173,703.
2200 HILLSBOROUGH	PC	CURRENT SYNTHETIC BIOLOGY APPLICATIONS.	
RALEIGH, NC 27695			
VODEL G1001 111 GE1EE 1011 1011 1011	Y0Y7	TO PROVIDE NEW TWO OWN TWO OWN THE	050 000
NORTH CAROLINA STATE UNIVERSITY	NONE	TO PROVIDE NEW INSIGHT INTO THE WORK LIFE	250,000.
2200 HILLSBOROUGH	PC	TRANSITIONS AND KEY RETIREMENT-RELATED DECISIONS	
RALEIGH, NC 27695		BY OLDER PUBLIC SECTOR EMPLOYEES.	
MODTHER CTEDM IMITAED CTTV	NONE	TO CUIDDOUT THE DADID AND CUCTAINED DEGOVERY OF	E7E 000
NORTHEASTERN UNIVERSITY	NONE	TO SUPPORT THE RAPID AND SUSTAINED RECOVERY OF	575,000.
360 HUNTINGTON AVE	PC	THE METRO NEW YORK CITY AREA AND BOLSTER	
BOSTON, MA 02115-5096		RESILIENCE AFTER SUPERSTORM SANDY.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ARIZONA BOARD OF REGENTS, NORTHERN ARIZONA UNIVERS	NONE	TO ANALYZE AND MODEL THE ESTABLISHMENT OF	100,000.
1298 SOUTH KNOLES DRIVE	PC	MICROBIAL COMMUNITIES OVER TIME ON DIFFERENT	
FLAGSTAFF, AZ 86011-4130		OFFICE SURFACE MATERIALS IN DIFFERENT CLIMATES.	
OHIO STATE UNIVERSITY	NONE	TO FOSTER THE DEEP ENERGY COMMUNITY OF THE DEEP	750,000.
1960 KENNY ROAD	PC	CARBON OBSERVATORY WITH STUDIES ON THE ORIGIN AND	
COLUMBUS, OH 43210		DISTRIBUTION OF ABIOTIC HYDROCARBONS.	
ORCID	NONE	TO ENCOURAGE THE NEAR-TERM IMPLEMENTATION OF	349,659.
10411 MOTOR CITY DRIVE, SUITE 750	PC	ORCID IDENTIFIERS BY UNIVERSITIES AND	
BETHESDA, MD 20817		PROFESSIONAL ASSOCIATIONS, THROUGH A GRANT	
		COMPETITION AND COMMUNITY OUTREACH.	
UNIVERSITY OF OREGON	NONE	TO FUND THE CENTER FOR MICROBIAL ECOLOGY OF	300,000.
1585 E. 13TH AVE	PC	INDOOR ENVIRONMENTS.	
EUGENE, OR 97403-1226			
UNIVERSITY OF OREGON	NONE	TO PROVIDE RENEWED SUPPORT FOR THE BIOLOGY AND	700,000.
2200 HILLSBOROUGH	PC	BUILT ENVIRONMENT (BIOBE).	
EUGENE, OR 97403-1226			
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O	NONE	TO PROMOTE AND ADVANCE INTERNATIONAL COMPARATIVE	222,297.
WELLINGTON SQUARE OXFORD OX1 2JD	PC	STUDIES OF HOUSEHOLD FINANCE.	
UNITED KINGDOM			
ORCID 10411 MOTOR CITY DRIVE, SUITE 750 BETHESDA, MD 20817 UNIVERSITY OF OREGON 1585 E. 13TH AVE EUGENE, OR 97403-1226 UNIVERSITY OF OREGON 2200 HILLSBOROUGH EUGENE, OR 97403-1226 CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O WELLINGTON SQUARE OXFORD OX1 2JD	NONE PC NONE PC NONE PC	DISTRIBUTION OF ABIOTIC HYDROCARBONS. TO ENCOURAGE THE NEAR-TERM IMPLEMENTATION OF ORCID IDENTIFIERS BY UNIVERSITIES AND PROFESSIONAL ASSOCIATIONS, THROUGH A GRANT COMPETITION AND COMMUNITY OUTREACH. TO FUND THE CENTER FOR MICROBIAL ECOLOGY OF INDOOR ENVIRONMENTS. TO PROVIDE RENEWED SUPPORT FOR THE BIOLOGY AND BUILT ENVIRONMENT (BIOBE).	300,000. 700,000.

0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O	NONE	TO MEASURE THE DRIVERS AND DYNAMICS OF HIGH	177,581.
WELLINGTON SQUARE OXFORD OX1 2JD	PC	SKILLED IMMIGRATION.	
UNITED KINGDOM			
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	NONE	TO SUPPORT A FINAL GRANT TO THE SLOAN WORK &	101,928.
3451 WALNUT STREET	PC	FAMILY RESEARCH NETWORK TO ENSURE ITS LONG TERM	
PHILADELPHIA, PA 19104-6205		SUSTAINABILITY.	
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	NONE	TO FACILITATE RESEARCH ON HOUSEHOLD	139,666.
3451 WALNUT STREET	PC	DECISION-MAKING BY SYSTEMATICALLY DOCUMENTING	
PHILADELPHIA, PA 19104-6205		DATA, INCLUDING CHOICE ARCHITECTURE INFORMATION,	
		ABOUT STATE HEALTH INSURANCE EXCHANGES.	
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	NONE	TO USE RISK ASSESSMENT TOOLS TO ANALYZE FIVE	191,695.
3451 WALNUT STREET	PC	CURRENT SYNTHETIC BIOLOGY APPLICATIONS.	
PHILADELPHIA, PA 19104-6205		2013	
PHILANTHROPY NEW YORK, INC.	NONE	TO SUPPORT WORK IN 2013 ON BEHALF OF THE	28,000.
79 FIFTH AVENUE	PC	NONPROFIT AND CHARITABLE COMMUNITY.	
NEW YORK, NY 10003-3076			
UPMC	NONE	TO ASSESS THE STATE OF AFFAIRS IN SYNTHETIC	250,000.
621 EAST PRATT STREET	PC	BIOLOGY GOVERNANCE, ARTICULATE NEXT STEPS FOR	
BALTIMORE, MD 21202		FUNDERS AND POLICYMAKERS, AND EVALUATE THE	
		FOUNDATION'S SYNTHETIC BIOLOGY INITIATIVE.	

ATTACHMENT 21

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0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PROJECT IMPLICIT INC.	NONE	TO LAY THE NECESSARY GROUNDWORK FOR A REPLICATION	49,500.
4 BLUEBERRY LN	PC	WEBSITE INITIATIVE, AIMED AT INCREASING AND	40,500.
	PC		
LEXINGTON, MA 02420-2402		DISSEMINATING REPLICATIONS.	
UNIVERSITY OF PUERTO RICO	NONE	TO EXAMINE THE MICROBIOMES OF HOMES ACROSS	64,144.
P.O. BOX 23300 - UPR STATION 00931-3300	PC	CULTURES.	
SAN JUAN			
PUERTO RICO			
PURDUE UNIVERSITY	NONE	TO FUND THE RECRUITMENT AND RETENTION PORTION OF	48,956.
1281 WIN HENTSCHEL BLVD.	PC	THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP PROGRAM	
WEST LAFAYETTE, IN 47906		AT PURDUE UNIVERSITY FOR AN ADDITIONAL THREE	
		YEARS.	
RAND CORPORATION	NONE	TO UNDERSTAND THE ROLE OF EMPLOYERS IN	150,303.
1700 MAIN STREET	PC	FACILITATING OR IMPEDING CONTINUED EMPLOYMENT OF	
SANTA MONICA, CA 90407-2137		OLDER WORKERS FOLLOWING ONSET OF A WORK-LIMITING	
		DISABILITY.	
RAND CORPORATION	NONE	TO IMPROVE OUR UNDERSTANDING OF THE ROLE OF LOCAL	100,000.
1700 MAIN STREET	PC	LABOR DEMAND IN AFFECTING THE WORK AND RETIREMENT	
SANTA MONICA, CA 90407-2137		PATTERNS OF OLDER AMERICANS.	
RAND CORPORATION	NONE	TO OBTAIN A COMPREHENSIVE CHARACTERIZATION OF THE	114,687.
1700 MAIN STREET	PC	ROLE OF FIRMS IN LABOR FORCE TRANSITIONS OF OLDER	
SANTA MONICA, CA 90407-2137		WORKERS IN THE UNITED STATES WITH COMPARATIVE	
		ANALYSES TO THE ROLE OF FIRMS IN GERMANY.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RAND CORPORATION	NONE	TO IMPROVE THE UNDERSTANDING OF THE AVAILABILITY	500,000.
1700 MAIN STREET	PC	AND IMPORTANCE OF DIFFERENT PECUNIARY AND	
SANTA MONICA, CA 90407-2137		NONPECUNIARY JOB CHARACTERISTICS FOR OLDER	
		WORKERS AND THEIR EFFECTS ON OLDER WORKER LABOR	
		OUTCOMES.	
RENSSELAER POLYTECHNIC INSTITUTE	NONE	TO DEVELOP THE DATA SCIENCE AND MANAGEMENT	450,000.
110 8TH STREET	PC	DIMENSIONS OF THE DEEP CARBON OBSERVATORY.	
TROY, NY 12180-3590			
RESOURCES FOR THE FUTURE INC	NONE	TO CONDUCT EX-POST EVALUATIONS OF GOVERNMENT	208,780.
1616 P STREET, NW	PC	REGULATIONS CONCERNING HEALTH AND ENVIRONMENT IN	
WASHINGTON, DC 20036-1400		THE UNITED STATES.	
RESOURCES FOR THE FUTURE INC	NONE	TO DEVELOP AMONG VARIOUS STAKEHOLDERS SUGGESTED	231,826.
1616 P STREET, NW	PC	GUIDELINES ON EFFECTIVE WAYS TO ADDRESS	
WASHINGTON, DC 20036-1400		HIGH-PRIORITY RISK PATHWAYS ASSOCIATED WITH SHALE	
		GAS DEVELOPMENT.	
RESOURCES FOR THE FUTURE INC	NONE	TO STUDY HOW INFORMATION PROVISION AND DISCLOSURE	350,530.
1616 P STREET, NW	PC	POLICIES CAN HELP OR HINDER THE IMPLEMENTATION OF	
WASHINGTON, DC 20036-1400		ENERGY EFFICIENCY IMPROVEMENTS.	
UNIVERSITY OF RHODE ISLAND	NONE	TO BUILD A DEEP CARBON OBSERVATORY ENGAGEMENT	449,381.
70 LOWER COLLEGE ROAD	PC	TEAM AND LAUNCH AND SUPPORT A SUITE OF COMMUNITY	
KINGSTON, RI 02882		BUILDING, ENGAGEMENT, AND COMMUNICATIONS	
		STRATEGIES ON BEHALF OF THE DEEP CARBON	
		OBSERVATORY.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RICE UNIVERSITY	NONE	TO UNDERSTAND HOW NEW AND PROPOSED FEDERAL AND	275,362.
6100 MAIN STREET	PC	LOCAL REGULATIONS WILL INFLUENCE FUTURE NATURAL	273,302.
HOUSTON, TX 77251		GAS RESOURCE DEVELOPMENT AND PRICING IN THE	
HOUSION, IX //251		UNITED STATES.	
		UNITED STATES.	
THE ROCKEFELLER UNIVERSITY	NONE	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP	347,333.
1230 YORK AVENUE	PC	ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP	
NEW YORK, NY 10021-6399		CARBON OBSERVATORY PROGRAM INITIATED IN 2009.	
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	TO STUDY PATHWAYS AND PATTERNS OF COURSE-TAKING	122,300.
83 SOMERSET ST.	PC	AND CAREER DEVELOPMENT IN SCIENCE AND TECHNOLOGY.	
NEW BRUNSWICK, NJ 08901-1281		399448	
THE SCIENCE FESTIVAL FOUNDATION	NONE	TO SUPPORT PROGRAM DEVELOPMENT AND PRODUCTION OF	700,000.
475 RIVERSIDE DRIVE	PC	THE WORLD SCIENCE FESTIVAL FOR TWO YEARS.	
NEW YORK, NY 10115			
SCIENCE FRIDAY INITIATIVE INC	NONE	TO SUPPORT SCIENCE FRIDAY, FOCUSING ON SCIENCE	234,117.
4 WEST 43RD STREET, SUITE 306	PC	AND THE ARTS, INCLUDING RADIO BROADCASTS, DIGITAL	
NEW YORK, NY 10036		SCIENCE VIDEOS, BLOG POSTS, AND ASSOCIATED	
		MEDIA.	
THE SLOAN CONSORTIUM, INC.	NONE	FOR SUPPORT TO TRANSITION SLOAN-C INTO A	500,000.
P.O. BOX 1238	PC	SELF-SUSTAINING SOCIETY FOR ONLINE EDUCATION.	
NEWBURYPORT, MA 01950			

ATTACHMENT 21 0170665-00002 AMEND

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SMITHSONIAN INSTITUTION	NONE	TO HELP THE ENCYCLOPEDIA OF LIFE DEVELOP NEW	250,000.
P.O. BOX 37012	PC	FEATURES AND STAKEHOLDERS.	
WASHINGTON, DC 20013-7012			
S H R M FOUNDATION	NONE	TO ADVANCE AND ACCELERATE RESEARCH AND APPLIED	450,000.
1800 DUKE STREET	PC	HUMAN RESOURCE POLICIES AND PRACTICES FOR HUMAN	
ALEXANDRIA, VA 22314-3499		RESOURCE PROFESSIONALS AND STUDENTS TO IDENTIFY,	
		UNDERSTAND, AND SOLVE WORKFORCE AGING ISSUES.	
SOUNDVISION PRODUCTIONS	NONE	FOR SUPPORT FOR BURN: AN ENERGY JOURNAL TO EXPAND	473,397.
2991 SHATTUCK AVENUE	PC	THE PUBLIC'S ENERGY LITERACY THROUGH PUBLIC RADIO	
BERKELEY, CA 94705		SPECIALS, MONTHLY STORIES BROADCAST ON	
		MARKETPLACE, AND SHARED PRODUCTIONS WITH NATIONAL	
		GEOGRAPHIC, AS WELL AS ONLINE CONTENT AND	
		OUTREACH.	
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	119,450.
UNIVERSITY PARK	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
LOS ANGELES, CA 90089			
BOARD OF CONTROL FOR SOUTHERN REGIONAL EDUCATION	NONE	TO INCREASE THE AWARD OF DOCTORAL DEGREES TO	230,000.
592 10TH STREET, NW	PC	MEMBERS OF UNDERREPRESENTED MINORITIES IN STEM	230,000.
ATLANTA, GA 30318-5790	FC	FIELDS, WITH A SPECIAL FOCUS ON THE PREPARATION	
AIDMIA, GA 30310-3720		OF GRADUATE STUDENTS FOR CAREERS IN HIGHER	
		OF GRADUATE STUDENTS FOR CAREERS IN HIGHER EDUCATION.	
		EDUCALIUN.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	TO DEVELOP PRACTICAL TECHNIQUES FOR ALLOWING	317,029.
JUNIOR UNIVERSITY	PC	RESEARCHERS TO EXTRACT AGGREGATE STATISTICS FROM	
STANFORD, CA 94305		LARGE DATASETS WHILE PROTECTING THE PRIVACY OF	
		INFORMATION CONTAINED IN INDIVIDUAL ENTRIES.	
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UN	NONE	TO STUDY INTERNET MARKETS USING DETAILED DATA	116,656.
JUNIOR UNIVERSITY	PC	ABOUT CONSUMER AND FIRM BEHAVIOR FROM EBAY.	
STANFORD, CA 94305		386574	
BOARD OF TRUSTEES OF THE LELAND STANFORD JUNIOR UN	NONE	TO UNDERSTAND POTENTIAL PATHWAYS BETWEEN WORKING	89,973.
JUNIOR UNIVERSITY	PC	LONGER AND COGNITIVE PERFORMANCE.	
STANFORD, CA 94305			
SUNDANCE INSTITUTE	NONE	TO SUPPORT A SCIENCE AND TECHNOLOGY FILM PROGRAM	250,000.
5900 WILSHIRE BOULEVARD	PC	AT SUNDANCE THAT INCLUDES FILM FELLOWSHIPS, FILM	
BEVERLY HILLS, CA 90036		PRIZES, AND FILM PANELS AND OUTREACH.	
TECHNOLOGY AFFINITY GROUP INC	NONE	FOR 2013 MEMBERSHIP DUES 5000 2013 TECHNOLOGY	5,000.
23 BRIAR ROAD	PC	AFFINITY GROUP INC.	
WAYNE, PA 19087			
TECHNOLOGY AFFINITY GROUP INC	NONE	TO SUPPORT DEVELOPMENT AND IMPLEMENTATION OF A	17,500.
23 BRIAR ROAD	PC	STANDARDS-BASED COMMON GRANTEE DATABASE.	

ATTACHMENT 21

WAYNE, PA 19087

ATTACHMENT 21 (CONT'D)

RELATIONSHIP	TO	SUBSTANTIAL	CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO DETERMINE THE CAPABILITY OF U.S. SHALE GAS TO	181,626.
110 INNER CAMPUS	PC	CONTRIBUTE SIGNIFICANTLY TO NATURAL GAS SUPPLY	
AUSTIN, TX 78712		OVER THE NEXT TWENTY YEARS, GIVEN VARIOUS	
		ASSUMPTIONS ABOUT NATURAL GAS PRICES.	
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO FOLLOW UP THE ORIGINAL NATIONALLY	521,931.
110 INNER CAMPUS	PC	REPRESENTATIVE HIGH SCHOOL AND BEYOND (HSB) STUDY	
AUSTIN, TX 78712		TO PRODUCE A VALUABLE NEW DATA INFRASTRUCTURE AND	
		RESEARCH FINDINGS ABOUT THE FOUNDATION FOR	
		WORKING LONGER.	
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO EXAMINE THE CAPABILITY OF U.S. SHALE OIL TO	771,596.
110 INNER CAMPUS	PC	CONTRIBUTE SIGNIFICANTLY TO OIL SUPPLY FOR THE	
AUSTIN, TX 78712		NEXT 20 YEARS, UNDER VARIOUS ECONOMIC AND	
		TECHNOLOGY ASSUMPTIONS.	
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO PROVIDE SUPPLEMENTAL FUNDS TO GRANT	265,051.
110 INNER CAMPUS	PC	#2012-KEC-12 SO AS TO PROVIDE ADEQUATE INCENTIVE	
AUSTIN, TX 78712		PAYMENTS TO RESPONDENTS OF THE HIGH SCHOOL &	
		BEYOND STUDY (HSB) TO ENSURE AN 80 PERCENT	
		RESPONSE RATE.	
THIRD SECTOR NEW ENGLAND INC	NONE	TO TEST HYPOTHESES WITH AS MUCH STATISTICAL POWER	125,000.
89 SOUTH ST STE 700	PC	AS A RANDOMIZED CONTROLLED TRIAL BUT WITH SMALLER	
BOSTON, MA 02111-2679		CONTROL AND TREATMENT GROUPS.	
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	TO STUDY THE ECONOMICS OF KNOWLEDGE CONTRIBUTION	276,258.
TORONTO, ON M5S 1A1	PC	AND DISTRIBUTION.	
CANADA			

ATTACHMENT 21

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WASHINGTON, DC 20037

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	TO DESIGN IMPROVED TESTING METHODS FOR COMMON	100,000.
TORONTO, ON M5S 1A1	PC	BUILDING MATERIALS.	
CANADA			
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	TO CONDUCT PRELIMINARY RESEARCH ON THE CHEMISTRY	100,000.
TORONTO, ON M5S 1A1	PC	OCCURRING ON INDOOR SURFACES.	
CANADA			
TRIBECA FILM INSTITUTE INC	NONE	TO AWARD THE ANNUAL SLOAN STUDENT GRAND JURY	108,000.
375 GREENWICH STREET	PC	PRIZE TO THE BEST-OF-THE-BEST STUDENT FILM FROM	
NEW YORK, NY 10013		ALL FILM SCHOOL PARTNERS AND TO DEVELOP EACH	
		WINNING SCREENPLAY TOWARD PRODUCTION.	
TRIBECA FILM INSTITUTE INC	NONE	TO DEVELOP NEW SCIENCE AND TECHNOLOGY FILMS FOR	386,744.
375 GREENWICH STREET	PC	PRODUCTION AND TO HOLD PANELS AND READINGS AT THE	
NEW YORK, NY 10013		TRIBECA FILM FESTIVAL.	
W E UPJOHN UNEMPLOYMENT TRUSTEE CORPORATION	NONE	TO STUDY WAYS OF IMPROVING ECONOMIC MEASUREMENTS,	171,660.
300 SOUTH WESTNEDGE AVENUE	PC	STATISTICS, AND INDICATORS RELATED TO	
KALAMAZOO, MI 49007		GLOBALIZATION.	
THE URBAN INSTITUTE	NONE	TO IMPROVE THE DETAIL AND UTILITY OF THE INTERNAL	137,798.
2100 M STREET, NW	PC	REVENUE SERVICE'S PUBLIC USE FILES.	

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE URBAN INSTITUTE	NONE	TO SUPPORT RESEARCH ON EMPLOYMENT PROSPECTS FOR	227,086.
2100 M STREET, NW	PC	LESS-EDUCATED OLDER WORKERS.	
WASHINGTON, DC 20037			
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT	NONE	TO DETERMINE THE EFFECTS OF PIPE MATERIALS, WATER	100,000.
800 DRILLFIELD DR.	PC	FLOW, AND CHEMISTRY ON THE BUILDING PLUMBING	
BLACKSBURG, VA 24061-0123		MICROBIOME.	
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT	NONE	TO DETERMINE WHETHER PARTICIPATION IN TARGETED	70,000.
800 DRILLFIELD DR.	PC	PROGRAMMING CAN ENCOURAGE DEVELOPMENT OF	
BLACKSBURG, VA 24061-0123		INNOVATIVE THINKING SKILLS AND IF IN DOING SO,	
		FACILITATE RETENTION TO DEGREE AMONG ENGINEERING	
		UNDERGRADUATES.	
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT	NONE	TO CHARACTERIZE THE BACTERIAL AND VIRAL	60,000.
800 DRILLFIELD DR.	PC	MICROBIOME OF THE AIR AND SURFACES IN A DAYCARE	
BLACKSBURG, VA 24061-0123		SETTING.	
UNIVERSITY OF WASHINGTON	NONE	TO ASSESS IMPROVEMENTS RESULTING FROM AND	283,455.
1410 NE CAPUS PKWY	PC	ANALYZING DATA COLLECTED BY THE PROJECT TO ASSESS	
SEATTLE, WA 98195		CLIMATE IN ENGINEERING (PACE).	
UNIVERSITY OF WASHINGTON	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
1410 NE CAPUS PKWY	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
SEATTLE, WA 98195		AND NEW CAREER PATHS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WELLESLEY COLLEGE	NONE	TO EXAMINE HOW FIRMS SHAPE THE IMMIGRATION OF	112,731.
106 CENTRAL STREET	PC	SCIENTISTS, ENGINEERS, AND OTHER HIGHLY SKILLED	
WELLESLEY, MA 02181		WORKERS TO THE UNITED STATES.	
WGBH EDUCATIONAL FOUNDATION	NONE	TO RESEARCH AND PRODUCE FOUR HOURS OF	1,000,000.
ONE GUEST STREET	PC	DOCUMENTARIES ON THE ROLE OF SCIENCE AND	
BOSTON, MA 02135		TECHNOLOGY IN HISTORY FOR THE AMERICAN EXPERIENCE	
		WITH ANCILLARY OUTREACH INCLUDING TWO INTERACTIVE	
		GAMES AND MARKETING CAMPAIGN.	
			500.000
WGBH EDUCATIONAL FOUNDATION	NONE	FOR PRODUCTION AND BROADCAST OF A THREE-HOUR NOVA	500,000.
ONE GUEST STREET	PC	SPECIAL ON THE GEOLOGICAL HISTORY OF NORTH	
BOSTON, MA 02135		AMERICA WITH ENHANCED DIGITAL CONTENT, OUTREACH,	
		EDUCATION, AND PROMOTION.	
WGBH EDUCATIONAL FOUNDATION	NONE	TO RESEARCH AND PRODUCE FOUR PRIMETIME FILMS ON	1,250,000.
ONE GUEST STREET	PC	PBS'S AMERICAN EXPERIENCE ON THE ROLE OF SCIENCE,	
BOSTON, MA 02135		TECHNOLOGY, AND ENGINEERING IN HISTORY WITH AN	
		ENGINEERING IPHONE APP, INTERACTIVE WEBSITE, AND	
		ANCILLARY OUTREACH ACTIVITIES.	
WIKIMEDIA FOUNDATION, INC.	NONE	TO HELP WIKIPEDIA DEVELOP AND SUSTAIN ITS	1,000,000.
149 NEW MONTGOMERY STREET, 3RD FLOOR	PC	EDUCATIONAL MISSION WHILE CONSTANTLY IMPROVING	
SAN FRANCISCO, CA 94105		QUALITY, DIVERSITY, AND ACCESS TO KNOWLEDGE FOR	
		PEOPLE EVERYWHERE.	

ATTACHMENT 21

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13-1623877 ALFRED P. SLOAN FOUNDATION

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WISCONSIN, MADISON	NONE	TO EXPAND THE SCHOLARLY UNDERSTANDING OF	146,631.
500 LINCOLN DRIVE	PC	EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS,	
MADISON, WI 53706-1380		AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM	
		MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS,	
		AND CLASSROOM OBSERVATIONS OF STUDENTS AND	
		FACULTY AT SEVEN COLLEGES.	
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS	NONE	TO CONTINUE WORK TO IDENTIFY AND ADDRESS RISKS	844,850.
1300 PENNSYLVANIA AVENUE	PC	ASSOCIATED WITH SYNTHETIC BIOLOGY.	
WASHINGTON, DC 20004			
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS	NONE	TO WORK WITH GOVERNMENT, EMERGENT DISTRIBUTED	316,002.
1300 PENNSYLVANIA AVENUE	PC	NETWORKS, AND OTHER STAKEHOLDERS TO MAKE MASS	
WASHINGTON, DC 20004		COLLABORATION FOR DATA COLLECTION, ANALYSIS, AND	
		PROBLEM-SOLVING MORE TRUSTWORTHY, EFFICIENT, AND	
		ACTIONABLE.	
YALE UNIVERSITY	NONE	TO TEST THE IMPACT OF INTERVENTIONS ON BOTH	350,000.
P.O. BOX 208239	PC	EXPLICIT (CONSCIOUSLY HELD) AND IMPLICIT	
NEW HAVEN, CT 06520		(AUTOMATIC OR UNINTENDED) GENDER BIASES;	
		ULTIMATELY, TO INCREASE THE PARTICIPATION OF	
		WOMEN IN SCIENCE BY REDUCING BIAS.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YALE UNIVERSITY	NONE	TO PLAN A PROFESSIONAL TRAINING PROGRAM ON THE	222,525.
P.O. BOX 208239	PC	THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL	
NEW HAVEN, CT 06520		REGULATION.	
YALE UNIVERSITY	NONE	TO LAUNCH A PROFESSIONAL TRAINING PROGRAM ON THE	700,000.
P.O. BOX 208239	PC	THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL	
NEW HAVEN, CT 06520		REGULATION.	
UNIVERSITY OF ALBERTA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
EDMONTON, AB T6G 2R3	PC		
CANADA			
UNIVERSITY OF ALBERTA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
EDMONTON, AB T6G 2R3	PC		
CANADA			
BOSTON COLLEGE TRUSTEES	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
140 COMMONWEALTH AVENUE	PC		
CHESTNUT HILL, MA 02467			
BOSTON COLLEGE TRUSTEES	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
140 COMMONWEALTH AVENUE	PC		
CHESTNUT HILL, MA 02467			

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TRUSTEES OF BOSTON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
25 BUICK STREET	PC		
BOSTON, MA 02215			
UNIVERSITY OF BRITISH COLUMBIA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
VANCOUVER, BC V6T 1Z4	PC		
CANADA			
UNIVERSITY OF BRITISH COLUMBIA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
VANCOUVER, BC V6T 1Z4	PC		
CANADA			
UNIVERSITY OF BRITISH COLUMBIA	NONE	ALFRED P. SLOAN FELLOWSHIP.	27,629.
VANCOUVER, BC V6T 1Z4	PC		
CANADA			
THE RESEARCH FOUNDATION OF STATE	PC	ALFRED P. SLOAN FELLOWSHIP.	50,000.
UNIVERSITY OF NEW YORK			
BUFFALO, NY 14260			
CALIFORNIA INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1200 E CALIFORNIA BLVD	PC		
PASADENA, CA 91125			

ATTACHMENT 21
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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1995 UNIVERSITY AVENUE	PC		
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1995 UNIVERSITY AVENUE	PC		
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1995 UNIVERSITY AVENUE	PC		
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1995 UNIVERSITY AVENUE	PC		
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1995 UNIVERSITY AVENUE	PC		
BERKELEY, CA 94720			
THE REGENTS OF THE UNIVERSITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
CALIFORNIA, IRVINE	PC		
IRVINE, CA 92717			

ATTACHMENT 21
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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
CALIFORNIA, IRVINE	PC		
IRVINE, CA 92717			
THE REGENTS OF THE UNIVERSITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
CALIFORNIA, IRVINE	PC		
IRVINE, CA 92717			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, RIVER	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
900 UNIVERSITY AVENUE	PC		
RIVERSIDE, CA 92521			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
9500 GILMAN DRIVE	PC		
LA JOLLA, CA 92093			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
9500 GILMAN DRIVE	PC		
LA JOLLA, CA 92093			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
9500 GILMAN DRIVE	PC		
LA JOLLA, CA 92093			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
9500 GILMAN DRIVE	PC		
LA JOLLA, CA 92093			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	ALFRED P. SLOAN FELLOWSHIP.	44,059.
9500 GILMAN DRIVE	PC		
LA JOLLA, CA 92093			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN F	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
513 PARNASSUS AVENUE	PC		
SAN FRANCISCO, CA 94143			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN F	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
513 PARNASSUS AVENUE	PC		
SAN FRANCISCO, CA 94143			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA,	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
SANTA BARBARA	PC		
SANTA BARBARA, CA 93106			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA,	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
SANTA CRUZ	PC		
SANTA CRUZ, CA 95064			
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5000 FORBES AVENUE	PC		
PITTSBURGH, PA 15213-3890			

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5000 FORBES AVENUE	PC		
PITTSBURGH, PA 15213-3890			
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5000 FORBES AVENUE	PC		
PITTSBURGH, PA 15213-3890			
CARNEGIE MELLON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5000 FORBES AVENUE	PC		
PITTSBURGH, PA 15213-3890			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			50.000
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	12,578.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			
THE UNIVERSITY OF CHICAGO	NONE	ALFRED P. SLOAN FELLOWSHIP.	35,917.
5801 SOUTH ELLIS AVENUE	PC		
CHICAGO, IL 60637			
UNIVERSITY OF CINCINNATI	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
2600 CLIFTON AVE	PC		
CINCINNATI, OH 45221			
THE CITY COLLEGE OF NEW YORK OF THE CITY UNIVERSIT	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
CONVENT AVENUE AT 138TH STREET	PC		
NEW YORK, NY 10031			
PRESIDENT & TRUSTEES OF COLBY COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
4137 MAYFLOWER HILL DRIVE	PC		
WATERVILLE, ME 04901-8841			

ATTACHMENT 21
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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF COLORADO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1800 GRANT ST STE 400	PC		
DENVER, CO 80203			
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1800 GRANT ST STE 400	PC		
DENVER, CO 80203			
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1210 AMSTERDAM AVENUE	PC		
NEW YORK, NY 10027			
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1210 AMSTERDAM AVENUE	PC		
NEW YORK, NY 10027			
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1210 AMSTERDAM AVENUE	PC		
NEW YORK, NY 10027			
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1210 AMSTERDAM AVENUE	PC		
NEW YORK, NY 10027			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CORNELL UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
410 THURSTON AVENUE	PC		
ITHACA, NY 14853			
TRUSTEES OF DARTMOUTH COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
207 PARKHURST HALL	PC		
HANOVER, NH 03755			
DUKE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
450 RESEARCH DRIVE	PC		
DURHAM, NC 27708			
DUKE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
450 RESEARCH DRIVE	PC		
DURHAM, NC 27708			
EMORY UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
201 DOWMAN DR	PC		
ATLANTA, GA 30322			
GEORGIA TECH RESEARCH CORPORATION	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1054 CLAUSSEN RD	PC		
ATLANTA, GA 30332			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GEORGIA TECH RESEARCH CORPORATION	NONE	ALFRED P. SLOAN FELLOWSHIP.	48,731.
1054 CLAUSSEN RD	PC		
ATLANTA, GA 30332			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
399 HARVARD STREET	PC		
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
399 HARVARD STREET	PC		
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
399 HARVARD STREET	PC		
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
399 HARVARD STREET	PC		
CAMBRIDGE, MA 02138			
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
601 EAST JOHN STREET	PC		
CHAMPAIGN, IL 61820			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
601 EAST JOHN STREET	PC		
CHAMPAIGN, IL 61820			
THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOI	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
601 EAST JOHN STREET	PC		
CHAMPAIGN, IL 61820			
INDIANA UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
107 SOUTH INDIANA AVENUE	PC		
BLOOMINGTON, IN 47405			
INDIANA UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
107 SOUTH INDIANA AVENUE	PC		
BLOOMINGTON, IN 47405			
UNIVERSITY OF MARYLAND,	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
COLLEGE PARK	PC		
COLLEGE PARK, MD 20742			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	49,821.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
77 MASSACHUSETTS AVENUE	PC		
CAMBRIDGE, MA 02139			
ROYAL INSTITUTION FOR THE ADVANCEMENT OF LEARNING	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
845 SHERBROOKE STREET WEST H3A	PC		
MONTREAL			
CANADA			
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
503 THOMPSON STREET	PC		
ANN ARBOR, MI 48109-1274			
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
503 THOMPSON STREET	PC		
ANN ARBOR, MI 48109-1274			
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
503 THOMPSON STREET	PC		
ANN ARBOR, MI 48109-1274			
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
503 THOMPSON STREET	PC		
ANN ARBOR, MI 48109-1274			

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	ALFRED P. SLOAN FELLOWSHIP.	13,348.
503 THOMPSON STREET	PC		
ANN ARBOR, MI 48109-1274			
THE REGENTS OF THE UNIVERSITY OF MINNESOTA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
200 OAK STREET SE	PC		
MINNEAPOLIS, MN 55455			
THE REGENTS OF THE UNIVERSITY OF MINNESOTA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
200 OAK STREET SE	PC		
MINNEAPOLIS, MN 55455			
THE REGENTS OF THE UNIVERSITY OF MINNESOTA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
200 OAK STREET SE	PC		
MINNEAPOLIS, MN 55455			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
70 WASHINGTON SQUARE NORTH	PC		
NEW YORK, NY 10012-1019			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	49,052.
70 WASHINGTON SQUARE NORTH	PC		
NEW YORK, NY 10012-1019			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NORTH CAROLINA,	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
CHAPEL HILL	PC		
CHAPEL HILL, NC 27514			
NORTHWESTERN UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
633 CLARK STREET	PC		
EVANSTON, IL 60208			
NORTHWESTERN UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
633 CLARK STREET	PC		
EVANSTON, IL 60208			
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	36,711.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			
THE TRUSTEES OF PRINCETON UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
701 CARNEGIE CENTER STE 154	PC		
PRINCETON, NJ 08540			
PURDUE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1281 WIN HENTSCHEL BLVD.	PC		
WEST LAFAYETTE, IN 47906			
RICE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
6100 MAIN STREET	PC		
HOUSTON, TX 77251			
UNIVERSITY OF ROCHESTER	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
500 JOSEPH C. WILSON BLVD.	PC		
ROCHESTER, NY 14627			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF ROCHESTER	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
500 JOSEPH C. WILSON BLVD.	PC		
ROCHESTER, NY 14627			
THE ROCKEFELLER UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1230 YORK AVENUE	PC		
NEW YORK, NY 10021-6399			
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
83 SOMERSET ST.	PC		
NEW BRUNSWICK, NJ 08901-1281			
UNIVERSITY OF SOUTH FLORIDA, BOARD OF TRUSTEES, A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
12901 BRUCE B. DOWNS BLVD.	PC		
TAMPA, FL 33620			
UNIVERSITY OF SOUTH FLORIDA, BOARD OF TRUSTEES, A	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
12901 BRUCE B. DOWNS BLVD.	PC		
TAMPA, FL 33620			
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
UNIVERSITY PARK	PC		
LOS ANGELES, CA 90089			

ATTACHMENT 21 0170665-00002 AMEND

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
UNIVERSITY PARK	PC		
LOS ANGELES, CA 90089			
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	NOVE.	NAMES OF STREET	50.000
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
JUNIOR UNIVERSITY	PC		
STANFORD, CA 94305			
THE RESEARCH FOUNDATION OF STATE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
UNIVERSITY OF NEW YORK	PC		
STONY BROOK, NY 11794			
UNIVERSITY OF TEXAS, AUSTIN	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
110 INNER CAMPUS	PC		
AUSTIN, TX 78712			
UNIVERSITY OF TEXAS	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
SOUTHWESTERN MEDICAL CENTER	PC		
DALLAS, TX 75390-9105			
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
TORONTO, ON M5S 1A1	PC		
CANADA			
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
TORONTO, ON M5S 1A1	PC		
CANADA			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND		
FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PC		
	FOUNDATION STATUS OF RECIPIENT NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC	FOUNDATION STATUS OF RECIPIENT NONE PC ALFRED P. SLOAN FELLOWSHIP. ALFRED P. SLOAN FELLOWSHIP.

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1410 NE CAMPUS PKWY	PC		
SEATTLE, WA 98195			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1410 NE CAMPUS PKWY	PC		
SEATTLE, WA 98195			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
1410 NE CAMPUS PKWY	PC		
SEATTLE, WA 98195			
UNIVERSITY OF WASHINGTON	NONE	ALFRED P. SLOAN FELLOWSHIP.	32,022.
1410 NE CAMPUS PKWY	PC		
SEATTLE, WA 98195			
UNIVERSITY OF WATERLOO	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
WATERLOO, ON N2L 3G1	PC		
CANADA			
WAYNE STATE	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
UNIVERSITY	PC		
DETROIT, MI 48202			

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WELLESLEY COLLEGE	NONE	ALFRED P. SLOAN FELLOWSHIP.	31,712.
106 CENTRAL STREET	PC		
WELLESLEY, MA 02181			
UNIVERSITY OF WISCONSIN, MADISON	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
500 LINCOLN DRIVE	PC		
MADISON, WI 53706-1380			
UNIVERSITY OF WISCONSIN, MADISON	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
500 LINCOLN DRIVE	PC		
MADISON, WI 53706-1380			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PO BOX 208239	PC		
NEW HAVEN, CT 06520			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PO BOX 208239	PC		
NEW HAVEN, CT 06520			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PO BOX 208239	PC		
NEW HAVEN, CT 06520			

ATTACHMENT 21 (CONT'D)

RELATIONSHIP T	ГО	SUBSTANTIAL	CONTRIBUTOR
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	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YALE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PO BOX 208239	PC		
NEW HAVEN, CT 06520			
YALE UNIVERSITY	NONE	ALFRED P. SLOAN FELLOWSHIP.	50,000.
PO BOX 208239	PC		
NEW HAVEN, CT 06520			
AMERICAN ACADEMY OF ARTS AND SCIENCES	NONE	TO ADVANCE THINKING ABOUT HOW THE NATION CAN	50,000.
136 IRVING STREET	PC	IMPROVE POLICY MAKING IN AREAS RELATED TO SCIENCE	
CAMBRIDGE, MA 02138		AND TECHNOLOGY. IT WILL DRAW ON THE BEST	
		THINKING OF A DISTINGUISHED COMMITTEE TO PRODUCE	
		RECOMMENDATIONS TO SUSTAIN INTEREST AND IMPLEMENT	
		KEY.	
AMERICAN CHEMICAL SOCIETY	NONE	TO FUND A SURVEY OF GRADUATE STUDENT LIFE AND	12,500.
1155 SIXTEENTH STREET, NW	PC	CAREER PROSPECTS IN THE CHEMICAL SCIENCES.	
WASHINGTON, DC 20036			
AMERICAN COUNCIL ON EDUCATION	NONE	TO PLAN AND EXECUTE A CULMINATING EVENT FOR THE	118,259.
ONE DUPONT CIRCLE, NW	PC	NATIONAL CHALLENGE FOR HIGHER EDUCATION TO ENSURE	
WASHINGTON, DC 20036-1193		A DIVERSE AND EXCELLENT 21ST CENTURY WORK FORCE	
		BY PROVIDING WORKPLACE FLEXIBILITY FOR FACULTY AT	
		ALL STAGES OF THEIR CAREERS.	
AMERICAN PHYSICAL SOCIETY	NONE	TO FUND THE EDWARD A. BOUCHET LECTURESHIP AWARD	6,000.
1 PHYSICS ELLIPSE		FOR THREE YEARS WHILE THE AMERICAN PHYSICAL	
		SOCIETY RAISES ENDOWMENT FUNDING FOR IT.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP	J.O	SUBSTANTIAL	CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLLEGE PARK, MD 20740	PC		
AMERICAN SOCIETY FOR ENGINEERING EDUCATION	NONE	TO CAPTURE FOR LATER WEBCASTING A PANEL	5,434.
1818 N STREET, NW	PC	DISCUSSION ON DIVERSIFYING ENGINEERING FACULTY	
WASHINGTON, DC 20036		AND ADMINISTRATORS (DEPARTMENT CHAIRS/HEADS,	
		ASSOCIATE DEANS, AND DEANS) TO BE HELD AT THE	
		APRIL 2013 ENGINEERING DEANS INSTITUTE IN NEW	
		YORK CITY.	
AMERICAN SOCIETY FOR ENGINEERING EDUCATION	NONE	TO PRODUCE A SERIES OF VIDEO SEGMENTS TO SERVE AS	14,391.
1818 N STREET, NW	PC	A CATALYST FOR ENGINEERING DEANS, CHAIRS, AND	
WASHINGTON, DC 20036		FACULTY TO DISCUSS ISSUES RELATED TO SPECIFIC	
		IMPEDIMENTS TO INCREASING DIVERSITY, AND	
		STRATEGIES TO OVERCOME SUCH IMPEDIMENTS.	
ARIZONA BOARD OF REGENTS, THE UNIVERSITY OF ARIZON	NONE	TO PLAN FOR A NEW COLLABORATIVE DIRECTION FOR THE	123,050.
PO BOX 3308	PC	FOUR CAMPUS GROUPS THAT NOW CONSTITUTE THE SLOAN	
TUCSON, AZ 85722-3308		INDIGENOUS GRADUATE PARTNERSHIP (SIGP).	
ASSOCIATION OF PUBLIC AND LAND-GRANT UNIVERSITIES	NONE	TO CREATE MORE ROBUST DEAL FLOW AS MEASURED BY	20,000.
1307 NEW YORK AVENUE, N.W.	PC	THE LAUNCHING OF NEW VENTURES, INCREASED NUMBER	
WASHINGTON, DC 20005-4722		OF TECH-ENTREPRENEURS AT BOTH THE FACULTY AND	
		TECH-ENTREPRENEURIAL ACTIVITIES.	
ASSOCIATION OF RESEARCH LIBRARIES	NONE	TO DEVELOP A PROOF OF CONCEPT PROTOTYPE FOR THE	50,000.
		SHARED ACCESS RESEARCH ECOSYSTEM (SHARE)	
		FEDERATED DIGITAL REPOSITORY FOR THE PUBLIC	
		PRESERVATION OF RESEARCH ARTICLES AND DATA	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
21 DUPONT CIR NW STE 800	PC	PRODUCED BY HIGHER EDUCATION.	
	FC	PRODUCED BY HIGHER EDUCATION.	
WASHINGTON, DC 20036-1543			
BIOBRICKS FOUNDATION INCORPORATED	NONE	TO ENHANCE DISCUSSION OF ETHICAL, RISK	100,000.
955 MASSACHUSETTS AVENUE, #330	PC	GOVERNANCE, POLICY, AND SOCIETAL DIMENSIONS AT	
CAMBRIDGE, MA 02139		THE 6TH ANNUAL INTERNATIONAL MEETING OF THE	
		SYNTHETIC BIOLOGY COMMUNITY.	
BOSTON SYMPHONY ORCHESTRA, INC.	NONE	TO SUPPORT THE DEVELOPMENT OF AN OPEN SOURCE WEB	122,280.
301 MASSACHUSETTS AVENUE	PC	INTERFACE THAT LINKS HISTORICAL PERFORMANCE DATA	
BOSTON, MA 02115-4557		WITH DIGITIZED PROGRAMS AND OTHER ARCHIVAL	
		MATERIAL.	
BRANDEIS UNIVERSITY	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE 2013	25,000.
415 SOUTH STREET	PC	SLOAN-SWARTZ ANNUAL MEETING ON COMPUTATIONAL	
WALTHAM, MA 02454		NEUROSCIENCE.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO BRING TOGETHER WORLD-RENOWNED ENERGY	31,250.
1995 UNIVERSITY AVENUE	PC	ECONOMISTS TO DISCUSS AND EXPLORE NEW RESEARCH	
BERKELEY, CA 94720		IDEAS ON ENERGY MARKETS.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO MAINTAIN THE 16% MINORITY ENROLLMENT RATE IN	20,000.
1 SHIELDS AVENUE	PC	THE DEPARTMENT OF CHEMISTRY AND TO DETERMINE	,
DAVIS, CA 95616		WHETHER PROVIDING SMALL AMOUNTS OF FUNDS TO	
		DOCTORAL STUDENTS WHEN THEY INITIALLY ARRIVE AT	
		THE UNIVERSITY CAN MAINTAIN THE SMALL DROPOUT	
		RATE.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

DEGENERAL NAME AND ADDRESS	EQUADARION GRANING OF DEGLATIONS	DUDDOGE OF CDANE OD COMBUTANTON	A MOLTATE
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN D	NONE	TO STUDY TRAINING AND INNOVATION IN THE SCIENCE	113,940.
9500 GILMAN DRIVE	PC	AND ENGINEERING WORKFORCE.	
LA JOLLA, CA 92093			
COLD SPRING HARBOR LABORATORY	NONE	TO RUN A REGIONAL CONFERENCE THAT HELPS NEW	20,000.
PO BOX 354	PC	RESEARCH AND TEACHING FACULTY OBTAIN FEDERAL	
COLD SPRING HARBOR, NY 11724-0534		FUNDING FOR THEIR SCIENTIFIC PROJECTS.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO EXAMINE THE ROLE OF FLOOD DAMAGE AND RECOVERY	30,000.
1800 GRANT ST STE 400	PC	TO HOUSE-ASSOCIATED MICROBIAL COMMUNITIES.	
DENVER, CO 80203			
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	TO PROVIDE SUPPORT FOR EDITING AN EDUCATIONAL	20,000.
1210 AMSTERDAM AVENUE	PC	VIDEO ABOUT FINANCIAL INNOVATION, MARKETS, AND	
NEW YORK, NY 10027		REGULATION SO THAT IT MEETS WNET/PBS GUIDELINES	
		FOR BROADCASTING.	
THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF	NONE	FOR SUPPORT OF THE CENTER ON GLOBAL ENERGY	50,000.
1210 AMSTERDAM AVENUE	PC	POLICY'S EXTERNAL SPEAKER SERIES TO HELP INFORM	
NEW YORK, NY 10027		PUBLIC DEBATE.	
DUKE UNIVERSITY	NONE	TO IDENTIFY KEY FISCAL ISSUES FACED BY LOCAL	124,346.
450 RESEARCH DRIVE	PC	GOVERNMENTS EXPERIENCING NEW OR INCREASED OIL AND	
DURHAM, NC 27708		GAS DEVELOPMENT AND DESCRIBE POLICY APPROACHES	
		FOR MANAGING THESE ISSUES.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FINANCE FLOWS, INC	NONE	TO ESTABLISH A NON-PROFIT DESIGNED TO LAUNCH AND	20,000.
21 WEST STREET	NC	ADMINISTER A 2 YEAR FELLOWSHIP WORK PROGRAM	
NEW YORK, NY 10006		RE-EMPLOYING OLDER (50+) SENIOR MANAGERS IN	
		MULTIPLE NEW YORK CITY METRO AREA INDUSTRIES.	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE, D.B.A HA	NONE	TO TEST WHETHER THE PH OF SURFACES IN BUILT	125,000.
220 LONGWOOD AVENUE	PC	ENVIRONMENTS INFLUENCES THE COMPOSITION OF	
BOSTON, MA 02115		MICROBIAL COMMUNITIES THAT RESIDE THERE.	
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO STUDY THE EFFECTS OF ACADEMIC HIRING,	19,200.
220 LONGWOOD AVENUE	PC	PROMOTION, DIVERSITY, AND WORK-LIFE POLICIES ON	
CAMBRIDGE, MA 02138		THE PROFESSIONAL ADVANCEMENT OF STEM FACULTY FROM	
		UNDERREPRESENTED GROUPS, AND OVERALL FACULTY	
		DIVERSITY.	
THE UNIVERSITY OF HONG KONG	NONE	TO SUPPORT THE SECOND INTERNATIONAL SLOAN	74,918.
POKFULAM	PC	SYMPOSIUM ON MICROBIOLOGY OF THE BUILT	
HONG KONG		ENVIRONMENT AT INDOOR AIR 2014 IN HONG KONG.	
HONG KONG			
INTERNATIONAL ENERGY POLICY AND PROGRAMME EVALUATI	NONE	TO ACCELERATE AND ADVANCE THE PROFESSION ON	10,000.
21 GRAHAM STREET	PC	ENERGY EVALUATION THROUGH INSTILLING AN INTEREST	
BIRMINGHAM B1 3JR		IN AND CONNECTIONS TO PROFESSIONAL EVALUATION FOR	
UNITED KINGDOM		ANY PROGRAM.	
KANSAS UNIVERSITY ENDOWMENT ASSOCIATION	NONE	TO PARTIALLY SUPPORT THE 2013 NORTH AMERICAN DDI	6,500.
P.O. BOX 928	PC	CONFERENCE.	
LAWRENCE, KS 66044-0928			

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NONE	TO ENHANCE THE FELLOWS' SUCCESS RATES FOR GRANT	78,794.
PC		,0,,,1.
	ADDRESS DIVERSITY CHALLENGES, AND TEACH FELLOWS	
	THE BEHAVIORS AND STRATEGIES WHICH CONTRIBUTE TO	
	SUCCESS.	
NONE	AS SUPPORT FOR THE SCIENTIFIC COMPONENT OF A	50,000.
PC	MONTH-LONG MULTIMEDIA LIBRARY PROGRAM ON THE	
	CONTEMPORARY RELEVANCE OF MOBY DICK.	
NONE	TO EXPLORE NEW METHODS FOR FUNDING SCIENTIFIC	20,000.
PC	RESEARCH.	
NONE	TO SUPPORT A TWO-DAY WORKSHOP ON THE CHANGING	50,000.
PC	FACE OF PUBLIC SCIENCE ENGAGEMENT AND POTENTIAL	
	RESEARCH IN THIS AREA.	
NONE	TO HOLD A WORKSHOP THAT INFORMS AND ARTICULATES A	45,000.
PC	ROADMAP FOR RESEARCH ON PRIVACY-PRESERVING	
	TECHNIQUES FOR PROCESSING LARGE SETS OF DATA.	
NONE	TO PROVIDE PARTIAL SUPPORT FOR A SYMPOSIUM ON	10,000.
PC	DECISION-MAKING UNDER UNCERTAINTY: RISK	
	ASSESSMENT AND THE BEST AVAILABLE SCIENCE.	
	NONE PC NONE PC NONE PC NONE PC NONE PC NONE PC	TO ENHANCE THE FELLOWS' SUCCESS RATES FOR GRANT APPLICATIONS, PROVIDE FELLOWS WITH PROBLEM-SOLVING TECHNIQUES THAT CAN BE USED TO ADDRESS DIVERSITY CHALLENGES, AND TEACH FELLOWS THE BEHAVIORS AND STRATEGIES WHICH CONTRIBUTE TO SUCCESS. NONE AS SUPPORT FOR THE SCIENTIFIC COMPONENT OF A MONTH-LONG MULTIMEDIA LIBRARY PROGRAM ON THE CONTEMPORARY RELEVANCE OF MOBY DICK. NONE TO EXPLORE NEW METHODS FOR FUNDING SCIENTIFIC RESEARCH. TO SUPPORT A TWO-DAY WORKSHOP ON THE CHANGING PACE OF PUBLIC SCIENCE ENGAGEMENT AND POTENTIAL RESEARCH IN THIS AREA. NONE TO HOLD A WORKSHOP THAT INFORMS AND ARTICULATES A PC ROADMAP FOR RESEARCH ON PRIVACY-PRESERVING TECHNIQUES FOR PROCESSING LARGE SETS OF DATA. NONE TO PROVIDE PARTIAL SUPPORT FOR A SYMPOSIUM ON DECISION-MAKING UNDER UNCERTAINTY: RISK

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MONTANA TECH OF THE UNIVERSITY OF MONTANA	NONE	TO FUND FOR AN ADDITIONAL THREE YEARS THE	24,065.
1300 WEST PARK STREET	PC	RECRUITMENT AND RETENTION PORTION OF THE SLOAN	
BUTTE, MT 59701-8997		INDIGENOUS GRADUATE PARTNERSHIP AT MONTANA TECH.	
INTUEDCTBY OF MONTANA	NONE	TO FUND AN ADDITIONAL THREE YEARS OF THE	19,400.
UNIVERSITY OF MONTANA 32 CAMPUS DRIVE	PC	NON-SCHOLARSHIP COMPONENT OF THE SLOAN INDIGENOUS	19,400.
	PC		
MISSOULA, MT 59812		GRADUATE PARTNERSHIP AT THE UNIVERSITY OF	
		MONTANA.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO PROVIDE PARTIAL SUPPORT FOR A SCOPING MEETING	17,886.
500 5TH STREET, NW	PC	TO EXAMINE FUNDAMENTAL SHIFTS IN LIFE SCIENCE.	
WASHINGTON, DC 20001		17886	
NATIONAL ACADEMY OF SCIENCES	NONE	TO CONVENE A WORKSHOP TO EXPLORE THE KEY STRESS	125,000.
500 5TH STREET, NW	PC	POINTS IN THE ARC OF AN ACADEMIC RESEARCH CAREER	
WASHINGTON, DC 20001		AND THE IMPACT THAT POLICIES AND PRACTICES IN	
		EACH OF THESE AREAS HAS ON THE OTHERS.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO STRENGTHEN THE NATIONAL ACADEMY OF SCIENCES AS	125,000.
500 5TH STREET, NW	PC	IT CELEBRATES ITS 150TH ANNIVERSARY.	
WASHINGTON, DC 20001			
NEW YORK COUNTY DISTRICT ATTORNEY	NONE	TO SUPPORT A PILOT STUDY TO EXAMINE DIGITAL COURT	60,000.
ONE HOGAN PLACE	NC	DOCUMENTS TO DETECT AND MEASURE THE PREVALENCE OF	
NEW YORK, NY 10013		PERJURY AND DEVELOP OPTIONS TO MITIGATE IT	

ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK PUBLIC LIBRARY	NONE	TO SUPPORT A SUMMER TEACHERS FELLOWSHIP PROGRAM	60,000.
FIFTH AVENUE AND 42ND STREET	PC	AT THE NEW YORK PUBLIC LIBRARY TO DEFINE BEST	60,000.
NEW YORK, NY 10018	FC	PRACTICES FOR HOW NYPL RESOURCES AND OTHER	
NEW TORK, NI 10016		DIGITAL COLLECTIONS COULD BE USED AND TO SHARE	
		THAT INFORMATION WITH THE DPLA FOR THE BENEFIT OF	
		BROADER AUDIENCES.	
		DIOADER AUDIENCES.	
NEW YORK UNIVERSITY	NONE	TO STUDY THE ACCESSIBILITY AND EFFECTIVENESS OF	124,308.
70 WASHINGTON SQUARE NORTH	PC	PROGRAMS FOR GIFTED AND TALENTED STUDENTS OFFERED	
NEW YORK, NY 10012-1019		BY NEW YORK CITY'S DEPARTMENT OF EDUCATION.	
NORTH CAROLINA STATE UNIVERSITY	NONE	TO BETTER UNDERSTAND THE RESEARCH ON HOW	81,951.
203 PEELE HALL, CAMPUS BOX 7103	PC	EMPLOYEES RESPOND TO INDIVIDUALS DESIRING TO WORK	
RALEIGH, NC 27695		LONGER.	
NORTHWESTERN UNIVERSITY	NONE	TO SUPPORT A LANDSCAPE SURVEY AND WORKSHOP ON	77,947.
633 CLARK STREET	PC	DATA SHARING FROM RESEARCH USING TECHNOLOGICAL	
EVANSTON, IL 60208		TOOLS TO STUDY HUMAN BEHAVIOR IN DIGITAL	
		ENVIRONMENTS.	
OPEN KNOWLEDGE FOUNDATION	NONE	TO PROTOTYPE INTEROPERABILITY BETWEEN CITIZEN	79,350.
37 PANTON STREET	NC	SCIENCE PLATFORMS.	
CAMBRIDGE CB2 1HL			
UNITED KINGDOM			
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O	NONE	TO ORGANIZE AN INTERNATIONAL WORKSHOP OF EARLY	80,000.
WELLINGTON SOURCE OXFORD OX1 2JD	PC	CAREER SCIENTISTS FROM AROUND THE DEEP CARBON	80,000.
UNITED KINGDOM		OBSERVATORY.	
ONTIED KINGDOM		ODDERVATORI.	

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF PITTSBURGH	NONE	TO DETERMINE THE CHANGES IN THE MICROBIAL ECOLOGY	33,000.
350 THACKERAY HALL	PC	OF A HOSPITAL HOT WATER SYSTEM CAUSED BY THE	
PITTSBURGH, PA 15213		INTRODUCTION OF A SECONDARY DISINFECTANT.	
POLYTECHNIC INSTITUTE OF NEW YORK UNIVERSITY	NONE	TO LAUNCH AND DOCUMENT AN INTERNATIONAL SEMINAR	20,000.
SIX METROTECH CENTER	PC	SERIES ON FINANCE ENGINEERING AND REGULATION.	
BROOKLYN, NY 11201			
RESERVE ELDER SERVICE INC	NONE	TO DEVELOP A BUSINESS PLAN FOR RECAP, A NEW	45,000.
150 COURT STREET	PC	WORKFORCE DEVELOPMENT STRATEGY FOR OLDER WORKERS	
BROOKLYN, NY 11201		WHO FACE BARRIERS TO EMPLOYMENT DUE TO THEIR AGE,	
		SKILL LEVEL AND WORKPLACE REQUIREMENTS.	
RICE UNIVERSITY	NONE	TO CONVENE A MEETING ON NEW TECHNOLOGIES IN DEEP	96,566.
6100 MAIN STREET	PC	CARBON SCIENCE POTENTIALLY INTERESTING TO	50,500.
HOUSTON, TX 77251		RELEVANT INDUSTRIES AND TO INITIATE STUDIES ON	
HOUSION, IA //231		DECARBONATION OF CONTINENTAL CRUST.	
		DECARBONATION OF CONTINENTAL CROST.	
RICE UNIVERSITY	NONE	TO CONVENE RESEARCHERS FUNDED BY THE SLOAN	15,000.
6100 MAIN STREET	PC	FOUNDATION TO WORK ON ASPECTS OF U.S. SHALE GAS	
HOUSTON, TX 77251		DEVELOPMENT.	
SKIDMORE COLLEGE	NONE	TO REDUCE SCIENCE FACULTY MEMBERS' EXPLICIT	74,980.
815 NORTH BROADWAY	PC	(CONSCIOUSLY-HELD) AND IMPLICIT (AUTOMATIC OR	
SARATOGA SPRINGS, NY 12866-1632		UNINTENDED) GENDER BIASES.	

0170665-00002 AMEND

ATTACHMENT 21

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ATTACHMENT 21 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
0.7777.0.377.37.77.77.73.7	NO.	TO GOLD THE DELIVER AND THE STREET, OUT THE STREET	110 000
SMITHSONIAN INSTITUTION	NONE	TO COMBINE DEVELOPING AND EXISTING SMITHSONIAN	110,000.
P.O. BOX 37012	PC	RESOURCES IN NOVEL WAYS TO INVESTIGATE, DOCUMENT,	
WASHINGTON, DC 20013-7012		AND DEMONSTRATE A PROTOTYPICAL WORKING MODEL FOR	
		MANAGING THE DIGITAL INFORMATION LIFECYCLE.	
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	TO ORGANIZE AN INTERNATIONAL CONFERENCE ON	33,000.
JUNIOR UNIVERSITY	PC	REGIONAL CARBON POLICIES TO MITIGATE CLIMATE	
STANFORD, CA 94305		CHANGE AND ITS IMPACTS AROUND THE WORLD.	
TIAA-CREF INSTITUTE	NONE	TO CONVENE A SELECT GROUP OF POLICYMAKERS, THINK	104,650.
750 THIRD AVENUE	NC	TANKS, ACADEMIC RESEARCHERS, & PRESS TO CONSIDER	
NEW YORK, NY 10017		TWO APPROACHES, SUGGESTED BY THE NATIONAL ACADEMY	
		OF SCIENCES STUDY, THAT ADDRESS THE ECONOMIC	
		CHALLENGES OF AN AGING POPULATION-WORKING LONGER	
		& SAVING MORE.	
TRIBECA FILM INSTITUTE INC	NONE	FOR SUPPORT FOR RESEARCH AND WRITING OF A	100,000.
375 GREENWICH STREET	PC	TREATMENT AND FIRST DRAFT OF A MINI-SERIES ABOUT	
NEW YORK, NY 10013		HEDY LAMARR BASED ON RICHARD RHODES'S BOOK.	
UNIVERSITY COLLEGE LONDON	NONE	TO PLAN AND CONDUCT THE INAUGURAL SUMMER SCHOOL	80,000.
GOWER ST	PC		
LONDON		ASSOCIATES OF THE DEEP CARBON OBSERVATORY.	
UNITED KINGDOM			
WORCESTER POLYTECHNIC INSTITUTE	NONE	TO ASSEMBLE INITIAL EMPLOYMENT AND CAREER	125,000.
100 INSTITUTE ROAD	PC	PROGRESSION DATA ON ~3,000 GRADUATES OF	123,000.
WORCESTER, MA 01609-2280		PROGRESSION DATA ON ~5,000 GRADUATES OF PROFESSIONAL SCIENCE MASTER'S (PSM) DEGREES FOR	
WORCESIER, MA UIOUS-2200		PROFESSIONAL SCIENCE MASIER'S (PSM) DEGREES FOR PROGRAM IMPROVEMENT PURPOSES.	
		FROGRAM IMPROVEMENT PURPOSES.	

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

NEW HAVEN, CT 06520

ATTACHMENT 21 (CONT'D)

TOTAL CONTRIBUTIONS PAID

49,336.

82,091,585.

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

RECIPIENT NAME AND ADDRESS FOUNDATION STATUS OF RECIPIENT PURPOSE OF GRANT OR CONTRIBUTION AMOUNT

YALE UNIVERSITY NONE TO EXAMINE HOW BUILDING MOISTURE INFLUENCES

PO BOX 208239 PC FUNGAL AND BACTERIAL ECOLOGY IN HOUSE DUST.

NEW HAVEN, CT 06520

YALE UNIVERSITY NONE TO SUPPORT A WORKSHOP ON MODELING OF THE DEEP 66,971.

PO BOX 208239 PC CARBON CYCLE FOR THE DEEP CARBON OBSERVATORY.

ATTACHMENT 21
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ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AMERICAN ACADEMY OF ARTS AND SCIENCES	NONE	TO PROVIDE FURTHER FUNDING FOR THE GLOBAL NUCLEAR	20,872.
136 IRVING STREET	PC	FUTURE INITIATIVE.	
CAMBRIDGE, MA 02138			
AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENC	NONE	TO ADMINISTER A PUBLIC POLICY FELLOWSHIP FOR	308,426.
1200 NEW YORK AVENUE, NW	PC	PLACING BEHAVIORAL AND SOCIAL SCIENTISTS IN THE	
WASHINGTON, DC 20005		FEDERAL GOVERNMENT.	
AMERICAN COUNCIL ON EDUCATION	NONE	TO DEEPEN UNDERSTANDING WITHIN HIGHER EDUCATION	337,318.
ONE DUPONT CIRCLE, NW	PC	AS TO HOW INSTITUTIONS CAN SUPPORT SENIOR FACULTY	
WASHINGTON, DC 20036-1193		CHOOSING TO WORK.	
AMERICAN ECONOMIC ASSOCIATION INC	NONE	TO LAUNCH A STUDY REGISTRY FOR RANDOMIZED	62,401.
2014 BROADWAY, STE 305	PC	CONTROLLED TRIALS IN ECONOMICS.	
NASHVILLE, TN 37203			
AMERICAN FILM INSTITUTE INC.	NONE	TO ENCOURAGE THE NEXT GENERATION OF STORYTELLERS	96,000.
2021 NORTH WESTERN AVENUE	PC	TO CREATE MORE REALISTIC AND DRAMATIC STORIES	
LOS ANGELES, CA 90027		ABOUT SCIENCE AND TECHNOLOGY, AND TO CHALLENGE	
		STEREOTYPES.	
AMERICAN INSTITUTES FOR RESEARCH IN THE BEHAVIORAL	NONE	TO STUDY SCIENTIFIC COLLABORATION AND	436,312.
1000 THOMAS JEFFERSON STREET, NW	PC	PRODUCTIVITY AT THE PROJECT TEAM LEVEL.	
WASHINGTON, DC 20007-3835			

ATTACHMENT 22 0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
			105.000
ARIUS ASSOCIATION	NONE	TO CONTINUE EFFORTS TO HELP INITIATE WORKING	105,000.
TAFERNSTRASSE 11	NC	GROUPS ON REGIONAL REPOSITORIES FOR SPENT NUCLEAR	
BADEN CH-5405		FUEL AND RADIOACTIVE WASTES.	
SWITZERLAND			
ASTROPHYSICAL RESEARCH CONSORTIUM	NONE	TO SUPPORT THE SLOAN DIGITAL SKY SURVEY IV, WHICH	9,500,000.
UNIVERSITY OF WASHINGTON	PC	WILL STUDY THE HISTORY OF THE MILKY WAY, THE	
SEATTLE, WA 98195		EVOLUTION OF GALAXIES, AND THE EXPANSION OF THE	
		UNIVERSE AND DARK ENERGY OVER THE LAST 12 BILLION	
		YEARS.	
BAYLOR COLLEGE OF MEDICINE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	193,250.
1 BAYLOR PLAZA	PC	RESEARCH IN ECONOMICS.	173,230.
HOUSTON, TX 77030	FC	RESEARCH IN ECONOMICS.	
HOUSTON, 12 //030			
BIPARTISAN POLICY CENTER	NONE	TO BUILD BROAD-BASED SUPPORT FROM MULTIPLE	116,765.
1225 I STREET, NW	PC	STAKEHOLDER GROUPS ON OPTIONS TO ADDRESS THE	
WASHINGTON, DC 20005		NATION'S NUCLEAR WASTE CHALLENGES.	
UNIVERSITY OF BRITISH COLUMBIA	NONE	TO ENABLE THE BAY VIEW ALLIANCE TO ACCELERATE THE	298,299.
VANCOUVER, BC V6T 1Z4	PC	RATE OF ADAPTATION, EXPLORATION, AND EFFECTIVE	230,233.
CANADA	PC	INTEGRATION OF METHODS OF INSTRUCTION THAT BETTER	
CANADA			
		SUPPORT IMPROVED STUDENT LEARNING, TARGETING KEY	
		STEM GATEWAY COURSES.	
BROOKE BOREL	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON	40,000.
287 9TH ST.	NC	THE HISTORY OF THE BED BUG AND ITS IMPACT ON	
BROOKLYN, NY 11215		SCIENTIFIC RESEARCH, PEST CONTROL AND THE GENERAL	
		PUBLIC.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE BROOKINGS INSTITUTION	NONE	FOR AN ANNUAL AND INDEPENDENT FORUM THAT WILL	75,000.
1775 MASSACHUSETTS AVENUE, NW	PC	IDENTIFY, ANALYZE, DISCUSS, AND PROMOTE OPTIONS	
WASHINGTON, DC 20036		FOR INTERNATIONAL MONETARY REFORM.	
THE BROOKINGS INSTITUTION	NONE	TO PUBLISH THROUGH BPEA FINANCIAL RESEARCH AND	217,622.
1775 MASSACHUSETTS AVENUE, NW	PC	ECONOMIC DATA THAT IS ACCESSIBLE, RELIABLE, AND	
WASHINGTON, DC 20036		INFLUENTIAL.	
BUSINESS-HIGHER EDUCATION FORUM	NONE	TO LAUNCH AND SCALE NEW CURRICULAR AND	120,859.
2025 M STREET NW SUITE 800	PC	PEDAGOGICAL MODELS OF INDUSTRY-HIGHER EDUCATION	
WASHINGTON, DC 20036-0000		COLLABORATION AIMED AT INCREASING THE RECRUITMENT	
		AND PERSISTENCE OF STEM STUDENTS.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO ANALYZE THE NEONATAL INTENSIVE CARE UNIT ROOM	200,000.
1998 UNIVERSITY AVENUE	PC	ENVIRONMENT AS A SOURCE OF MICROORGANISMS	
BERKELEY, CA 94720		COLONIZING THE GASTROINTESTINAL TRACT OF	
		PREMATURE INFANTS.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO PLAN, COORDINATE, AND FACILITATE	200,000.
1998 UNIVERSITY AVENUE	PC	INTERDISCIPLINARY RESEARCH ON ENERGY EFFICIENCY.	200,000.
BERKELEY, CA 94720		INTERDICTI DININI ADDINGI ON DADROI DITTETENCI.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	FOR A THIRD YEAR OF FUNDING TO CONTINUE TO	192,283.
1998 UNIVERSITY AVENUE	PC	DEVELOP SOLUTIONS TO COPYRIGHT LAW OBSTACLES	
BERKELEY, CA 94720		FACED BY DIGITAL LIBRARY INITIATIVES SUCH AS THE	
		DIGITAL PUBLIC LIBRARY OF AMERICA (DPLA).	

0170665-00002 AMEND

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	THE		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO PROVIDE RENEWED SUPPORT FOR A LEADERSHIP	146,996.
1998 UNIVERSITY AVENUE	PC	DEVELOPMENT COURSE FOR EARLY CAREER SYNTHETIC	
BERKELEY, CA 94720		BIOLOGY RESEARCHERS.	
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO INVESTIGATE AND PROMOTE TRANSPARENCY STANDARDS	109,402.
1998 UNIVERSITY AVENUE	PC	FOR SOCIAL SCIENCE RESEARCH.	
BERKELEY, CA 94720			
	WOW.	TO SOUTHWEN PROFITE AND ADDRESS OF THE SUPPLY OF	100.000
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO CONDUCT PRELIMINARY RESEARCH ON THE CHEMICAL	100,000.
1998 UNIVERSITY AVENUE	PC	EMISSIONS FROM HUMAN OCCUPANCY OF INDOOR SPACES.	
BERKELEY, CA 94720			
REGENTS OF THE UNIVERSITY OF CALIFORNIA, BERKELEY	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
1998 UNIVERSITY AVENUE	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
BERKELEY, CA 94720		AND NEW CAREER PATHS.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	NONE	TO PROVIDE RENEWED SUPPORT FOR THE MICROBIOLOGY	548,796.
1 SHIELDS AVENUE	PC	OF THE BUILT ENVIRONMENT NETWORK.	
DAVIS, CA 95616			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, IRVIN	NONE	TO CONDUCT NEWLY-DESIGNED FIELD EXPERIMENTS ON	109,964.
260 ALDRICH HALL	PC	AGE DISCRIMINATION IN U.S. LABOR MARKETS,	
IRVINE, CA 92717		ELIMINATING POTENTIAL BIASES IN EXISTING STUDIES,	
		SO AS TO PROVIDE POLICYMAKERS WITH A FIRMER BASIS	
		FOR UNDERSTANDING AGE DISCRIMINATION IN HIRING.	

ATTACHMENT 22 0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	177,400.
405 HILGARD AVENUE	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
LOS ANGELES, CA 90095			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	TO ADVANCE THE DEEP CARBON OBSERVATORY TOWARD ITS	650,000.
405 HILGARD AVENUE	PC	DECADAL GOALS IN THE STUDY OF THE PHYSICS AND	
LOS ANGELES, CA 90095		CHEMISTRY OF CARBON AT EXTREME CONDITIONS.	
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, SAN F	NONE	TO EXAMINE THE HOUSE DUST FUNGAL MICROBIOME.	125,000.
513 PARNASSUS AVENUE	PC		
SAN FRANCISCO, CA 94143			
CARNEGIE INSTITUTION OF WASHINGTON	NONE	TO INITIATE INTEGRATIVE AND SYNTHETIC RESEARCH	266,000.
1530 P STREET, N.W.	PC	NEEDED FOR THE DEEP CARBON OBSERVATORY TO REALIZE	
WASHINGTON, DC 20005		ITS FULL POTENTIAL BY 2019.	
CARNEGIE MELLON UNIVERSITY	NONE	TO STUDY THE ROLE OF TRANSPARENT DEVELOPMENT	245,631.
5000 FORBES AVENUE	PC	ENVIRONMENTS IN THE PRODUCTION OF SCIENTIFIC	
PITTSBURGH, PA 15213-3890		SOFTWARE.	
CARNEGIE MELLON UNIVERSITY	NONE	TO ENCOURAGE TOP FILM STUDENTS TO WRITE	85,000.
5000 FORBES AVENUE	PC	SCREENPLAYS ABOUT SCIENCE AND TECHNOLOGY.	
PITTSBURGH, PA 15213-3890			

ATTACHMENT 22 9080JN 700J 5/17/2016 3:13:23 PM V 13-7.15 0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CATTICUS CORPORATION	NONE	FOR PRODUCTION SUPPORT FOR A THREE-HOUR SERIES	500,000.
2600 TENTH STREET	PC	TRACING THE HISTORY OF SILICON VALLEY TO BE AIRED	
BERKELEY, CA 94710		ON PBS PRIMETIME, ALONG WITH DEVELOPMENT OF A	
		PUBLIC EDUCATION AND COMMUNITY OUTREACH	
		CAMPAIGN.	
CENTER FOR OPEN SCIENCE	NONE	TO HELP MOVE THE OPEN SCIENCE FRAMEWORK (OSF) TO	168,600.
2857 STRATFORD GLEN WAY	PC	VERSION 1.0, AND TO FOSTER THE DEVELOPMENT OF AN	
CHARLOTTESVILLE, VA 22911		OPEN SOURCE/OPEN SCIENCE COMMUNITY.	
THE CHEMICAL HERITAGE FOUNDATION	NONE	TO CREATE A CHEMISTRY SET IPAD APP FOR FREE	125,000.
315 CHESTNUT STREET	PC	DOWNLOAD THAT RECREATES THE EXPERIENCE OF WORKING	
PHILADELPHIA, PA 19106		WITH A REAL CHEMISTRY SET.	
THE UNIVERSITY OF CHICAGO	NONE	TO CHARACTERIZE THE SURFACE, AIR, WATER AND	106,900.
5801 SOUTH ELLIS AVENUE	PC	HUMAN-ASSOCIATED MICROBIAL COMMUNITIES IN TWO	
CHICAGO, IL 60637		HOSPITALS TO MONITOR CHANGES FOLLOWING THE	
		INTRODUCTION OF PATIENTS AND STAFF.	
CHRISTIE WILCOX	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A	25,000.
738 EKEKELA PLACE	NC	COMPREHENSIVE SCIENCE BLOGGING GUIDE FOR WRITERS	
HONOLULU, HI 96817		OF ALL EXPERIENCE LEVELS.	
COLD SPRING HARBOR LABORATORY	NONE	TO PROVIDE START-UP FUNDS FOR COLD SPRING HARBOR	1,000,000.
PO BOX 534	PC	LABORATORY'S (CSHL) NEW DNA CENTER IN NEW YORK	
COLD SPRING HARBOR, NY 11724-0534		CITY.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO ANALYZE THE POLITICAL COALITIONS SEEKING TO	55,309.
			55,309.
1800 GRANT ST STE 400	PC	INFLUENCE SHALE GAS DEVELOPMENT IN THE UNITED	
DENVER, CO 80203		STATES.	
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO ORGANIZE AND CONVENE THREE ANNUAL MEETINGS ON	75,000.
1800 GRANT ST STE 400	PC	THE MICROBIOLOGY OF THE BUILT ENVIRONMENT.	
DENVER, CO 80203			
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO EXPAND THE SCHOLARLY UNDERSTANDING OF	404,471.
1800 GRANT ST STE 400	PC	EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS,	
DENVER, CO 80203		AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM	
		MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS,	
		AND CLASSROOM OBSERVATIONS OF STUDENTS AND	
		FACULTY AT SEVEN COLLEGES.	
THE DECEMBER OF THE INTERPOLATIVE OF GOLODADO	MONTE	TO THE CONTROL THE CASE DADREST OF SUPPLIED SUPPLIED.	20.000
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO INVESTIGATE THE GAS-PARTICLE-SURFACE CHEMISTRY	30,000.
1800 GRANT ST STE 400	PC	OF ORGANIC CHEMICALS IN INDOOR ENVIRONMENTS.	
DENVER, CO 80203			
THE REGENTS OF THE UNIVERSITY OF COLORADO	NONE	TO INVESTIGATE HOUSEHOLD ARTHROPODS AS UNIQUE	120,000.
1800 GRANT ST STE 400	PC	SOURCES OF MICROBES IN THE BUILT ENVIRONMENT.	
DENVER, CO 80203		120000	
COOLIDGE CORNER THEATRE FOUNDATION INC	NONE	TO SUPPORT COOLIDGE CORNER THEATRE'S SCIENCE ON	230,000.
290 HARVARD STREET	PC	SCREEN PROGRAM AND EXPAND ITS REACH TO ANOTHER 40	
BROOKLINE, MA 02446		THEATRES NATIONWIDE.	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND			
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COUNCIL FOR ECONOMIC EDUCATION	NONE	TO PROMOTE ECONOMICS EDUCATION IN NEW YORK AREA	75,000.
SUITE 2600	PC	SCHOOLS BY RECOGNIZING INNOVATIVE TEACHERS AND	
NEW YORK, NY 10168-0000		PROMOTING THEIR METHODS.	
COUNCIL OF GRADUATE SCHOOLS	NONE	TO ENHANCE THE SKILLS OF FUTURE FACULTY IN THE	125,000.
ONE DUPONT CIRCLE, NW	PC	ASSESSMENT OF STUDENT LEARNING IN STEM FIELDS.	
WASHINGTON, DC 20036-1173		400000	
COUNCIL ON FOREIGN RELATIONS, INC.	NONE	TO CONDUCT A PROGRAM OF RESEARCH AND PUBLICATION	714,059.
58 EAST 68TH STREET	PC	ON ENERGY, ECONOMICS, AND INTERNATIONAL SECURITY,	
NEW YORK, NY 10065		ESPECIALLY RELATED TO OIL AND GAS.	
COUNCIL ON LIBRARY AND INFORMATION RESOURCES	NONE	TO SUPPORT TWO COHORTS OF DATA CURATION	879,373.
1707 L STREET NW	PC	POSTDOCTORAL FELLOWS, IN ORDER TO DEVELOP	
WASHINGTON, DC 20036-2124		EMERGING LEADERS IN THE FIELD AND ENCOURAGE	
		PERMANENT STAFFING SOLUTIONS WITHIN ACADEMIC	
		LIBRARIES.	
TRUSTEES OF DARTMOUTH COLLEGE	NONE	TO INCREASE UNDERSTANDING OF HOW RECESSIONS,	605,433.
6010 PARKHURST HALL, SUITE 204	PC	INCLUDING THE GREAT RECESSION, AFFECT THE LABOR	
HANOVER, NH 03755		MARKET ACTIVITIES AND RETIREMENT OF OLDER	
		AMERICANS.	
DAVID MINDELL	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK	50,000.
BUILDING E51-185	NC	ABOUT AUTOMATION AND ROBOTICS.	

0170665-00002 AMEND

ATTACHMENT 22

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CAMBRIDGE, MA 02139

ATTACHMENT 22 (CONT'D)

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
DIGITAL PUBLIC LIBRARY OF AMERICA, INC.	NONE	TO LAUNCH DIGITAL PUBLIC LIBRARY OF AMERICA	300,000.
P.O. BOX 426038	PC	(DPLA) AS AN INDEPENDENT, NATIONAL ORGANIZATION	
CAMBRIDGE, MA 02142		AND TO SUPPORT ITS EXECUTIVE DIRECTOR AND TWO KEY	
		STAFF TO BEGIN OPERATIONS AND SCALE UP FOR THE	
		FIRST TWO YEARS.	
DREXEL UNIVERSITY	NONE	TO DEVELOP REPLICABLE MODELS AND ASSESSMENT	349,627.
3201 ARCH STREET	PC	INSTRUMENTS FOR PROFESSIONAL ADVANCEMENT PROGRAMS	
PHILADELPHIA, PA 19104-2875		TO INCREASE INSTITUTIONAL CAPACITY SUPPORTIVE OF	
		ACADEMIC LEADERS FROM GROUPS UNDERREPRESENTED IN	
		STEM.	
ENSEMBLE STUDIO THEATRE, INC.	NONE	TO COMMISSION, DEVELOP, PRODUCE, AND DISSEMINATE	1,194,000.
549 WEST 52ND STREET	PC	NEW SCIENCE PLAYS IN NEW YORK AND ACROSS THE	
NEW YORK, NY 10019		COUNTRY.	
FINANCIAL STABILITY BOARD	NONE	TO FUND INITIAL MEETINGS AND OPERATIONS FOR	125,000.
CENTRALBAHNPLATZ 2	PC	DIRECTORS OF A GLOBAL LEGAL ENTITY IDENTIFIER	
BASEL		FOUNDATION.	
SWITZERLAND			
KATHERINE EBAN FINKELSTEIN	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON	50,000.
45 PARK PLACE	NC	THE DANGERS OF AMERICA'S USE AND MANUFACTURING OF	
BROOKLYN, NY 11217		GENERIC DRUGS.	
STUART FIRESTEIN	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK ON	40,000.
460 RIVERSIDE DRIVE, #31	NC	FAILURE AND ITS ROLE IN SCIENCE FOR THE GENERAL	
NEW YORK, NY 10027		PUBLIC.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FUND FOR THE CITY OF NEW YORK, INC.	NONE	TO PROVIDE RENEWED SUPPORT FOR THE SLOAN AWARDS	1,140,000.
121 AVENUE OF THE AMERICAS	PC	FOR EXCELLENCE IN TEACHING MATHEMATICS AND	
NEW YORK, NY 10013		SCIENCE IN NEW YORK CITY PUBLIC HIGH SCHOOLS.	
GINO SEGRE	NONE	SUPPORT FOR THE RESEARCH AND WRITING OF A	16,100.
UNIVERSITY OF PENNSYLVANIA	PC	BIOGRAPHY OF PHYSICIST ENRICO FERMI.	
PHILADELPHIA, PA 19104-6396			
GREATER WASHINGTON EDUCATIONAL TELECOMMUNICATIONS	NONE	FOR HIGH QUALITY ON-AIR AND ONLINE COVERAGE OF	720,000.
2775 SOUTH QUINCY STREET	PC	ECONOMIC AND FINANCIAL TOPICS ON PBS'S NEWSHOUR.	
ARLINGTON, VA 22206			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	193,250.
MASSACHUSETTS HALL	PC	RESEARCH IN ECONOMICS.	
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO CREATE AND DEPLOY A LABORATORY FOR ONLINE	-193,250.
MASSACHUSETTS HALL	PC	RESEARCH IN ECONOMICS.	
CAMBRIDGE, MA 02138			
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	NONE	TO EVALUATE HOW INSTITUTIONS OF HIGHER EDUCATION	136,988.
MASSACHUSETTS HALL	PC	CAN EFFECTIVELY PROMOTE FACULTY DIVERSITY IN	
CAMBRIDGE, MA 02138		HIGHER EDUCATION, INCLUDING AN EVALUATION OF THE	
		SLOAN FOUNDATION'S PROGRAM ON FACULTY CAREER	
		FLEXIBILITY.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP	TO	SUBSTANTIAL	CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ILLINOIS INSTITUTE OF TECHNOLOGY	NONE	TO STUDY INDOOR BIOAEROSOL FATE, TRANSPORT AND	120,000.
3301 SOUTH DEARBORN STREET	PC	CONTROL: IMPLICATIONS FOR INFECTIOUS DISEASE	
CHICAGO, IL 60616-3793		TRANSMISSION.	
IMPACTSTORY	NONE	TO SUPPORT THE SCALING AND FURTHER DEVELOPMENT TO	250,000.
107 W. CARR ST. APT. B	PC	SUSTAINABILITY OF IMPACTSTORY, A NONPROFIT OPEN	
CARRBORO, NC 27510		ALTMETRICS PLATFORM THAT HELPS SCHOLARS EVALUATE,	
		SORT, CONSUME, AND REWARD WEB-NATIVE PRODUCTS.	
ROBERT KANIGEL	NONE	TO RESEARCH AND WRITE A BIOGRAPHY OF JANE JACOBS.	30,000.
175 RICHDALE AVENUE, #314	NC		
CAMBRIDGE, MA 02140			
KECK GRADUATE INSTITUTE	NONE	TO SUPPORT THE INTRODUCTION OF A PSM AFFILIATION	75,000.
535 WATSON DRIVE	PC	FEE AS A SUSTAINABLE FINANCIAL MODEL FOR PSM	
CLAREMONT, CA 91811		QUALITY CONTROL AND WEBSITE PROVISION IN THE	
		FUTURE.	
LA THEATRE WORKS	NONE	TO RECORD FOUR NEW SLOAN-COMMISSIONED OR	138,000.
681 VENICE BOULEVARD	PC	SUPPORTED SCIENCE PLAYS FOR BROADCAST ON PUBLIC	,
VENICE, CA 90291		RADIO AND DISTRIBUTED TO SCHOOLS, LIBRARIES,	
·		ONLINE RETAIL PARTNERS AND REGIONAL THEATRES, AND	
		FOR DEVELOPMENT OF "RELATIVITY" APPS, EBOOKS, AND	
		WEBSITE MATERIAL.	
MANHATTAN THEATRE CLUB	NONE	TO COMMISSION, DEVELOP, AND PRODUCE SCIENCE AND	186,521.
311 WEST 43RD STREET, 8TH FLOOR		TECHNOLOGY PLAYS.	•
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ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK, NY 10036	PC		
MARINE BIOLOGICAL LABORATORY	NONE	TO ADVANCE THE DEEP CARBON OBSERVATORY TOWARD ITS	650,000.
7 M B L ST	PC	DECADAL GOALS IN THE STUDY OF THE ABUNDANCE AND	
WOODS HOLE, MA 02543		DIVERSITY OF DEEP LIFE AND ITS ROLES AND	
		INTERACTIONS WITH THE DEEP CARBON CYCLE.	
UNIVERSITY OF MARYLAND, COLLEGE PARK	NONE	TO COMPILE, STUDY, AND OPENLY DISTRIBUTE A	62,845.
COLLEGE PARK	PC	NATIONALLY STANDARDIZED DATABASE OF GOVERNMENT	
COLLEGE PARK, MD 20742		HEALTH INSPECTORS' RESTAURANT RATINGS.	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO PROVIDE SEED GRANTS TO LAUNCH TWELVE NEW	200,000.
77 MASSACHUSETTS AVENUE	PC	SCIENCE FESTIVAL INITIATIVES IN COMMUNITIES WITH	
CAMBRIDGE, MA 02139		SMALL RESOURCE BASES.	
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	NONE	TO LAUNCH A RESEARCH NETWORK THAT PROMOTES THE	2,299,130.
77 MASSACHUSETTS AVENUE	PC	RIGOROUS EMPIRICAL STUDY OF ECONOMIC ISSUES IN	
CAMBRIDGE, MA 02139		NORTH AMERICA.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO CREATE AND ANALYZE DATASETS THAT COMBINE THE	1,499,875.
500 S STATE ST	PC	HEALTH AND RETIREMENT STUDY (HRS) WITH DATA FROM	
ANN ARBOR, MI 48109-1274		THE CENSUS BUREAU FROM THE FIRMS WHERE HRS	
		RESPONDENTS HAVE WORKED.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE	193,790.
500 S STATE ST	PC	OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK	
ANN ARBOR, MI 48109-1274		REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT.	
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	NONE	TO GENERATE EXPERIMENTAL EVIDENCE ABOUT THE	-193,790.
500 S STATE ST	PC	OBSTACLES THAT OLDER WORKERS FACE AS THEY SEEK	
ANN ARBOR, MI 48109-1274		REEMPLOYMENT AFTER LONG PERIODS OF UNEMPLOYMENT.	
MUSEUM OF THE MOVING IMAGE	NONE	TO MAINTAIN AND EXPAND A GO-TO SITE FOR THE SLOAN	150,000.
35TH AVENUE AT 36TH STREET	PC	FILM PROGRAM THAT SHOWCASES SLOAN-WINNING FILMS	
ASTORIA, NY 11106		AND FILMMAKERS, FEATURES ORIGINAL ARTICLES AND	
		STATUS UPDATES, AND SERVES AS A SCIENCE AND FILM	
		WEB HUB.	
NATIONAL ACADEMY OF SCIENCES	NONE	TO STUDY GLOBAL COMPETITION FOR TALENT BY	300,000.
500 5TH STREET, NW	PC	COMPARING THE HIGH-SKILLED IMMIGRATION POLICIES	
WASHINGTON, DC 20001		OF INDUSTRIALIZED NATIONS.	
WASHINGTON, DC 20001		OF INDUSTRIALIZED NATIONS.	
WASHINGTON, DC 20001 NATIONAL ACADEMY OF SCIENCES	NONE	OF INDUSTRIALIZED NATIONS. TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON	100,000.
	NONE PC		100,000.
NATIONAL ACADEMY OF SCIENCES		TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON	100,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW		TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON	100,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW		TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON	100,000.
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001	PC	TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON SYNTHETIC BIOLOGY.	
NATIONAL ACADEMY OF SCIENCES 500 5TH STREET, NW WASHINGTON, DC 20001 NATIONAL ACADEMY OF SCIENCES	PC	TO PROVIDE PARTIAL SUPPORT FOR A FORUM ON SYNTHETIC BIOLOGY. TO PROVIDE PARTIAL SUPPORT FOR THE FORUM ON	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO FUND NEW OBLIGATIONS IN THE MINORITY PH.D.	797,532.
440 HAMILTON AVENUE, SUITE 302	PC	PROGRAM AND THE SLOAN INDIGENOUS GRADUATE	
WHITE PLAINS, NY 10601-1813		PARTNERSHIP PROGRAM FROM JULY 1, 2011 TO JULY 1,	
		2012.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO FUND OBLIGATIONS IN THE MINORITY PH.D. PROGRAM	1,464,800.
440 HAMILTON AVENUE, SUITE 302	PC	AND THE SLOAN INDIGENOUS GRADUATE PARTNERSHIP FOR	
WHITE PLAINS, NY 10601-1813		THE COHORT NAMED FROM JULY 1, 2012 TO JULY 1,	
		2013.	
NATIONAL ACTION COUNCIL FOR MINORITIES IN ENGINEER	NONE	TO COVER EXPECTED OBLIGATIONS TO BE INCURRED BY	2,635,000.
440 HAMILTON AVENUE, SUITE 302	PC	NACME TO PROVIDE PHASE 1 TRANSITIONAL SUPPORT FOR	
WHITE PLAINS, NY 10601-1813		THE SLOAN MPHD PROGRAM AS CAMPUS PROGRAMS COMPETE	
		FOR NEW MULTI-YEAR GRANTS FOR UNIVERSITY CENTERS	
		OF EXEMPLARY MENTORING OR PROGRAMS OF EXEMPLARY	
		MENTORING.	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT FELLOWSHIPS FOR PH.D. STUDENTS WORKING	197,800.
1050 MASSACHUSETTS AVENUE	PC	ON THE ECONOMICS OF WORKING LONGER.	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO BETTER UNDERSTAND THE RETIREMENT AND WORK	171,097.
1050 MASSACHUSETTS AVENUE	PC	PROSPECTS OF CURRENTLY ACTIVE COLLEGE WOMEN BY	
CAMBRIDGE, MA 02138-5398		CONNECTING EVENTS IN THEIR EARLY ADULT LIVES TO	
		THEIR LATER EMPLOYMENT HISTORIES.	
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO STRENGTHEN THE THEORETICAL AND EMPIRICAL	398,569.
1050 MASSACHUSETTS AVENUE		RESEARCH BASE ON HIGH-SKILLED IMMIGRATION.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CAMBRIDGE, MA 02138-5398	PC		
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT A THREE-YEAR POST-DOCTORAL PROGRAM ON	163,781.
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	PC	THE ECONOMICS OF AN AGING WORKFORCE.	
CAMBRIDGE, MA 02138-5398			
NATIONAL BUREAU OF ECONOMIC RESEARCH, INC.	NONE	TO SUPPORT THE NBER SUMMER INSTITUTE 765900 2013	415,900.
1050 MASSACHUSETTS AVENUE	PC	NATIONAL GEOGRAPHIC SOCIETY TAX DEPARTMENT.	
CAMBRIDGE, MA 02138-5398			
NATIONAL INFORMATION STANDARDS ORGANIZATION	NONE	TO STUDY, PROPOSE, AND DEVELOP COMMUNITY-BASED	102,219.
3600 CLIPPER MILL ROAD	PC	STANDARDS OR RECOMMENDED PRACTICES IN THE FIELD	
BALTIMORE, MD 21211		OF ALTERNATIVE METRICS FOR RESEARCH PRODUCTS.	
NATIONAL PUBLIC RADIO, INC.	NONE	TO ENHANCE BUSINESS AND ECONOMICS COVERAGE ON	250,000.
635 MASSACHUSETTS AVENUE, NW	PC	PLANET MONEY AND TO FUND A ONE-YEAR PILOT TO	
WASHINGTON, DC 20001-3753		EXPAND MULTIMEDIA STORYTELLING AT THE SCIENCE	
		DESK.	
NATIONAL PUBLIC RADIO, INC.	NONE	TO SUPPORT AN INNOVATIVE, ON-AIR, AND ONLINE	100,000.
635 MASSACHUSETTS AVENUE, NW	PC	MULTIMEDIA REPORTER AT THE SCIENCE DESK FOR TWO	
WASHINGTON, DC 20001-3753		YEARS BEFORE NPR COVERS THIS NEW, FULL-TIME	
		POSITION.	

ATTACHMENT 22 0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK GENOME CENTER, INC.	NONE	TO PROVIDE PARTIAL SUPPORT FOR THE NEW YORK	1,000,000.
590 MADISON AVE	PC	GENOME CENTER.	
NEW YORK, NY 10022			
NEW YORK PUBLIC RADIO	NONE	TO SUPPORT THE PRODUCTION AND DISTRIBUTION OF	500,000.
160 VARICK STREET	PC	SCIENCE AND TECHNOLOGY COVERAGE ON STUDIO 360, AN	
NEW YORK, NY 10013		AWARD-WINNING ARTS AND CULTURE SHOW.	
NEW YORK PUBLIC RADIO	NONE	FOR PRODUCTION AND ENHANCED DISTRIBUTION OF	350,000.
160 VARICK STREET	PC	RADIOLAB, AN INNOVATIVE POPULAR SCIENCE-THEMED	
NEW YORK, NY 10013		RADIO SHOW, VIA MULTIPLE PLATFORMS.	
NEW YORK UNIVERSITY	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	157,890.
70 WASHINGTON SQUARE NORTH	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
NEW YORK, NY 10012-1019			
NEW YORK UNIVERSITY	NONE	TO ANALYZE THE ECONOMICS OF LABOR MARKETS FOR	62,525.
70 WASHINGTON SQUARE NORTH	PC	INFORMATION TECHNOLOGY WORKERS USING	
NEW YORK, NY 10012-1019		ADMINISTRATIVE DATASETS.	
NEW YORK UNIVERSITY	NONE	TO PROVIDE SUMMER EXPERIENCES FOR A DIVERSE SET	160,734.
70 WASHINGTON SQUARE NORTH	PC	OF YOUNG WOMEN IN HIGH SCHOOL THAT WILL BOLSTER	
NEW YORK, NY 10012-1019		THEIR ENTHUSIASM AND APTITUDE FOR STUDYING	
		MATHEMATICS IN COLLEGE.	

0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NEW YORK UNIVERSITY	NONE	TO IMPROVE MEASUREMENT AND MODELING OF THE	293,747.
70 WASHINGTON SQUARE NORTH	PC	EVOLVING LABOR MARKET BEHAVIORS, EXPECTATIONS,	
NEW YORK, NY 10012-1019		AND PREFERENCES OF MIDDLE AND UPPER-MIDDLE INCOME	
		HOUSEHOLDS HEADED BY OLDER AMERICANS.	
NEW YORK UNIVERSITY	NONE	TO STUDY FLOOD INSURANCE, INCLUDING THE UPTAKE,	50,450.
70 WASHINGTON SQUARE NORTH	PC	DISTRIBUTIONAL, AND INCENTIVE EFFECTS OF SUPER	
NEW YORK, NY 10012-1019		STORM SANDY.	
NEW YORK UNIVERSITY	NONE	TO CONDUCT PLANNING ACTIVITIES FOR FOUR PROJECTS	100,000.
70 WASHINGTON SQUARE NORTH	PC	AT THE CENTER FOR URBAN SCIENCE AND PROGRESS.	
NEW YORK, NY 10012-1019		200000	
NEW YORK UNIVERSITY	NONE	TO LAUNCH A PILOT PROGRAM, THE PH.D. EXCELLENCE	294,229.
70 WASHINGTON SQUARE NORTH	PC	INITIATIVE, TO CHANGE THE FACE OF ECONOMICS	
NEW YORK, NY 10012-1019		DEPARTMENTS IN THE UNITED STATES BY IDENTIFYING,	
		TRAINING, AND MENTORING HIGH-ACHIEVING STUDENTS	
		OF COLOR, PREPARING THEM FOR RIGORS OF PH.D.	
		STUDY IN THE FIELD.	
NEW YORK UNIVERSITY	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
70 WASHINGTON SQUARE NORTH	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
NEW YORK, NY 10012-1019		AND NEW CAREER PATHS.	
NORTH CAROLINA STATE UNIVERSITY	NONE	TO PROVIDE NEW INSIGHT INTO THE WORK LIFE	297,161.
203 PEELE HALL, CAMPUS BOX 7103	PC	TRANSITIONS AND KEY RETIREMENT-RELATED DECISIONS	
RALEIGH, NC 27695		BY OLDER PUBLIC SECTOR EMPLOYEES.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NORTH CAROLINA STATE UNIVERSITY	NONE	TO INCORPORATE BUILDING SCIENCE MEASURES INTO AN	124,821.
203 PEELE HALL, CAMPUS BOX 7103	PC	EXISTING HUD-FUNDED PROJECT ON THE INTERACTIONS	
RALEIGH, NC 27695		BETWEEN INSECT INFESTATIONS AND MICROBIAL	
		COMMUNITIES IN HOMES.	
OHIO STATE UNIVERSITY	NONE	TO FOSTER THE DEEP ENERGY COMMUNITY OF THE DEEP	500,000.
1960 KENNY ROAD	PC	CARBON OBSERVATORY WITH STUDIES ON THE ORIGIN AND	
COLUMBUS, OH 43210		DISTRIBUTION OF ABIOTIC HYDROCARBONS.	
UNIVERSITY OF OREGON	NONE	TO PROVIDE RENEWED SUPPORT FOR THE BIOLOGY AND	625,000.
1585 E 13TH AVE	PC	BUILT ENVIRONMENT (BIOBE) CENTER.	
EUGENE, OR 97403-1226			
CHANCELLOR, MASTERS & SCHOLARS OF THE UNIVERSITY O	NONE	TO MEASURE THE DRIVERS AND DYNAMICS OF HIGH	312,958.
WELLINGTON SQUARE OXFORD OX1 2JD	PC	SKILLED IMMIGRATION.	
UNITED KINGDOM			
PHILANTHROPY NEW YORK, INC.	NONE	TO SUPPORT WORK IN 2014 ON BEHALF OF THE	30,000.
79 FIFTH AVENUE	PC	NONPROFIT AND CHARITABLE COMMUNITY.	
NEW YORK, NY 10003-3076			
UPMC	NONE	TO ASSESS THE STATE OF AFFAIRS IN SYNTHETIC	200,000.
621 EAST PRATT STREET	PC	BIOLOGY GOVERNANCE, ARTICULATE NEXT STEPS FOR	
BALTIMORE, MD 21202		FUNDERS AND POLICYMAKERS, AND EVALUATE THE	
		FOUNDATION'S SYNTHETIC BIOLOGY INITIATIVE.	

ATTACHMENT 22 (CONT'D)

RELATIONSHIP	TΩ	SUBSTANTIAL	CONTRIBITOR

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF PUERTO RICO	NONE	TO EXAMINE THE MICROBIOMES OF HOMES ACROSS	210,856.
P.O. BOX 23300 00931-3300	PC	CULTURES.	
SAN JUAN, U.P.R. STATION			
PUERTO RICO			
RAND CORPORATION	NONE	TO IMPROVE OUR UNDERSTANDING OF THE ROLE OF LOCAL	44,638.
1700 MAIN STREET	FOREIGN EDUCATIONAL IN	LABOR DEMAND IN AFFECTING THE WORK AND RETIREMENT	
SANTA MONICA, CA 90407-2137		PATTERNS OF OLDER AMERICANS.	
RAND CORPORATION	NONE	TO OBTAIN A COMPREHENSIVE CHARACTERIZATION OF THE	171,412.
1700 MAIN STREET	PC	ROLE OF FIRMS IN LABOR FORCE TRANSITIONS OF OLDER	
SANTA MONICA, CA 90407-2137		WORKERS IN THE UNITED STATES WITH COMPARATIVE	
		ANALYSES TO THE ROLE OF FIRMS IN GERMANY.	
RAND CORPORATION	NONE	TO IMPROVE THE UNDERSTANDING OF THE AVAILABILITY	620,309.
1700 MAIN STREET	PC	AND IMPORTANCE OF DIFFERENT PECUNIARY AND	
SANTA MONICA, CA 90407-2137		NONPECUNIARY JOB CHARACTERISTICS FOR OLDER	
		WORKERS AND THEIR EFFECTS ON OLDER WORKER LABOR	
		OUTCOMES.	
RESOURCES FOR THE FUTURE INC	NONE	TO CONDUCT EX-POST EVALUATIONS OF GOVERNMENT	99,906.
1616 P STREET, NW	PC	REGULATIONS CONCERNING HEALTH AND ENVIRONMENT IN	
WASHINGTON, DC 20036-1400		THE UNITED STATES.	
RESOURCES FOR THE FUTURE INC	NONE	TO DEVELOP AMONG VARIOUS STAKEHOLDERS SUGGESTED	168,684.
1616 P STREET, NW	PC	GUIDELINES ON EFFECTIVE WAYS TO ADDRESS	
WASHINGTON, DC 20036-1400		HIGH-PRIORITY RISK PATHWAYS ASSOCIATED WITH SHALE	
		GAS DEVELOPMENT.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RESOURCES FOR THE FUTURE INC	NONE	TO STUDY HOW INFORMATION PROVISION AND DISCLOSURE	115,807.
1616 P STREET, NW	PC	POLICIES CAN HELP OR HINDER THE IMPLEMENTATION OF	
WASHINGTON, DC 20036-1400		ENERGY EFFICIENCY IMPROVEMENTS.	
THE ROCKEFELLER UNIVERSITY	NONE	TO SUPPORT JESSE AUSUBEL'S CONTINUED LEADERSHIP	332,667.
1230 YORK AVENUE	PC	ON BEHALF OF THE SLOAN FOUNDATION OF THE DEEP	332,007.
	PC		
NEW YORK, NY 10021-6399		CARBON OBSERVATORY PROGRAM INITIATED IN 2009.	
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY	NONE	TO STUDY PATHWAYS AND PATTERNS OF COURSE-TAKING	153,607.
83 SOMERSET ST	PC	AND CAREER DEVELOPMENT IN SCIENCE AND TECHNOLOGY.	
NEW BRUNSWICK, NJ 08901-1281			
THE SCIENCE FESTIVAL FOUNDATION	NONE	TO SUPPORT PROGRAM DEVELOPMENT AND PRODUCTION OF	600,000.
475 RIVERSIDE DRIVE	PC	THE WORLD SCIENCE FESTIVAL FOR TWO YEARS.	
NEW YORK, NY 10115			
SCIENCE FRIDAY INITIATIVE INC	NONE	TO SUPPORT SCIENCE FRIDAY, FOCUSING ON SCIENCE	450,000.
4 WEST 43RD STREET, SUITE 306	PC	AND THE ARTS, INCLUDING RADIO BROADCASTS, DIGITAL	
NEW YORK, NY 10036		SCIENCE VIDEOS, BLOG POSTS, AND ASSOCIATED MEDIA.	
S H R M FOUNDATION	NONE	TO ADVANCE AND ACCELERATE RESEARCH AND APPLIED	459,650.
1800 DUKE STREET	PC	HUMAN RESOURCE POLICIES AND PRACTICES FOR HUMAN	
ALEXANDRIA, VA 22314-3499		RESOURCE PROFESSIONALS AND STUDENTS TO	
		IDENTIFY, UNDERSTAND, AND SOLVE WORKFORCE AGING	
		ISSUES	

0170665-00002 AMEND

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF SOUTHERN CALIFORNIA	NONE	FOR SCREENWRITING AND PRODUCTION OF SCIENCE AND	119,450.
UNIVERSITY PARK	PC	TECHNOLOGY FILMS BY TOP FILM STUDENTS.	
LOS ANGELES, CA 90089			
BOARD OF CONTROL FOR SOUTHERN REGIONAL EDUCATION	NONE	TO INCREASE THE AWARD OF DOCTORAL DEGREES TO	325,000.
592 10TH STREET, NW	PC	MEMBERS OF UNDERREPRESENTED MINORITIES IN STEM	323,000.
ATLANTA, GA 30318-5790	FC	FIELDS, WITH A SPECIAL FOCUS ON THE PREPARATION	
AIDANIA, GA 30310-3790		OF GRADUATE STUDENTS FOR CAREERS IN HIGHER	
		EDUCATION.	
BOARD OF TRUSTEES OF THE LELAND STANFORD	NONE	TO STUDY INTERNET MARKETS USING DETAILED DATA	140,669.
JUNIOR UNIVERSITY	PC	ABOUT CONSUMER AND FIRM BEHAVIOR FROM EBAY.	
STANFORD, CA 94305			
SUNDANCE INSTITUTE	NONE	TO SUPPORT A SCIENCE AND TECHNOLOGY FILM PROGRAM	250,000.
5900 WILSHIRE BOULEVARD	PC	AT SUNDANCE THAT INCLUDES FILM FELLOWSHIPS, FILM	
BEVERLY HILLS, CA 90036		PRIZES, AND FILM PANELS AND OUTREACH.	
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO FOLLOW UP THE ORIGINAL NATIONALLY	279,146.
TEXAS	PC	REPRESENTATIVE HIGH SCHOOL AND BEYOND (HSB) STUDY	
AUSTIN, TX 78712		TO PRODUCE A VALUABLE NEW DATA INFRASTRUCTURE AND	
		RESEARCH FINDINGS ABOUT THE FOUNDATION FOR	
		WORKING LONGER.	
UNIVERSITY OF TEXAS, AUSTIN	NONE	TO EXAMINE THE CAPABILITY OF U.S. SHALE OIL TO	744,866.
SIB 494 MRC 035	PC	CONTRIBUTE SIGNIFICANTLY TO OIL SUPPLY FOR THE	
AUSTIN, TX 78712		NEXT 20 YEARS, UNDER VARIOUS ECONOMIC AND	
		TECHNOLOGY ASSUMPTIONS.	

ATTACHMENT 22

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ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22 (CONT'D)

AND

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	NONE	TO CONDUCT PRELIMINARY RESEARCH ON THE CHEMISTRY	100,000.
TORONTO, ON M5S 1A1	PC	OCCURRING ON INDOOR SURFACES.	
CANADA			
CANADA			
TRIBECA FILM INSTITUTE INC	NONE	TO DEVELOP NEW SCIENCE AND TECHNOLOGY FILMS FOR	375,000.
375 GREENWICH STREET	PC	PRODUCTION AND TO HOLD PANELS AND READINGS AT THE	
NEW YORK, NY 10013		TRIBECA FILM FESTIVAL.	
VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSIT	NONE	TO CHARACTERIZE THE BACTERIAL AND VIRAL	60,000.
800 DRILLFIELD DRIVE	PC	MICROBIOME OF THE AIR AND SURFACES IN A DAYCARE	
BLACKSBURG, VA 24061-0123		SETTING.	
UNIVERSITY OF WASHINGTON	NONE	TO ADVANCE DATA-INTENSIVE SCIENTIFIC DISCOVERY	750,000.
1410 NE CAMPUS PKWY	PC	THROUGH NEW METHODS, NEW TOOLS, NEW PARTNERSHIPS,	
SEATTLE, WA 98195		AND NEW CAREER PATHS.	
WGBH EDUCATIONAL FOUNDATION	NONE	TO RESEARCH AND PRODUCE FOUR PRIMETIME FILMS ON	1,250,000.
ONE GUEST STREET	PC	PBS'S AMERICAN EXPERIENCE ON THE ROLE OF SCIENCE,	
BOSTON, MA 02135		TECHNOLOGY, AND ENGINEERING IN HISTORY WITH AN	
		ENGINEERING IPHONE APP, INTERACTIVE WEBSITE, AND	
		ANCILLARY OUTREACH ACTIVITIES.	
UNIVERSITY OF WISCONSIN, MADISON	NONE	TO EXPAND THE SCHOLARLY UNDERSTANDING OF	342,603.
		EFFECTIVE TEACHING AND LEARNING IN STEM FIELDS,	
		AND OF UNDERGRADUATE STUDENT PERSISTENCE IN STEM	
		MAJORS, BY A COMBINATION OF SURVEYS, INTERVIEWS,	
		AND CLASSROOM OBSERVATIONS OF STUDENTS AND	
		FACULTY AT SEVEN COLLEGES.	

ATTACHMENT 22

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ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22 (CONT'D)

RELATIONSHIP	TΩ	SUBSTANTIAL	CONTRIBITOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
500 LINCOLN DRIVE	PC		
MADISON, WI 53706-1380			
UNIVERSITY OF WISCONSIN, MADISON	NONE	TO ENABLE BETTER SHARING OF PEDAGOGICAL	550,000.
500 LINCOLN DRIVE	PC	MATERIALS, STRATEGIES, AND DATA ON USAGE AND	
MADISON, WI 53706-1380		IMPACT BY THE CENTER FOR THE INTEGRATION OF	
		RESEARCH, TEACHING, AND DEPLOYMENT OF AN	
		INTEGRATED OPEN SOURCE IT SYSTEM THAT CONNECT.	
WOMEN MAKE MOVIES INC.	NONE	SUPPORT FOR WIDE THEATRICAL RELEASE AND ENHANCED	247,546.
115 W 29TH ST., SUITE 1200	PC	OUTREACH AND AN EDUCATIONAL CAMPAIGN AROUND THE	
NEW YORK, NY 10001-5059		FILM PARTICLE FEVER, A DRAMATIC DOCUMENTARY ABOUT	
		THE LARGE HADRON COLLIDER.	
WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS	NONE	WASHINGTON, DC 20004-3027 TO WORK WITH	283,999.
1300 PENNSYLVANIA AVENUE	PC	GOVERNMENT, EMERGENT DISTRIBUTED NETWORKS, AND	
WASHINGTON, DC 20004		OTHER STAKEHOLDERS TO MAKE MASS COLLABORATION FOR	
		DATA COLLECTION, ANALYSIS, AND PROBLEM-SOLVING	
		MORE TRUSTWORTHY, EFFICIENT, AND ACTIONABLE.	
YALE UNIVERSITY	NONE	TO TEST THE IMPACT OF INTERVENTIONS ON BOTH	189,107.
P.O. BOX 208239	PC	EXPLICIT (CONSCIOUSLY HELD) AND IMPLICIT	
NEW HAVEN, CT 06520		(AUTOMATIC OR UNINTENDED) GENDER BIASES;	
		ULTIMATELY, TO INCREASE THE PARTICIPATION OF	
		WOMEN IN SCIENCE BY REDUCING BIAS.	
		World in Bellie Bi Reserve Bill.	

ATTACHMENT 22

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ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

	TIND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YALE UNIVERSITY	NONE	TO LAUNCH A PROFESSIONAL TRAINING PROGRAM ON THE	1,257,224.
P.O. BOX 208239	PC	THEORY AND GLOBAL PRACTICE OF MACROPRUDENTIAL	_,,
NEW HAVEN, CT 06520		REGULATION.	
YALE UNIVERSITY	NONE	DUST AND INTERACTIONS WITH PHTHALATE ESTERS	120,000.
P.O. BOX 208239	PC	(PES).	
NEW HAVEN, CT 06520			
YALE UNIVERSITY	NONE	AS SUPPORT FOR THE RESEARCH AND WRITING OF A BOOK	25,000.
P.O. BOX 208239	PC	AND AN ASSOCIATED WEBSITE ON THE FORMATION AND	
NEW HAVEN, CT 06520		DEVELOPMENT OF THE HUMAN EMBRYO WITH 70 VISUAL	
		METAPHORS TO ILLUSTRATE BASIC CONCEPTS.	
BEHAVIORAL SCIENCE & POLICY ASSOCIATION	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	12,000.
PO BOX 51336	PC		
DURHAM, NC 27717-1336			
BUSINESS-HIGHER EDUCATION FORUM	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	18,000.
2025 M STREET NW SUITE 800	PC		
WASHINGTON, DC 20036-0000			
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, LOS A	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	20,000.
405 HILGARD AVENUE	PC		
LOS ANGELES, CA 90095			

ATTACHMENT 22 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

	AND		
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CONFERENCE BOARD, INC.	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	125,000.
845 THIRD AVENUE, 5TH FLOOR	PC		
NEW YORK, NY 10022-6679			
COUNCIL OF PROFESSIONAL ASSOCIATIONS ON FEDERAL ST	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	45,000.
2121 EISENHOWER AVE STE 200 STE 2	PC		
ALEXANDRIA, VA 22314-4688			
THE GEORGE WASHINGTON UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	109,000.
2100 M STREET, NW	PC		
WASHINGTON, DC 20052			
NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	20,000.
70 WASHINGTON SQUARE NORTH	PC		
NEW YORK, NY 10012-1019			
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	15,000.
3451 WALNUT STREET	PC		
PHILADELPHIA, PA 19104-6205			
POLYTECHNIC INSTITUTE OF NEW YORK UNIVERSITY	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	20,000.
SIX METROTECH CENTER	PC		
BROOKLYN, NY 11201			

ATTACHMENT 22 0170665-00002 AMEND

ALFRED P. SLOAN FOUNDATION 13-1623877

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 22 (CONT'D)

55,164,186.

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

RECIPIENT NAME AND ADDRESS	AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ABOTT TEAT THE THE PRODUCT	TOOLSTILLON BILLION OF ALBORITANI	TONI ODD OF GRAIN ON CONTRIDOTION	11100111
PROJECT HOPE	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	20,000.
7500 OLD GEORGETOWN ROAD	PC		
BETHESDA, MD 20814-6133			
SMITHSONIAN INSTITUTION	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	30,000.
P.O. BOX 37012	PC		
WASHINGTON, DC 20013-7012			
SOCIAL SCIENCE RESEARCH NETWORK	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	20,000.
2171 MONROE AVENUE, SUITE 203	PC		
ROCHESTER, NY 14618			
WNET	NONE	ALFRED P. SLOAN RESEARCH FELLOWSHIP.	45,000.
450 W 33RD STREET	NC		
NEW YORK, NY 10001-2603			

ATTACHMENT 22

TOTAL CONTRIBUTIONS APPROVED

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SCHEDULE D (Form 1041)

Capital Gains and Losses

Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No. 1545-0092

Department of the Treasury Internal Revenue Service

Name of estate or trust Employer identification number ALFRED P. SLOAN FOUNDATION 13-1623877 Note: Form 5227 filers need to complete only Parts I and II. Short-Term Capital Gains and Losses - Assets Held One Year or Less See instructions for how to figure the amounts to enter on (h) Gain or (loss) Adjustments Subtract column (e) the lines below. (d) to gain or loss from Form(s) 8949, Part I, Proceeds from column (d) and Cost This form may be easier to complete if you round off cents (sales price) (or other basis) combine the result with line 2, column (g) column (g) to whole dollars. 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b 1b Totals for all transactions reported on Form(s) 8949 2 Totals for all transactions reported on Form(s) 8949 Totals for all transactions reported on Form(s) 8949 4 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts 5 5 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss 6 4,948.) Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on -4,948. Long-Term Capital Gains and Losses - Assets Held More Than One Year See instructions for how to figure the amounts to enter on (h) Gain or (loss) (g) Adjustments Subtract column (e) the lines below. (d) Proceeds Cost to gain or loss from from column (d) and Form(s) 8949, Part II, line 2, column (g) This form may be easier to complete if you round off cents (sales price) (or other basis) combine the result with column (g) to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 Totals for all transactions reported on Form(s) 8949 10 Totals for all transactions reported on Form(s) 8949 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 11 11 12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts...... 12

15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on

120,525,813 Schedule D (Form 1041) 2013

13

14

15

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

JSA 3F1210 1.000

13

14

Capital gain distributions

Schedule D (Form 1041) 2013 Page

Schedule D (Form 1041) 2013			Fa		
Pa	Summary of Parts I and II Caution: Read the instructions before completing this part	t.	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	Net short-term gain or (loss)	17			-4,948.
18	Net long-term gain or (loss):				
á	a Total for year	l8a			120,525,813.
ŀ	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	l8b			
(28% rate gain	I8c			
19	Total net gain or (loss). Combine lines 17 and 18a ▶	19			120,520,865.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

Part IV	Capital L	oss Limitation
---------	-----------	----------------

	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:		
а	The loss on line 19, column (3) or b \$3,000	20	()
	If the loss on line 19, column (3) is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34) is a	loss complete the Capita

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	21
22	Enter the smaller of line 18a or 19 in column (2)	
	but not less than zero	
23	Enter the estate's or trust's qualified dividends	
	from Form 1041, line 2b(2) (or enter the qualified	
	dividends included in income in Part I of Form 990-T) 23	
24	Add lines 22 and 23	
25	If the estate or trust is filing Form 4952, enter the	
	amount from line 4g; otherwise, enter -0 \(\bigsim\)	
26	Subtract line 25 from line 24. If zero or less, enter -0-	26
27	Subtract line 26 from line 21. If zero or less, enter -0-	27
28	Enter the smaller of the amount on line 21 or \$2,450	28
29	Enter the smaller of the amount on line 27 or line 28	29
30	Subtract line 29 from line 28. If zero or less, enter -0 This amount is taxed at 0	0% ▶ 30
31	Enter the smaller of line 21 or line 26	31
32	Subtract line 30 from line 26	32
33	Enter the smaller of line 21 or \$11,950	33
34	Add lines 27 and 30	34
35	Subtract line 34 from line 33. If zero or less, enter -0-	35
36	Enter the smaller of line 32 or line 35	36
37	Multiply line 36 by 15%	, ▶ 37
38	Enter the amount from line 31	38
39	Add lines 30 and 36	39
40	Subtract line 39 from line 38. If zero or less, enter -0-	40
41	Multiply line 40 by 20%	▶ 41
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates	
	and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42
43	Add lines 37, 41, and 42	43
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates	
	and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and	
	G, line 1a (or Form 990-T, line 36)	▶ 45

Schedule D (Form 1041) 2013